

COL 351 : ANALYSIS & DESIGN OF ALGORITHMS

LECTURE 15

GREEDY ALGORITHMS II :

JOB SCHEDULING (CONTD.) AND HUFFMAN CODING

AUG 28, 2024

|

ROHIT VAISH

GREEDY ALGORITHMS

Do what looks best right now and
hope everything works out at the end

JOB SCHEDULING

JOB SCHEDULING

input: a set of n jobs with positive lengths l_1, l_2, \dots, l_n
and positive weights w_1, w_2, \dots, w_n

output: a job schedule (or sequence) that minimizes
the sum of weighted completion times $\sum_{j=1}^n w_j \cdot C_j$.

JOB SCHEDULING

input: a set of n jobs with positive lengths l_1, l_2, \dots, l_n
and positive weights w_1, w_2, \dots, w_n

output: a job schedule (or sequence) that minimizes
the sum of weighted completion times $\sum_{j=1}^n w_j \cdot C_j$.

Brute force: $O(n!)$ 😞

COMPLETION TIMES

The completion time C_j of job j =

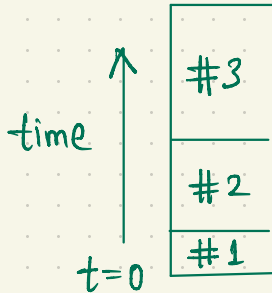
Sum of job lengths up to and including j .

COMPLETION TIMES

The completion time C_j of job j =

Sum of job lengths up to and including j .

E.g., $l_1 = 1$, $l_2 = 2$, $l_3 = 3$



$$C_1 = 1 \quad C_2 = 3 \quad C_3 = 6$$

OBJECTIVE FUNCTION

goal: minimize the weighted sum of completion times

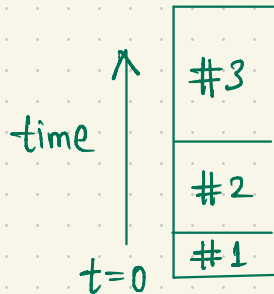
$$\min \sum_{j=1}^n w_j \cdot C_j$$

OBJECTIVE FUNCTION

goal: minimize the weighted sum of completion times

$$\min \sum_{j=1}^n w_j \cdot C_j$$

E.g., 3 jobs, lengths $l_1 = 1$, $l_2 = 2$, $l_3 = 3$
weights $w_1 = 3$, $w_2 = 2$, $w_3 = 1$

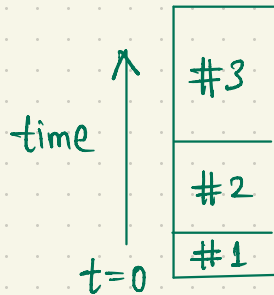


OBJECTIVE FUNCTION

goal: minimize the weighted sum of completion times

$$\min \sum_{j=1}^n w_j \cdot C_j$$

E.g., 3 jobs, lengths $l_1 = 1$, $l_2 = 2$, $l_3 = 3$
weights $w_1 = 3$, $w_2 = 2$, $w_3 = 1$



$$\begin{aligned} \sum_{j=1}^n w_j \cdot C_j &= \underset{w_1}{3} \times \underset{C_1}{1} + \underset{w_2}{2} \times \underset{C_2}{3} + \underset{w_3}{1} \times \underset{C_3}{6} \\ &= 15 \end{aligned}$$

SPECIAL CASES

goal: $\min \sum_{j=1}^n w_j \cdot C_j$

(I) All lengths equal: schedule **heavier** job earlier

(II) All weights equal: schedule **shorter** job earlier

GENERAL INPUTS

Heavier jobs may not be shorter — conflicting advice.

GENERAL INPUTS

Heavier jobs may not be shorter — conflicting advice.

Idea: Use a score function

* increasing in weight

* decreasing in length

GENERAL INPUTS

Heavier jobs may not be shorter — conflicting advice.

Idea: Use a score function

* increasing in weight

* decreasing in length

Proposal 1: schedule in decreasing order of $w_j - l_j$.

Proposal 2: schedule in decreasing order of w_j / l_j .

