

# COL 8184 : ALGORITHMS FOR FAIR REPRESENTATION

## LECTURE 14

### ASSIGNMENT 2 DISCUSSION

MAR 23, 2026

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ROHIT VAISH

# PROBLEM 1

## Problem 1 [25 points]

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Solution by Manya and Haushin

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Given any instance  $I = (h; p_1, p_2, \dots, p_n)$ , let the associated seat assignment be  $(s_1, s_2, \dots, s_n)$  and the divisor be  $D$ .

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Given any instance  $I = (h; p_1, p_2, \dots, p_n)$ , let the associated seat assignment be  $(s_1, s_2, \dots, s_n)$  and the divisor be  $D$ .

Suppose, for contradiction, that upper quota for state  $i$  and lower quota for state  $j$  are violated.

That is,  $s_i > \lceil q_i \rceil$  and  $s_j < \lfloor q_j \rfloor$ .

Upper quota violation for state  $i$  :

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since  $f(x) \leq \lceil x \rceil$

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$$\text{since } f(x) \leq \lceil x \rceil$$

$$\Rightarrow \frac{p_i}{D} + 1 > \frac{p_i}{P} \cdot h + 1$$

$$\text{since } n+1 > \lceil n \rceil$$

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$$\text{since } n+1 > \lceil n \rceil$$

$$\Rightarrow \frac{P}{h} > D$$



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since  $\lfloor x \rfloor + 1 \leq f(x) + 1$   
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$$\Rightarrow \frac{p}{h} \leq D \quad \text{which contradicts } \text{☺}$$



## PROBLEM 2(a)

(a) [15 points] Prove or disprove: For Webster's method, if state  $i$  receives more seats than its upper quota, then each state  $j$  that receives its lower quota must be smaller, that is,  $p_j \leq p_i$ .

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since  $\lceil x \rceil \leq x + \frac{1}{2}$

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$$\Rightarrow \frac{1}{D} - \frac{h}{P} \geq \frac{1}{2p_i}$$



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$$\Rightarrow \left\lfloor \frac{p_j}{D} \right\rfloor = \lfloor q_j \rfloor$$

$$\Rightarrow \frac{p_j}{D} - \frac{1}{2} \leq \frac{p_j}{p} \cdot h$$

$$\text{since } \lfloor n \rfloor \geq n - \frac{1}{2}$$

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$$\Rightarrow \frac{1}{D} - \frac{h}{P} \leq \frac{1}{2p_j}$$



Combining ☺ and ☺☺

$$\frac{1}{2p_i} \leq \frac{1}{D} - \frac{h}{P} \leq \frac{1}{2p_j}$$

or

$$p_j \leq p_i$$



## PROBLEM 2(a)

(a) [15 points] Prove or disprove: For Webster's method, if state  $i$  receives more seats than its upper quota, then each state  $j$  that receives its lower quota must be smaller, that is,  $p_j \leq p_i$ .

True for  $s_j \geq \lfloor q_j \rfloor$  ?

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True for  $s_j \geq \lfloor q_j \rfloor$  ?

No! Counterexample due to Prakhar and Shivanshu.

Counterexample for  $s_j \geq |a_j|$ .

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State	$p_i$	$q_i$	$p_i/D$	$s_i$
1	13			
2	14			
3-10	2			

Counterexample for  $s_j \geq |a_j|$ .

State	$p_i$	$q_i$	$p_i/D$ ( $D=5$ )	$S_i$
1	13	1.81	2.6	3
2	14	1.95	2.8	3
3-10	2	0.27	0.4	0

Counterexample for  $s_j \geq \lfloor q_j \rfloor$ .

State	$p_i$	$q_i$	$p_i/D$ ( $D=5$ )	$S_i$	
1	13	1.81	2.6	3	← state 1 violates upper quota
2	14	1.95	2.8	3	← a large state receives at least its lower quota
3-10	2	0.27	0.4	0	

## PROBLEM 2(b)

(b) [10 points] Prove or disprove: For Adams' method, if state  $j$  receives fewer seats than its lower quota, then each state  $i$  that received its upper quota must be smaller, that is,  $p_i \leq p_j$ .

