

# COL 351 : ANALYSIS & DESIGN OF ALGORITHMS

## LECTURE 39

### NP-COMPLETENESS II : THE CLASS NP

NOV 06, 2024

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ROHIT VAISH

# AN ALGORITHMIC MYSTERY

Minimum Spanning Tree

Traveling Salesman Problem

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## Minimum Spanning Tree

**input:** an undirected graph  $G=(V,E)$   
with real-valued edge cost  $c_e$   
for each edge  $e$

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algorithms: Prim's, Kruskal's,  $O(m \log n)$

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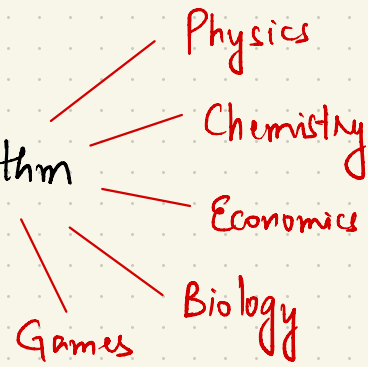
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Conjecture [Edmonds '67]

TSP doesn't have a poly-time algo.

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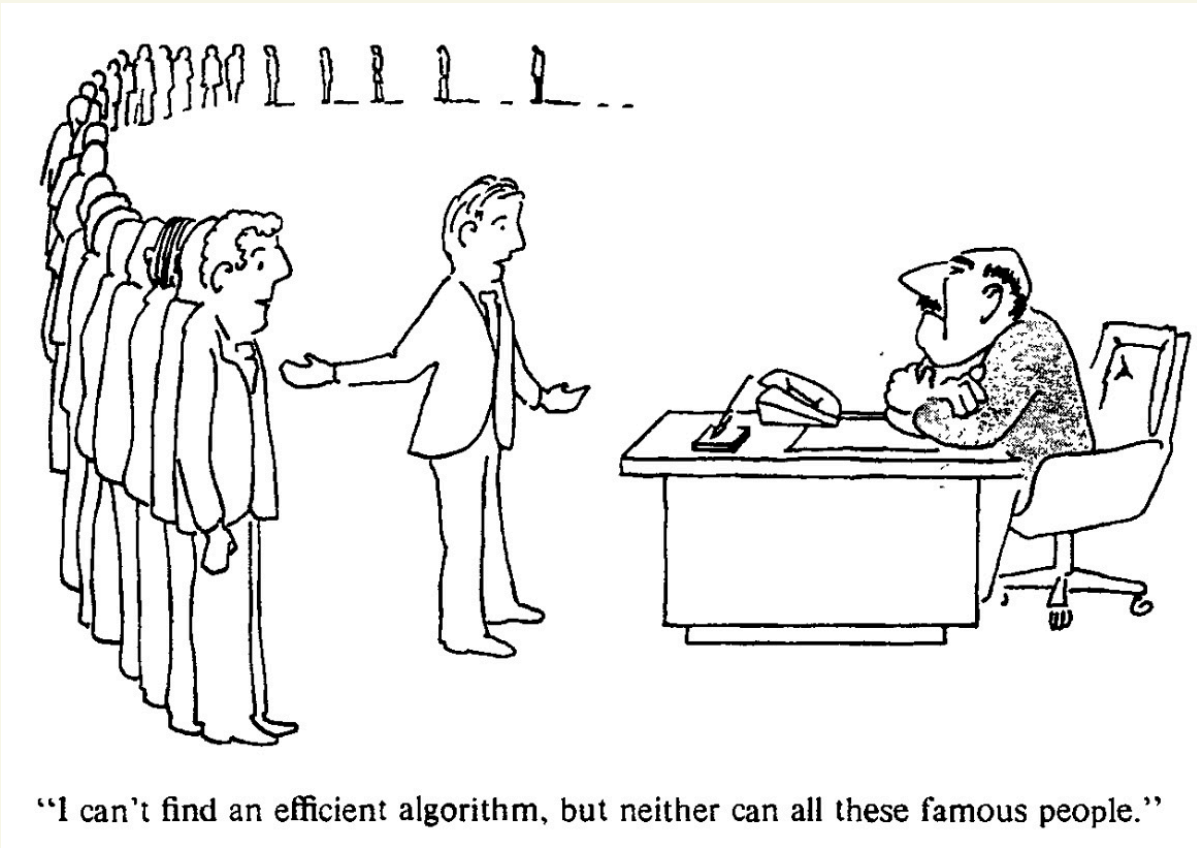
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Relative hardness and reductions!



"I can't find an efficient algorithm, but neither can all these famous people."