GENERAL INPUTS

Heavier jobs may not be shorter — conflicting advice.

Idea: Use a score function

* increasing in weight

* decreasing in length

Proposal 1: schedule in decreasing order of $w_j - l_j$

Proposal 2: schedule in decreasing order of w_j / l_j .

Suboptimal

CORRECTNESS OF ORDER-BY-RATIO

Theorem: Scheduling the jobs in decreasing order of ratios w_j/p_j minimizes the weighted completion time.

CORRECTNESS OF ORDER-BY-RATIO

Theorem : Scheduling the jobs in decreasing order of ratios w_j/p_j minimizes the weighted completion time.

Proof : (by an exchange argument)

CORRECTNESS OF ORDER-BY-RATIO

Theorem : Scheduling the jobs in decreasing order of ratios w_j/p_j minimizes the weighted completion time.

Proof : (by an exchange argument)

* by **contradiction** : without ties

* by **massaging** : with ties

CORRECTNESS OF ORDER-BY-RATIO

Theorem : Scheduling the jobs in decreasing order of ratios w_j/p_j minimizes the weighted completion time.

Proof : (by an exchange argument)

* **by contradiction** : without ties

* **by massaging** : with ties

CORRECTNESS OF ORDER-BY-RATIO

Theorem: Scheduling the jobs in decreasing order of ratios w_j/l_j minimizes the weighted completion time.

Proof: Two assumptions:

① jobs are indexed in decreasing order of ratios

$$\frac{w_1}{l_1} \geq \frac{w_2}{l_2} \geq \dots \geq \frac{w_n}{l_n}$$

② no ties between ratios

$$\frac{w_i}{l_i} \neq \frac{w_j}{l_j} \quad \text{whenever } i \neq j$$

CORRECTNESS OF ORDER-BY-RATIO

Theorem : Scheduling the jobs in decreasing order of ratios w_j/p_j minimizes the weighted completion time.

Proof : Greedy schedule : σ Optimal schedule : $\sigma^* \neq \sigma$

CORRECTNESS OF ORDER-BY-RATIO

Theorem: Scheduling the jobs in decreasing order of ratios w_j/p_j minimizes the weighted completion time.

Proof: Greedy schedule: σ Optimal schedule: $\sigma^* \neq \sigma$
consecutive inversion

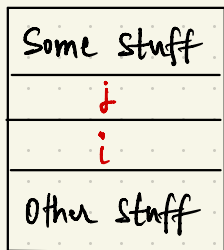
CORRECTNESS OF ORDER-BY-RATIO

Theorem: Scheduling the jobs in decreasing order of ratios w_j/p_j minimizes the weighted completion time.

Proof: Greedy schedule: σ Optimal schedule: $\sigma^* \neq \sigma$

consecutive inversion

$$i > j$$



$$\sigma^*$$

CORRECTNESS OF ORDER-BY-RATIO

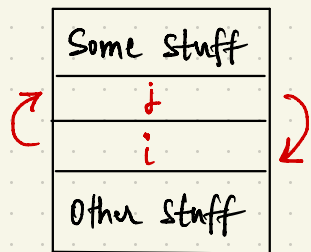
Theorem: Scheduling the jobs in decreasing order of ratios w_j/p_j minimizes the weighted completion time.

