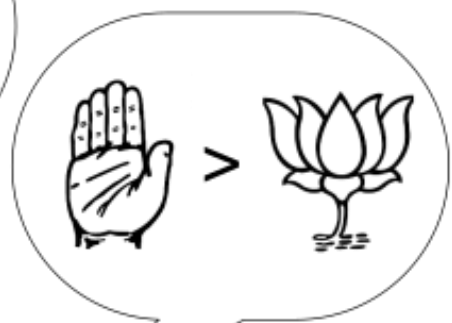
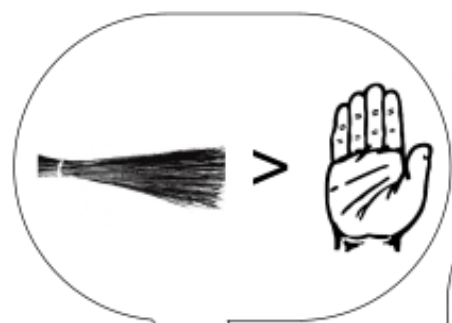


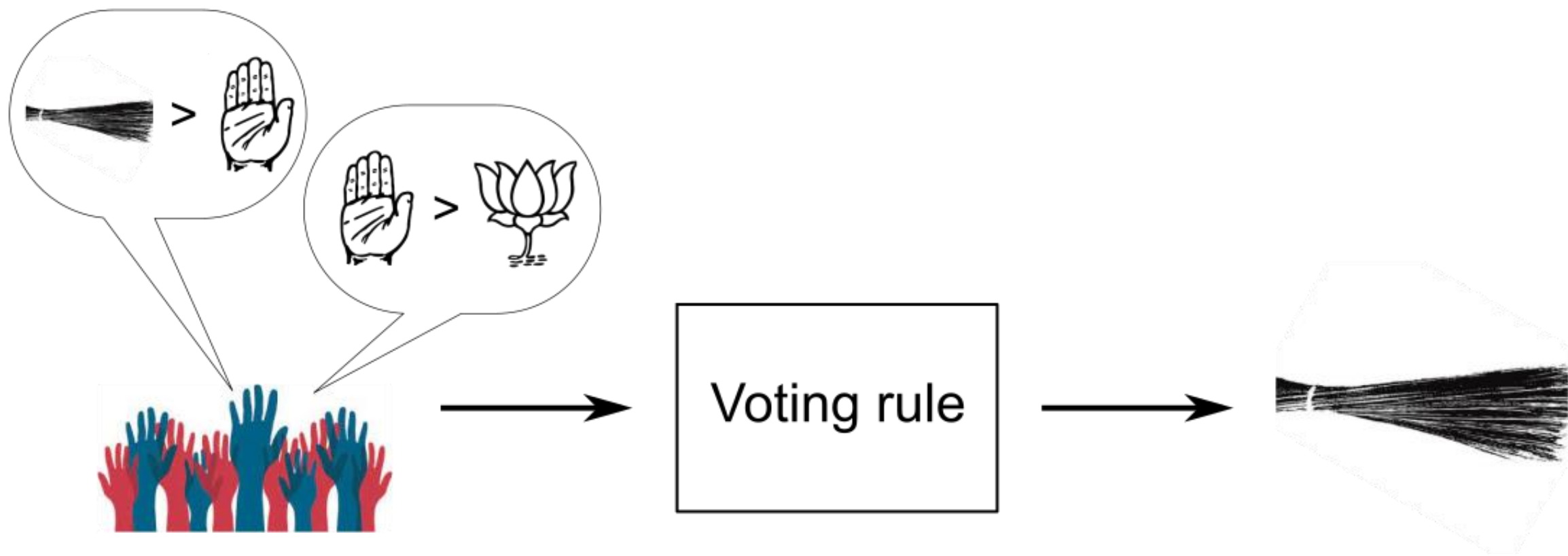
Lecture 15

Voting Rules



Voting rule



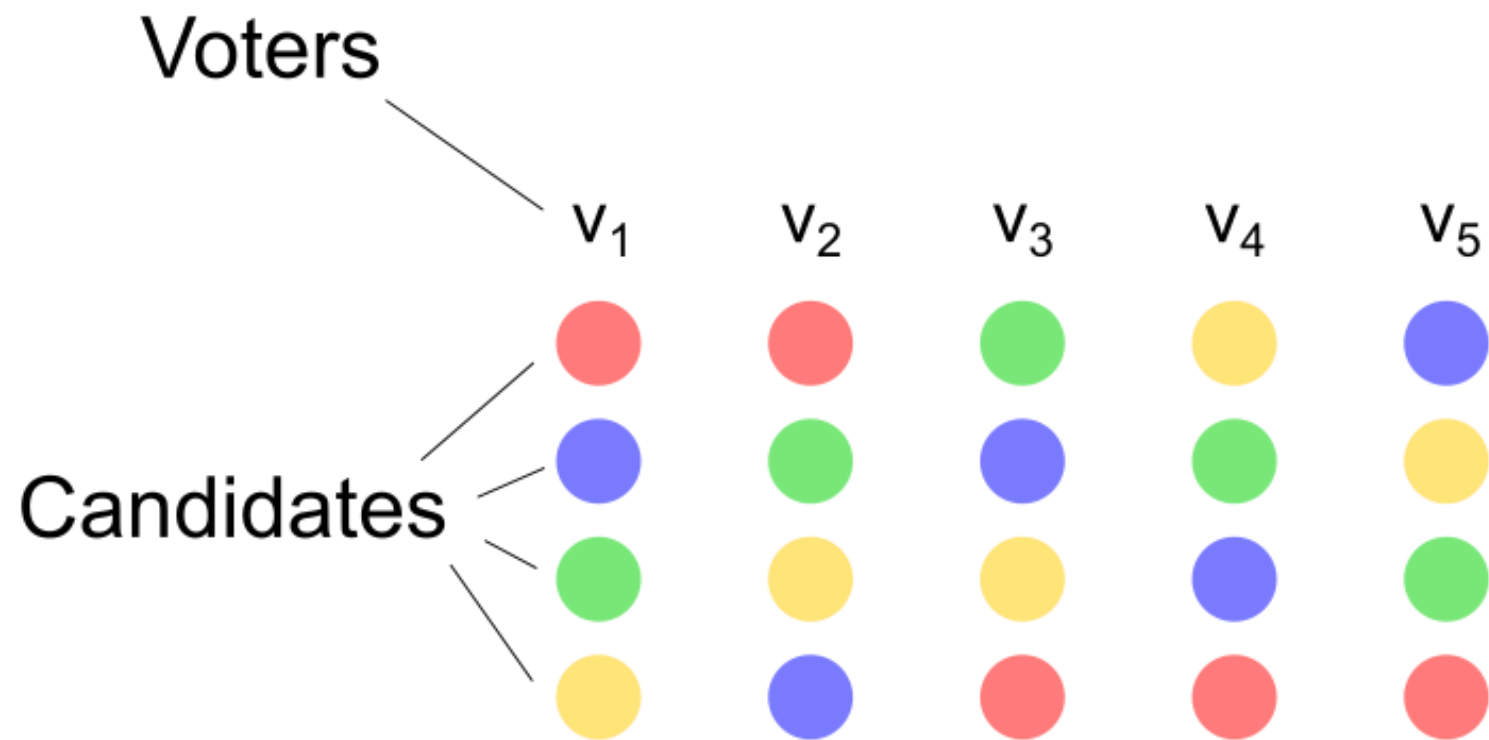


amazon

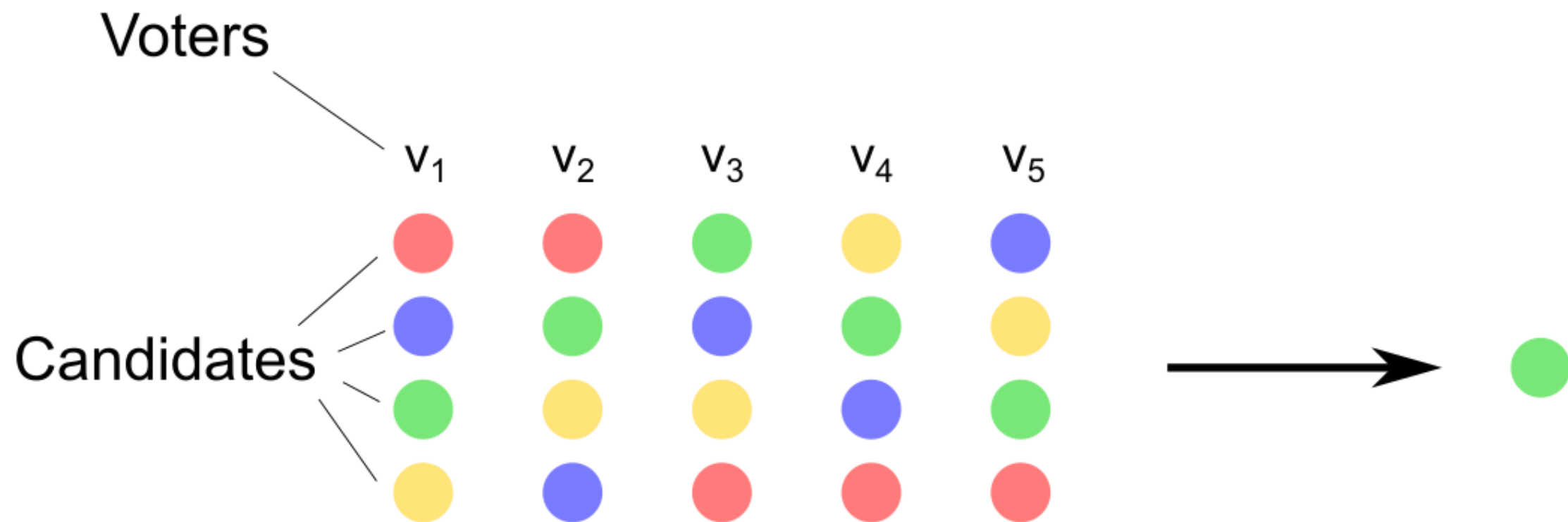


Voting Setup