Source : Garey and Johnson (1979)

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\* Choose a large class  $C$  of computational problems

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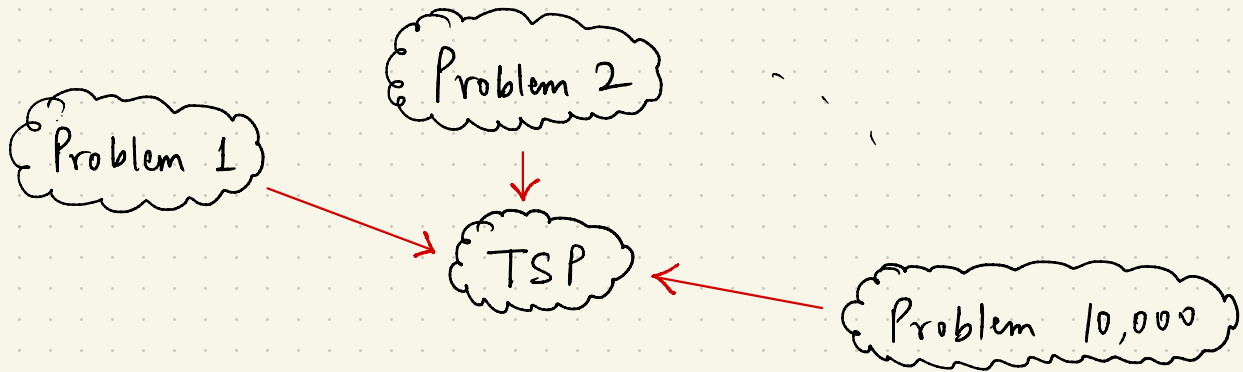
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  - \* Show that solving your problem (e.g., TSP) will solve all problems in  $C$ .
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$\Rightarrow$  If TSP is easy, then all problems in  $C$  are also easy.

If you are willing to believe that problems in  $C$  are "hard", then you should also believe that TSP is hard.

Bigger the set  $C \Rightarrow$  stronger evidence for TSP's intractability

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(Turing, 1936) HALTING problem is undecidable.

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"Given a program, determine whether it goes into an infinite loop or eventually halts."

(Turing, 1936) HALTING problem is **undecidable**.

cannot be solved by a computer in any finite amount of time  
(not even exponential, or factorial, ...)

ON COMPUTABLE NUMBERS, WITH AN APPLICATION TO  
THE ENTSCHIEDUNGSPROBLEM

*By* A. M. TURING.

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- \* *Birth* of computer science
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- \* Showed *undecidability* of HALTING problem.

# ON COMPUTABLE NUMBERS, WITH AN APPLICATION TO THE ENTSCHIEDUNGSPROBLEM

By A. M. TURING.

- \* Birth of computer science
- \* Formulated *Turing machines* — formal model of general-purpose computers
- \* Showed *undecidability* of HALTING problem.

Thus, computer scientists have been aware of computers' limitations  
literally from Day 1.

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Some problems (e.g., HALTING) can't reduce to TSP.

because TSP is solvable  
in finite time via  
exhaustive search

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The class NP

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e.g., is there a TSP tour of cost  $\leq 100$ ?

no need to actually  
produce a tour if one exists

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e.g., return any TSP tour of cost  $\leq 100$ , if one exists.

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e.g., return the min cost TSP tour



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**NOTE**: every optimization has a natural search version.

e.g., TSP tour with cost  $\leq 100$ ,

Knapsack solution with value  $\geq 100$ , etc.

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Search reduces to optimization : easy! (Exercise)

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Search *reduces* to optimization : easy! (Exercise)

Optimization *reduces* to search : via binary search (Exercise)

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We will define it for **search** problems

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↳ technically, functional NP (FNP)

THE CLASS NP



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Recall our goal : To define the class of problems solvable  
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Recall our goal : To define the class of problems solvable  
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What are the minimal ingredients necessary to solve a  
problem via naive exhaustive search?

# THE CLASS NP



Efficient recognition of alleged solutions.

# THE CLASS NP



Efficient recognition of alleged solutions.

e.g. ->

5	3			7				
6			1	9	5			
	9	8					6	
8				6				3
4			8		3			1
7				2				6
	6					2	8	
			4	1	9			5
				8			7	9

5	3	4	6	7	8	9	1	2
6	7	2	1	9	5	3	4	8
1	9	8	3	4	2	5	6	7
8	5	9	7	6	1	4	2	3
4	2	6	8	5	3	7	9	1
7	1	3	9	2	4	8	5	6
9	6	1	5	3	7	2	8	4
2	8	7	4	1	9	6	3	5
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# THE CLASS NP



Efficient recognition of alleged solutions.

e.g., checking whether  $v_5 \rightarrow v_{17} \rightarrow v_{105} \rightarrow v_2 \rightarrow \dots \rightarrow v_5$   
is a valid TSP tour of cost  $\leq 100$ .

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e.g., any sequence of vertices is of polynomial size

- ② For every instance and every candidate solution, the feasibility of the solution can be confirmed or denied in time polynomial in the input size.

e.g., checking validity of a sequence of vertex possible in poly time

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NP  $\neq$  Not Polynomial

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① Search version of TSP

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## ① Search version of TSP

**input:** An undirected graph  $G = (V, E)$  with edge costs  $\{c_e\}_{e \in E}$ .

**output:** Return a tour with cost  $\leq t$  if one exists  
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# EXAMPLES OF PROBLEMS IN NP

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## ② Search version of MST

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# EXAMPLES OF PROBLEMS IN NP

③ Search version of sequence alignment



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③ Search version of sequence alignment

input: Two strings  $X$  and  $Y$ , gap penalty  $\alpha_{\text{gap}}$ , mismatch penalty  $\alpha_{\text{ny}}$

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**Why in NP?**

\* Any alleged alignment can be described using  $O(n+m)$  bits.

\* checking whether a given pair of strings constitute a valid alignment  
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