Proof: Greedy schedule: σ

Optimal schedule: $\sigma^* \neq \sigma$

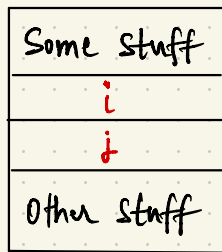
consecutive inversion

$$i > j$$



σ^*

exchange
 i and j



τ

CORRECTNESS OF ORDER-BY-RATIO

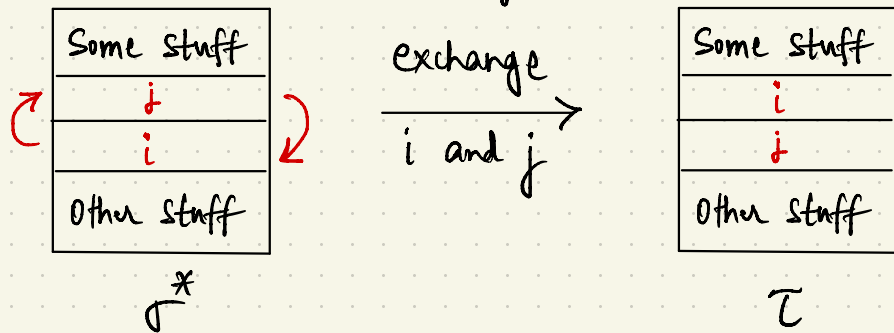
Theorem: Scheduling the jobs in decreasing order of ratios w_j/l_j minimizes the weighted completion time.

Proof: Greedy schedule: σ

Optimal schedule: $\sigma^* \neq \sigma$

consecutive inversion

$$i > j \Rightarrow \frac{w_i}{l_i} < \frac{w_j}{l_j} \Rightarrow w_i \cdot l_j < w_j \cdot l_i$$



CORRECTNESS OF ORDER-BY-RATIO

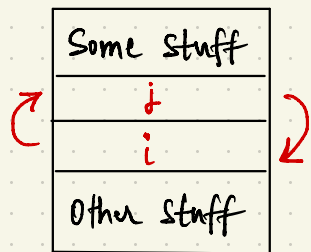
Theorem: Scheduling the jobs in decreasing order of ratios w_j/l_j minimizes the weighted completion time.

Proof: Greedy schedule: σ

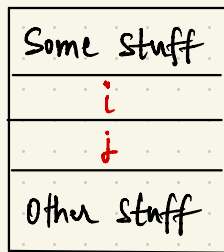
Optimal schedule: $\sigma^* \neq \sigma$

consecutive inversion

$$i > j \Rightarrow \frac{w_i}{l_i} < \frac{w_j}{l_j} \Rightarrow w_i \cdot l_j < w_j \cdot l_i$$



exchange
i and j



σ^*

τ

Objective (τ) =

$$\text{Objective}(\sigma^*) + w_i l_j - w_j l_i$$

CORRECTNESS OF ORDER-BY-RATIO

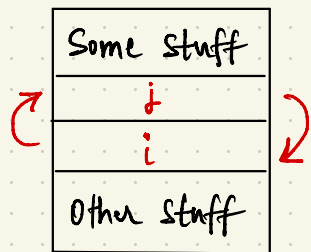
Theorem: Scheduling the jobs in decreasing order of ratios w_j/l_j minimizes the weighted completion time.

Proof: Greedy schedule: σ

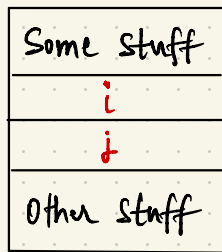
Optimal schedule: $\sigma^* \neq \sigma$

consecutive inversion

$$i > j \Rightarrow \frac{w_i}{l_i} < \frac{w_j}{l_j} \Rightarrow w_i \cdot l_j < w_j \cdot l_i$$



exchange
i and j



σ^*

τ

Objective (τ) =

$$\text{Objective}(\sigma^*) + \underbrace{w_i l_j - w_j l_i}_{< 0}$$

CORRECTNESS OF ORDER-BY-RATIO

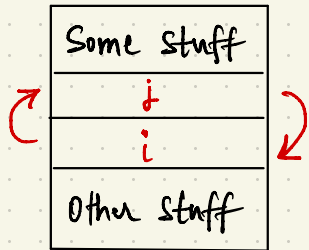
Theorem: Scheduling the jobs in decreasing order of ratios w_j/l_j minimizes the weighted completion time.

Proof: Greedy schedule: σ

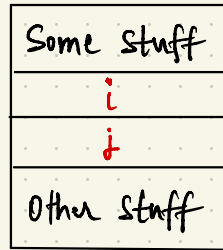
Optimal schedule: $\sigma^* \neq \sigma$

consecutive inversion

$$i > j \Rightarrow \frac{w_i}{l_i} < \frac{w_j}{l_j} \Rightarrow w_i \cdot l_j < w_j \cdot l_i$$



exchange
 $\xrightarrow{i \text{ and } j}$



σ^*

τ

Objective (τ) =

$$\text{Objective}(\sigma^*) + \underbrace{w_i l_j - w_j l_i}_{< 0}$$

τ is strictly better than σ^*

□

CORRECTNESS OF ORDER-BY-RATIO

Theorem : Scheduling the jobs in decreasing order of ratios w_j/p_j minimizes the weighted completion time.