Voting Setup

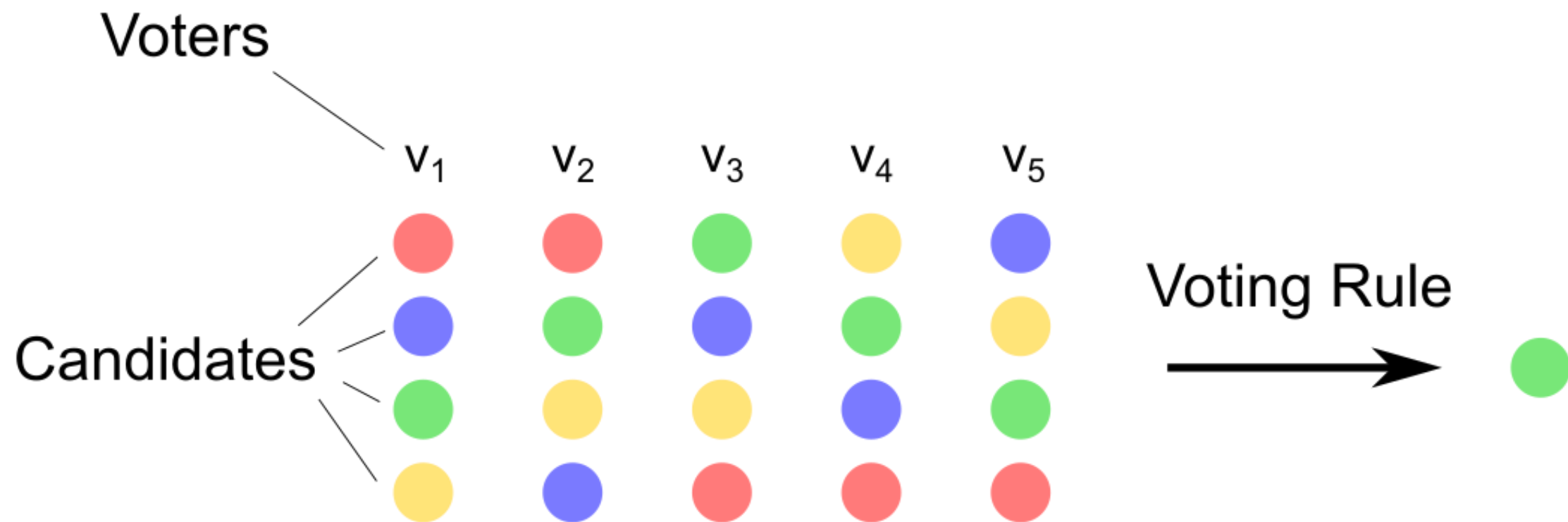


Voting Setup



Goal: Pick exactly one winning candidate.

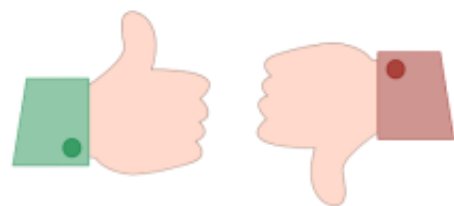
Voting Setup



Goal: Pick exactly one winning candidate.

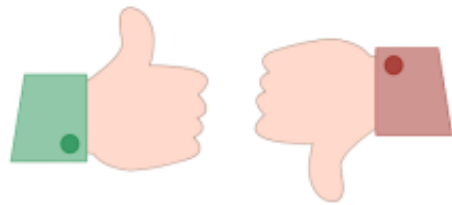


Voting Rule