Proved analogously.

# PROBLEM 3

## Problem 3 [25 points]

A seat division  $s_1, \dots, s_n$  is said to be *near quota* if it is not possible to take a seat from one state and give it to another state and simultaneously bring both of them nearer to their quotas. That is, there should be no states  $i$  and  $j$  such that  $q_i - (s_i - 1) < s_i - q_i$  and  $s_j + 1 - q_j < q_j - s_j$ .

Prove or disprove: Webster's method is the unique divisor method that is near quota.

Lemma 1: Webster's method satisfies "near quota".

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**Proof** : Violation of near quota implies that there exist states  $i, j$  such that

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$$q_i - (s_i - 1) < s_i - q_i \quad \text{and} \quad s_j + 1 - q_j < q_j - s_j.$$

$$\Rightarrow \quad s_i - \frac{1}{2} > q_i \quad \text{and} \quad s_j + \frac{1}{2} < q_j.$$

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$$\Rightarrow \underbrace{s_i - \frac{1}{2} > q_i}_{(1)} \quad \text{and} \quad \underbrace{s_j + \frac{1}{2} < q_j}_{(2)}.$$

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$$\Rightarrow \underbrace{s_i - \frac{1}{2} > q_i}_{\textcircled{1}} \quad \text{and} \quad \underbrace{s_j + \frac{1}{2} < q_j}_{\textcircled{2}}.$$

$$\text{By defn of Webster : } s_j + \frac{1}{2} \geq \frac{p_j}{D} \xrightarrow{\textcircled{2}} \frac{h}{P} > \frac{1}{D}.$$

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By defn of Webster :

$$\left. \begin{array}{l} s_j + \frac{1}{2} \geq \frac{p_j}{D} \xrightarrow{\textcircled{2}} \frac{h}{P} > \frac{1}{D} \\ s_i - \frac{1}{2} \leq \frac{p_i}{D} \xrightarrow{\textcircled{1}} \frac{h}{P} \leq \frac{1}{D} \end{array} \right\} \text{Contradiction!}$$

□

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Proof : We will use the following facts about two-state problems :

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[Corollary of Problem 1]

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**Fact 2** : Any divisor method other than Webster differs from Webster on some two-state problem.

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**Fact 1** : All divisor methods satisfy quota for any two-state problem.

[Corollary of Problem 1]

**Fact 2** : Any divisor method other than Webster differs from Webster on some two-state problem.

**Quiz!**

# QUIZ

Prove or disprove:

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Let  $f$  be a divisor method different from Webster.

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Fact 1 + Fact 2  $\Rightarrow$  There exists a two-state instance such that

Webster :  $(x, y+1)$


$f$  :  $(x+1, y)$

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$$\frac{p_1}{x + \frac{1}{2}} < \frac{p_2}{y + \frac{1}{2}}$$

"last" seat assigned as per Webster rounding

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At  $h = x + y + 1$ , state 1's quota  $q_1 < x + \frac{1}{2}$  (check!)

state 2's quota  $q_2 > y + \frac{1}{2}$  (check!)

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At  $h = x + y + 1$ , state 1's quota  $q_1 < x + \frac{1}{2}$  (check!)

state 2's quota  $q_2 > y + \frac{1}{2}$  (check!)

$\Rightarrow f$  is not near-quota.



# PROBLEM 4

## Problem 4 [25 points]

Prove or disprove: Grimmett's randomized apportionment method (including its initial random permutation and random offset) is selection monotone.

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Solution by Anish and Amaan

# SELECTION MONOTONICITY

[Correa, Gözl, Schmidt-Kraepelin, Tucker-Foltz, and Verdugo; EC 2024]

Let  $\vec{\kappa}, \vec{\kappa}' \in [0, 1]^n$  be two residue vectors summing up to the same integer  $k$ . Let  $T$  be a set of  $k$  states such that

$$\kappa'_i \geq \kappa_i \quad \forall i \in T \quad \text{and} \quad \kappa'_i \leq \kappa_i \quad \forall i \notin T.$$

A randomized apportionment method  $f$  satisfies **selection monotonicity** if

$$\Pr_{s \sim f(\vec{\kappa}')} [S = T] \geq \Pr_{s \sim f(\vec{\kappa})} [S = T].$$

Consider a six-state problem with

$$h=3$$

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$$\text{Let } \vec{h} = (0.9, 0.9, 0.9, 0.1, 0.1, 0.1)$$

$$\text{and } \vec{h}' = (0.9, 0.9, 0.8, 0.1, 0.1, 0.2)$$

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Let  $T = \text{states } 4, 5, \text{ and } 6.$

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Let  $T = \text{states } 4, 5, \text{ and } 6$ .