Proof : Two variants :

* **by contradiction** : without ties

* **by massaging** : with ties

CORRECTNESS OF ORDER-BY-RATIO

Theorem : Scheduling the jobs in decreasing order of ratios w_j/p_j minimizes the weighted completion time.

Proof : Two variants :

* by **contradiction** : without ties

* by **massaging** : with ties

HANDLING TIES

Theorem : Scheduling the jobs in decreasing order of ratios w_j/l_j minimizes the weighted completion time.

Proof : Recall the two assumptions :

① jobs are indexed in decreasing order of ratios

$$\frac{w_1}{l_1} \geq \frac{w_2}{l_2} \geq \dots \geq \frac{w_n}{l_n}$$

② no ties between ratios

$$\frac{w_i}{l_i} \neq \frac{w_j}{l_j} \text{ whenever } i \neq j$$

HANDLING TIES

Theorem: Scheduling the jobs in decreasing order of ratios w_j/l_j minimizes the weighted completion time.

Proof: Recall the two assumptions:

① jobs are indexed in decreasing order of ratios

$$\frac{w_1}{l_1} \geq \frac{w_2}{l_2} \geq \dots \geq \frac{w_n}{l_n}$$

~~②~~ no ties between ratios

$$\frac{w_i}{l_i} \neq \frac{w_j}{l_j} \text{ whenever } i \neq j$$

HANDLING TIES

Theorem : Scheduling the jobs in decreasing order of ratios w_j/p_j minimizes the weighted completion time.

Proof : Let σ = greedy schedule , τ = any other schedule
(not necessarily optimal)

HANDLING TIES

Theorem: Scheduling the jobs in decreasing order of ratios w_j/p_j minimizes the weighted completion time.

Proof: Let σ = greedy schedule, τ = any other schedule
(not necessarily optimal)

Will show that σ is at least as good as τ
 \Rightarrow greedy schedule is optimal.

HANDLING TIES

Theorem : Scheduling the jobs in decreasing order of ratios w_j/l_j minimizes the weighted completion time.

Proof : Greedy schedule σ is $(1, 2, \dots, n)$; thus, $\frac{w_1}{l_1} \geq \frac{w_2}{l_2} \geq \dots \geq \frac{w_n}{l_n}$.

HANDLING TIES

Theorem : Scheduling the jobs in decreasing order of ratios w_j/l_j minimizes the weighted completion time.

Proof : Greedy schedule σ is $(1, 2, \dots, n)$; thus, $\frac{w_1}{l_1} \geq \frac{w_2}{l_2} \geq \dots \geq \frac{w_n}{l_n}$.
Consider an **arbitrary** schedule τ .

HANDLING TIES

Theorem : Scheduling the jobs in decreasing order of ratios w_j/l_j minimizes the weighted completion time.

Proof : Greedy schedule σ is $(1, 2, \dots, n)$; thus, $\frac{w_1}{l_1} \geq \frac{w_2}{l_2} \geq \dots \geq \frac{w_n}{l_n}$.

Consider an **arbitrary** schedule τ .

If $\sigma = \tau$, we're done!

HANDLING TIES

Theorem : Scheduling the jobs in decreasing order of ratios w_j/l_j minimizes the weighted completion time.

Proof : Greedy schedule σ is $(1, 2, \dots, n)$; thus, $\frac{w_1}{l_1} \geq \frac{w_2}{l_2} \geq \dots \geq \frac{w_n}{l_n}$.

Consider an **arbitrary** schedule τ .

If $\sigma = \tau$, we're done!

Else τ contains a **consecutive inversion**.

HANDLING TIES

Theorem: Scheduling the jobs in decreasing order of ratios w_j/l_j minimizes the weighted completion time.