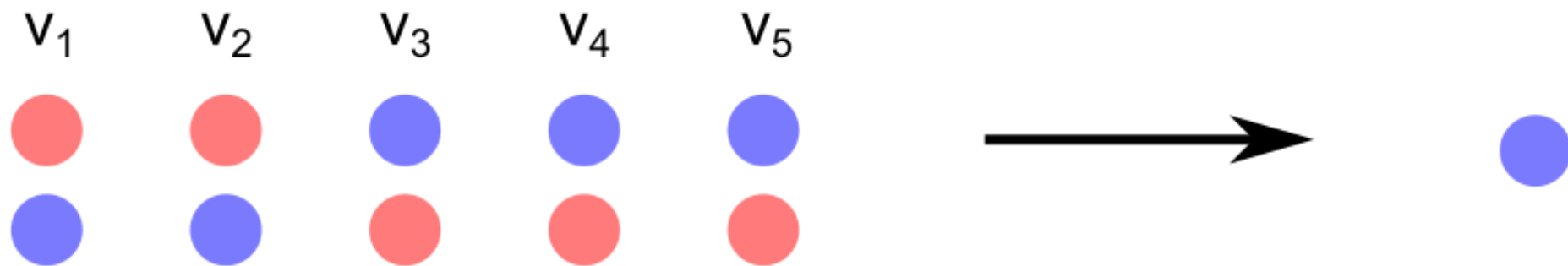
Voting Rule



Voting with Two Candidates

Voting with Two Candidates

Majority!



Voting with More Than Two Candidates

Voting with More Than Two Candidates

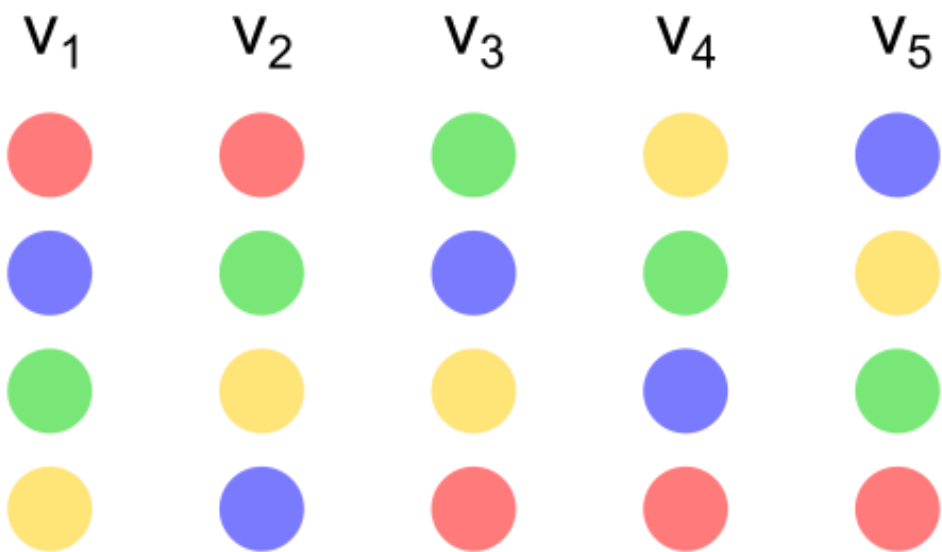
No candidate may have a majority...