Observe that

$$h'_i \geq h_i \quad \forall i \in T \quad \text{and} \quad h'_i \leq h_i \quad \forall i \notin T.$$

Consider a six-state problem with

$$h=3 \quad p_1=p_2=p_3=9 \quad p_4=p_5=p_6=1$$

$$\text{Let } \vec{\kappa} = (0.9, 0.9, 0.9, 0.1, 0.1, 0.1)$$

$$\text{and } \vec{\kappa}' = (0.9, 0.9, 0.8, \underbrace{0.1, 0.1, 0.2}_T)$$

To show failure of selection monotonicity:

$$\Pr_{s \sim f(\vec{\kappa}')} [S = T] < \Pr_{s \sim f(\vec{\kappa})} [S = T].$$

Analysis for  $\vec{h} = (0.9, 0.9, 0.9, \underbrace{0.1, 0.1, 0.1}_T)$

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\* Disregard any permutation where  $T$  and  $\bar{T}$  are not alternating

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\* Disregard any permutation where  $T$  and  $\bar{T}$  are not alternating

at least two states in  $T$  are either "too close" or "too far".



Analysis for  $\vec{h} = (0.9, 0.9, 0.9, \underbrace{0.1, 0.1, 0.1}_T)$

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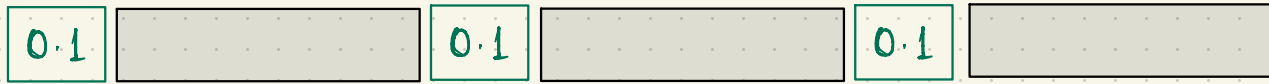
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\* For alternating permutations



$$\text{Pr}(\text{all states in } T \text{ receive seats}) = \frac{3! \times 3! \times 2}{6!} \times 0.1$$

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Similar reasoning

Analysis for  $\vec{h} = (0.9, 0.9, 0.8, \underbrace{0.1, 0.1, 0.2}_T)$

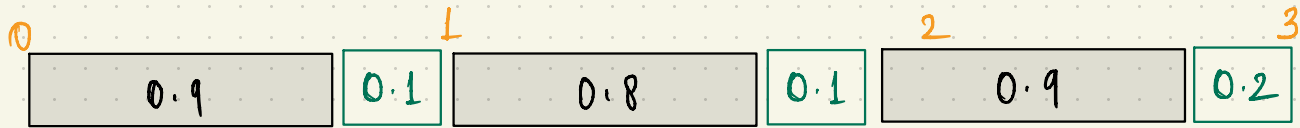
\* Disregard any permutation where  $T$  and  $\bar{T}$  are not alternating

\*  $\exists$  an alternating permutation where, for any value of the offset, states in  $T$  fail to receive all seats.

Analysis for  $\vec{h} = (0.9, 0.9, 0.8, \underbrace{0.1, 0.1, 0.2}_T)$

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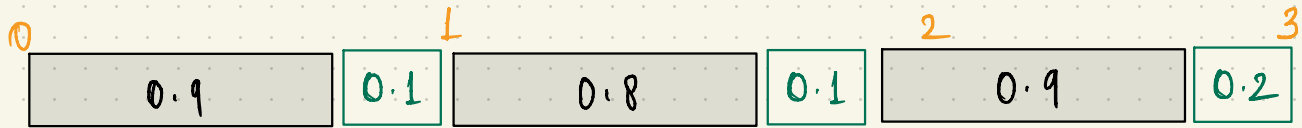
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$$\Pr(\text{all states in } T \text{ receive seats}) < \frac{3! \times 3! \times 2}{6!} \times 0.1 \quad \square$$