Proof: Greedy schedule σ is $(1, 2, \dots, n)$; thus, $\frac{w_1}{l_1} \geq \frac{w_2}{l_2} \geq \dots \geq \frac{w_n}{l_n}$.

Consider an **arbitrary** schedule τ .

If $\sigma = \tau$, we're done!

Else τ contains a **consecutive inversion**.

↳ consecutive jobs i, j in τ
such that $i > j$.

HANDLING TIES

Theorem : Scheduling the jobs in decreasing order of ratios w_j/l_j minimizes the weighted completion time.

Proof : Consecutive invasion i, j
 $i > j \Rightarrow \frac{w_i}{l_i} \leq \frac{w_j}{l_j}$

HANDLING TIES

Theorem : Scheduling the jobs in decreasing order of ratios w_j/l_j minimizes the weighted completion time.

Proof : Consecutive inversion i, j
 $i > j \Rightarrow \frac{w_i}{l_i} \leq \frac{w_j}{l_j} \Rightarrow w_i \cdot l_j \leq w_j \cdot l_i$

HANDLING TIES

Theorem : Scheduling the jobs in decreasing order of ratios w_j/l_j minimizes the weighted completion time.

Proof : Consecutive inversion i, j
 $i > j \Rightarrow \frac{w_i}{l_i} \leq \frac{w_j}{l_j} \Rightarrow w_i \cdot l_j \leq w_j \cdot l_i$

Observe,

$$\sum_{k=1}^n w_k \cdot C_k(\tau^{\text{new}}) = \sum_{k=1}^n w_k \cdot C_k(\tau) + w_i l_j - w_j l_i$$

objective for
new schedule

objective for τ

effect of exchange

HANDLING TIES

Theorem : Scheduling the jobs in decreasing order of ratios w_j/l_j minimizes the weighted completion time.

Proof : Consecutive inversion i, j
 $i > j \Rightarrow \frac{w_i}{l_i} \leq \frac{w_j}{l_j} \Rightarrow w_i \cdot l_j \leq w_j \cdot l_i$

Observe,

$$\sum_{k=1}^n w_k \cdot C_k(\tau^{\text{new}}) = \sum_{k=1}^n w_k \cdot C_k(\tau) + w_i l_j - w_j l_i \leq 0$$

objective for
new schedule

objective for τ

effect of exchange

HANDLING TIES

Theorem : Scheduling the jobs in decreasing order of ratios w_j/p_j minimizes the weighted completion time.

Proof : τ^{new} is **no worse** than τ .

HANDLING TIES

Theorem : Scheduling the jobs in decreasing order of ratios w_j/p_j minimizes the weighted completion time.

Proof : τ^{new} is **no worse** than τ .

After exchange of i and j , number of inversions between σ and τ **decrease** by 1.

HANDLING TIES

Theorem : Scheduling the jobs in decreasing order of ratios w_j/p_j minimizes the weighted completion time.

Proof : τ^{new} is **no worse** than τ .

After exchange of i and j , number of inversions between σ and τ **decrease** by 1.

\Rightarrow After at most $\binom{n}{2}$ exchanges, can transform τ to σ .

HANDLING TIES

Theorem : Scheduling the jobs in decreasing order of ratios w_j/p_j minimizes the weighted completion time.

Proof : τ^{new} is **no worse** than τ .

After exchange of i and j , number of inversions between σ and τ **decrease** by 1.

\Rightarrow After at most $\binom{n}{2}$ exchanges, can transform τ to σ .

\Rightarrow σ is at least as good as **any other** schedule

HANDLING TIES

Theorem : Scheduling the jobs in decreasing order of ratios w_j/p_j minimizes the weighted completion time.

Proof : τ^{new} is **no worse** than τ .

After exchange of i and j , number of inversions between σ and τ **decrease** by 1.

\Rightarrow After at most $\binom{n}{2}$ exchanges, can transform τ to σ .