1

Plurality

1

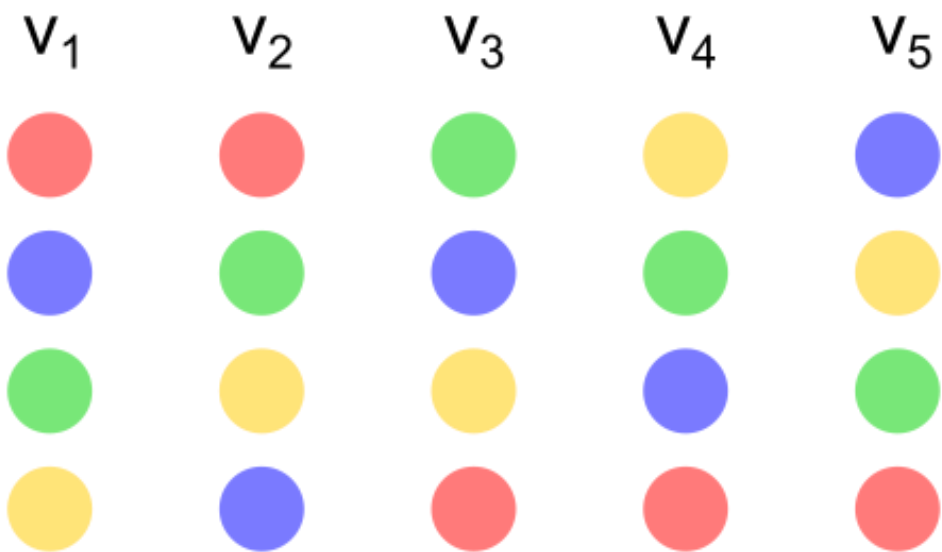
Plurality



1

Candidate with the most first-place votes wins

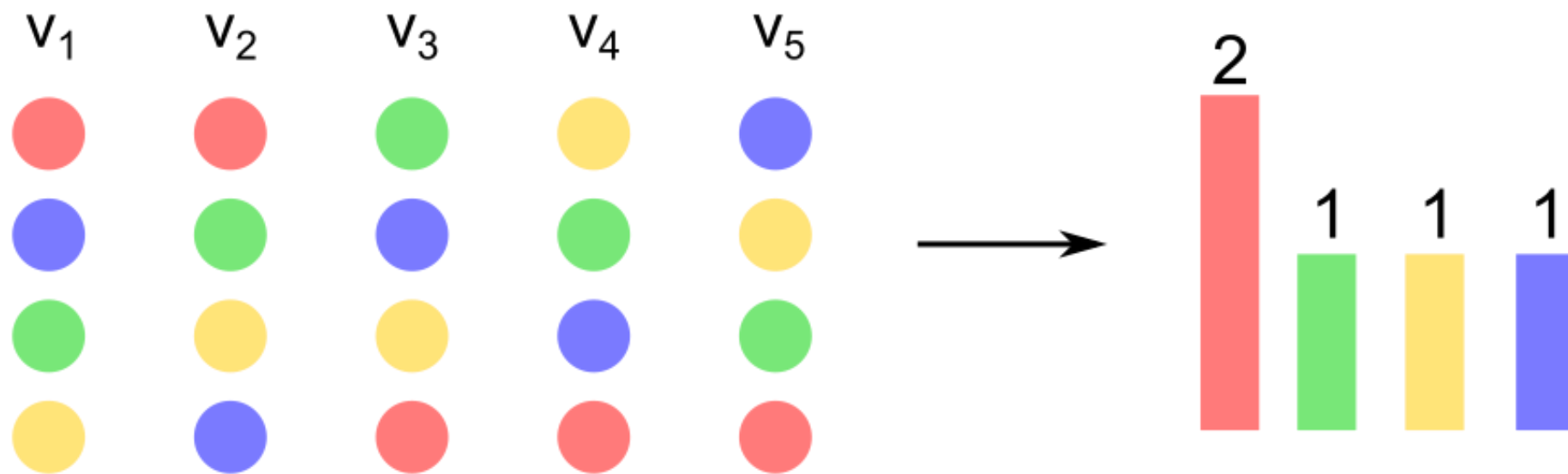
Plurality



1

Candidate with the most first-place votes wins

Plurality



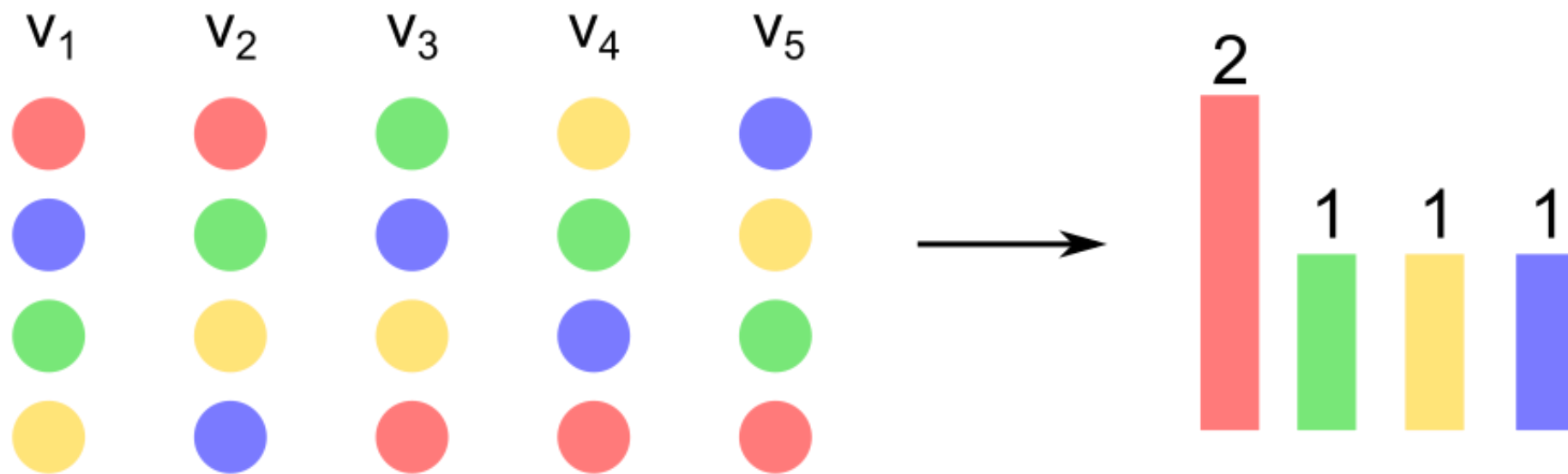
Plurality winner: ●

1

Candidate with the most first-place votes wins*

*subject to tie-breaking: lexicographic, random, ...

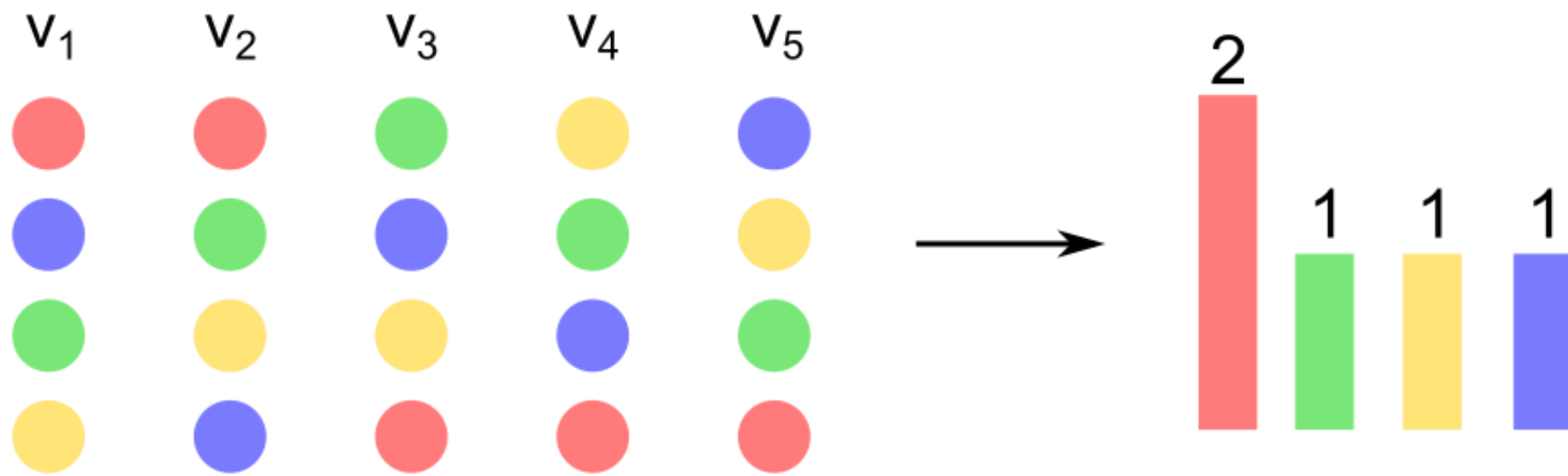
Plurality



Plurality winner: ●

1

Problem: A majority prefers ● over the Plurality winner.