\Rightarrow σ is at least as good as **any other** schedule

\Rightarrow σ must be optimal



HUFFMAN CODING

BINARY CODES

BINARY CODES

Alphabet Σ : finite non empty set of symbols

e.g., $\Sigma = \{A, B, \dots, Z\}$, $\Sigma = \{ \cdot, * \}$, etc.

BINARY CODES

Alphabet Σ : finite nonempty set of symbols

e.g., $\Sigma = \{A, B, \dots, Z\}$, $\Sigma = \{ \cdot, * \}$, etc.

Binary code : maps each character of an alphabet to a binary string

BINARY CODES

Alphabet Σ : finite nonempty set of symbols

e.g., $\Sigma = \{A, B, \dots, Z\}$, $\Sigma = \{ \cdot, * \}$, etc.

Binary code : maps each character of an alphabet to a binary string

e.g., $A = 00000$

$B = 00001$

$C = 00010$

\vdots

BINARY CODES

Alphabet Σ : finite nonempty set of symbols

e.g., $\Sigma = \{A, B, \dots, Z\}$, $\Sigma = \{ \cdot, * \}$, etc.

Binary code : maps each character of an alphabet to a binary string

e.g., $A = 00000$

$B = 00001$

$C = 00010$

\vdots

fixed length binary codes

VARIABLE LENGTH CODES

When some symbols of the alphabet occur more frequently than others

VARIABLE LENGTH CODES

When some symbols of the alphabet occur *more frequently* than others

e.g.,

fixed length

A 00

B 01

C 10

D 11

variable length

A 0

B 01

C 10

D 1

VARIABLE LENGTH CODES

When some symbols of the alphabet occur *more frequently* than others

e.g.,

fixed length

A 00

B 01

C 10

D 11

variable length

A 0

B 01

C 10

D 1

Ambiguous 😞

How to interpret 001?

AB ? AAD ?

PREFIX-FREE CODES

PREFIX-FREE CODES

For each pair of distinct symbols $i, j \in \Sigma$,

the encoding of i is not a prefix of j and vice versa

PREFIX-FREE CODES

For each pair of distinct symbols $i, j \in \Sigma$,

the encoding of i is not a prefix of j and vice versa

e.g., fixed length codes are prefix-free

PREFIX-FREE CODES

For each pair of distinct symbols $i, j \in \Sigma$,

the encoding of i is not a prefix of j and vice versa

e.g., fixed length codes are prefix-free

variable length

A 0

B 01

C 10

D 1

not prefix-free

PREFIX-FREE CODES

For each pair of distinct symbols $i, j \in \Sigma$,

the encoding of i is not a prefix of j and vice versa

e.g., fixed length codes are prefix-free

variable length

A 0

B 01

C 10

D 1

not prefix-free

variable length

A 0

B 10

C 110

D 111

prefix-free

EFFICIENCY OF PREFIX-FREE CODES

EFFICIENCY OF PREFIX-FREE CODES

Symbol	frequency	fixed length	variable length (prefix-free)
A	60%	00	0
B	25%	01	10
C	10%	10	110
D	5%	11	111

EFFICIENCY OF PREFIX-FREE CODES

Symbol	frequency	fixed length	variable length (prefix-free)
A	60%	00	0
B	25%	01	10
C	10%	10	110
D	5%	11	111

On average : 2 bits/symbol

1.55 bits/symbol

$$= 0.6 \times 1 + 0.25 \times 2 + 0.15 \times 3$$

EFFICIENCY OF PREFIX-FREE CODES

Symbol	frequency	fixed length	variable length (prefix-free)
A	60%	00	0
B	25%	01	10
C	10%	10	110
D	5%	11	111

On average : 2 bits/symbol

1.55 bits/symbol *more efficient*

$$= 0.6 \times 1 + 0.25 \times 2 + 0.15 \times 3$$

EFFICIENCY OF PREFIX-FREE CODES

Symbol	frequency	fixed length	variable length (prefix-free)
A	60%	00	0
B	25%	01	10
C	10%	10	110
D	5%	11	111

On average : 2 bits/symbol 1.55 bits/symbol *more efficient*

$$= 0.6 \times 1 + 0.25 \times 2 + 0.15 \times 3$$

How to compute an optimal prefix-free code?