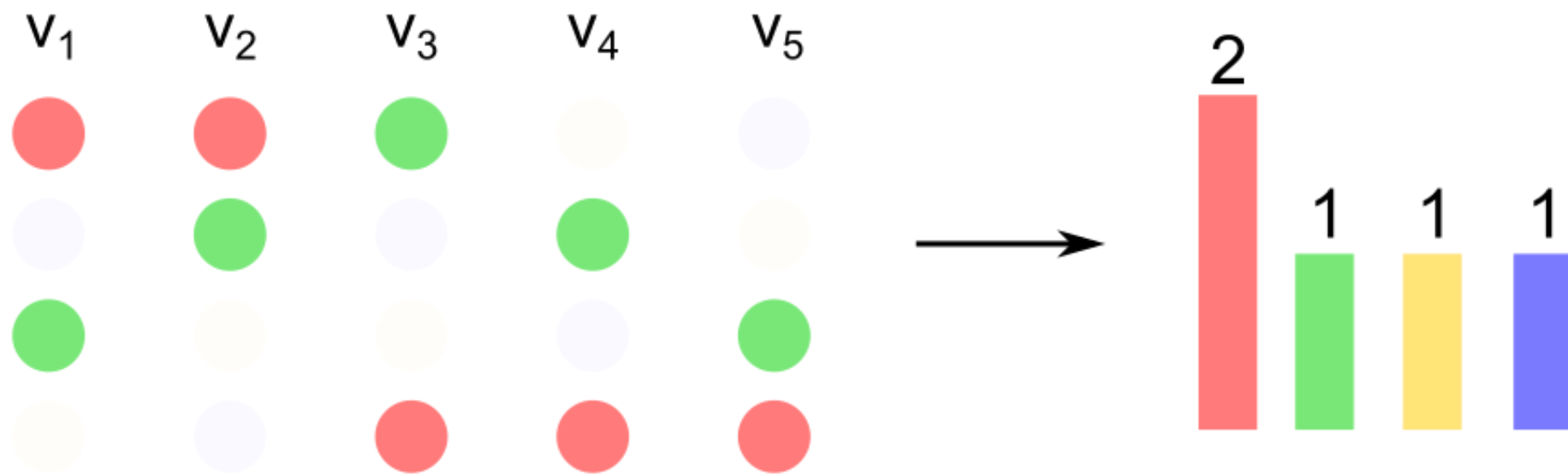
Plurality winner: ●

Plurality

1

Problem: A majority prefers ● over the Plurality winner.

Plurality



Plurality winner: ●

1

Plurality

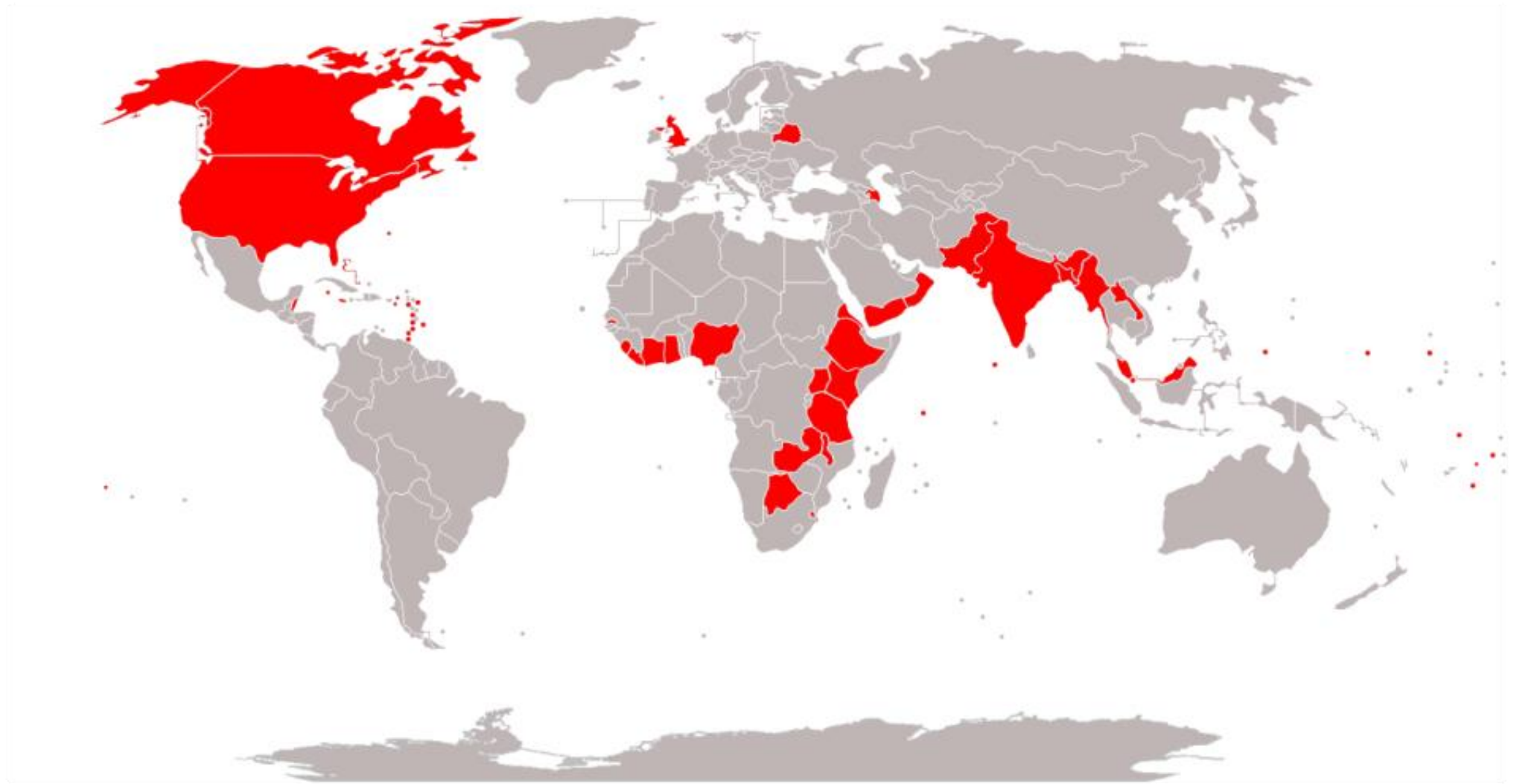


Image Source: Wikipedia article on "Electoral system" (Jan 2022)

2

Borda
Count

2

Borda
Count



Jean-Charles de Borda
(1733-1799)

2

Borda Count



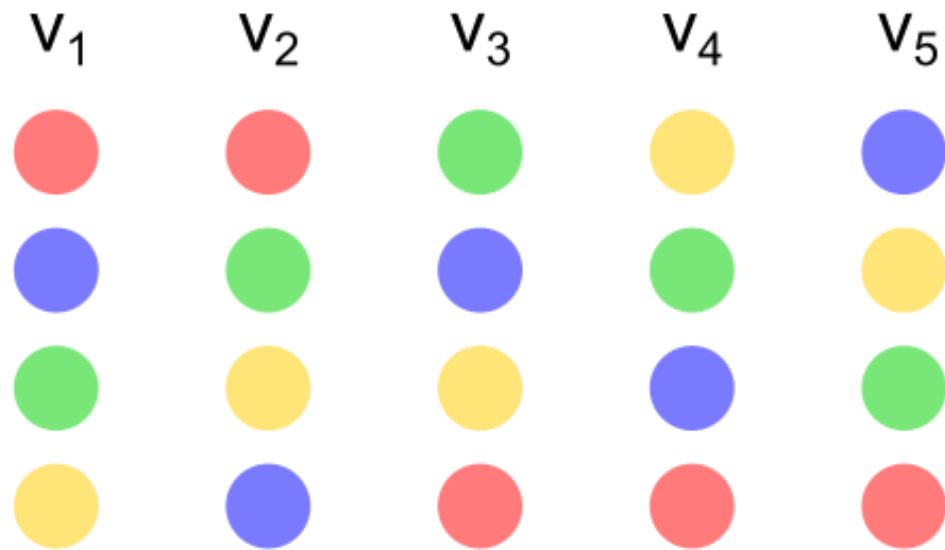
Jean-Charles de Borda
(1733-1799)



2

Each voter gives its k^{th} ranked candidate $m-k$ points, where m is the number of candidates.

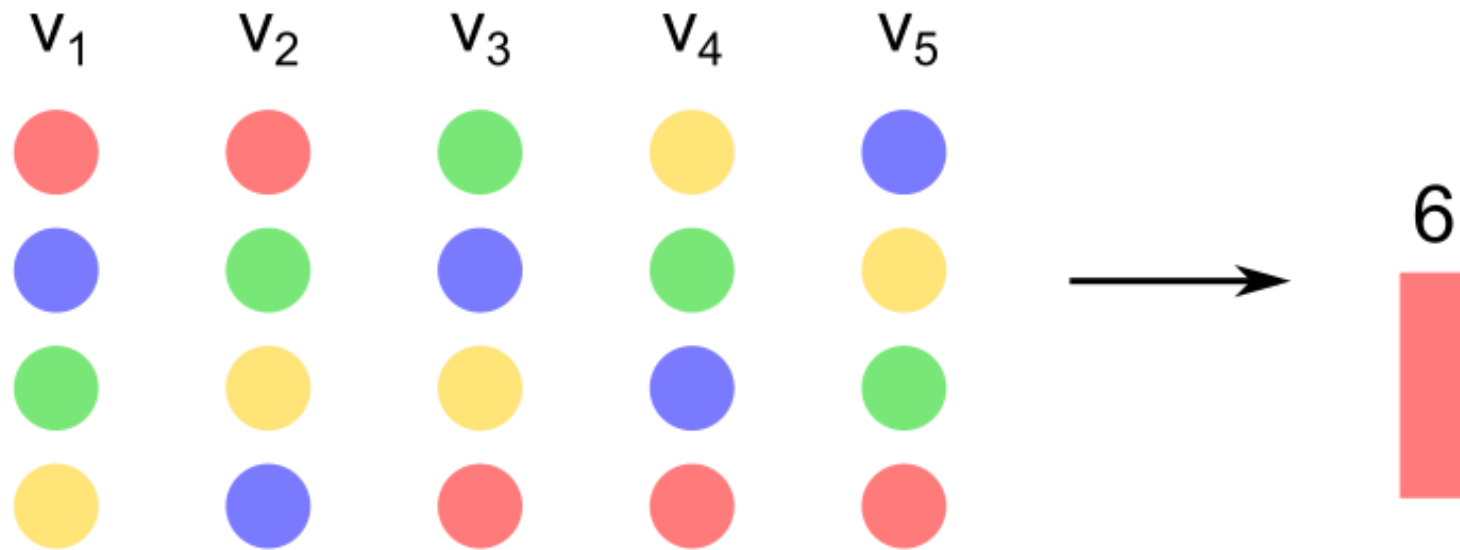
Borda
Count



2

Each voter gives its k^{th} ranked candidate $m-k$ points, where m is the number of candidates.

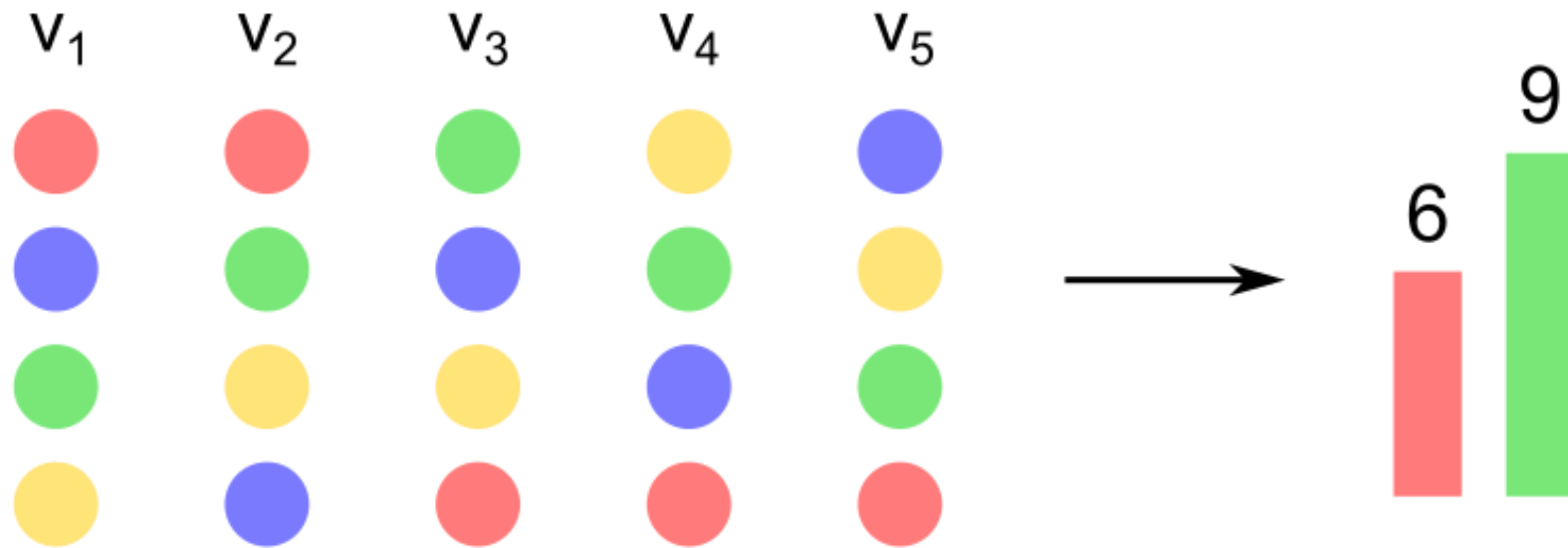
Borda
Count



2

Each voter gives its k^{th} ranked candidate $m-k$ points, where m is the number of candidates.

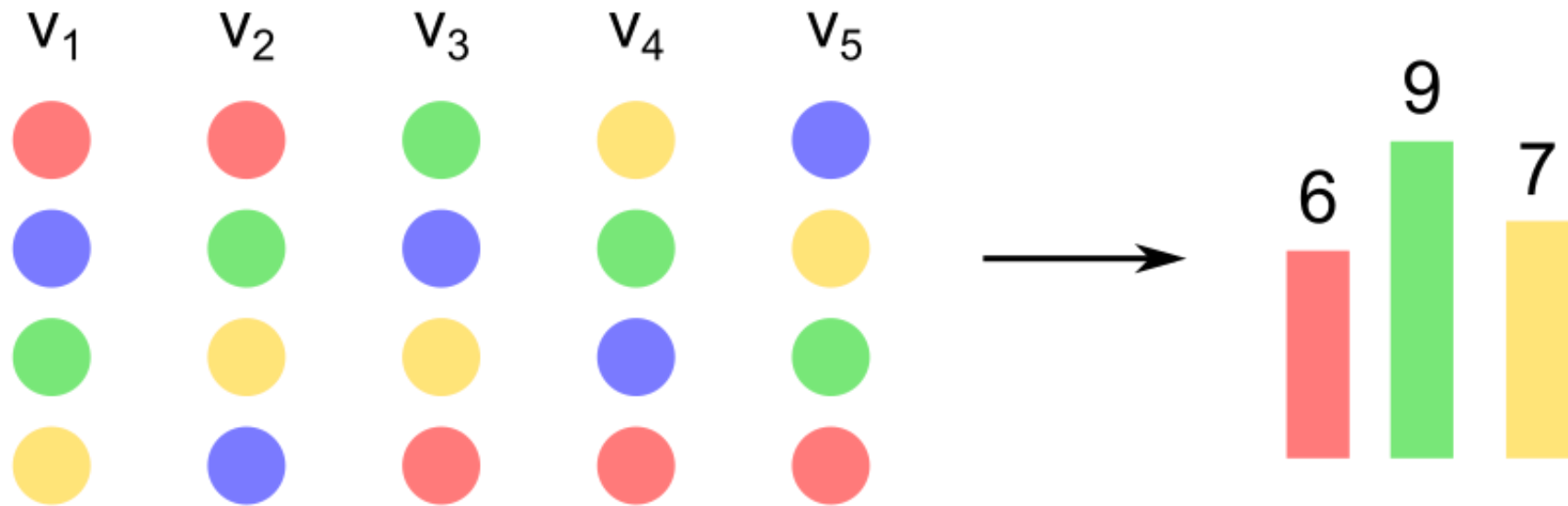
Borda
Count



2

Each voter gives its k^{th} ranked candidate $m-k$ points, where m is the number of candidates.

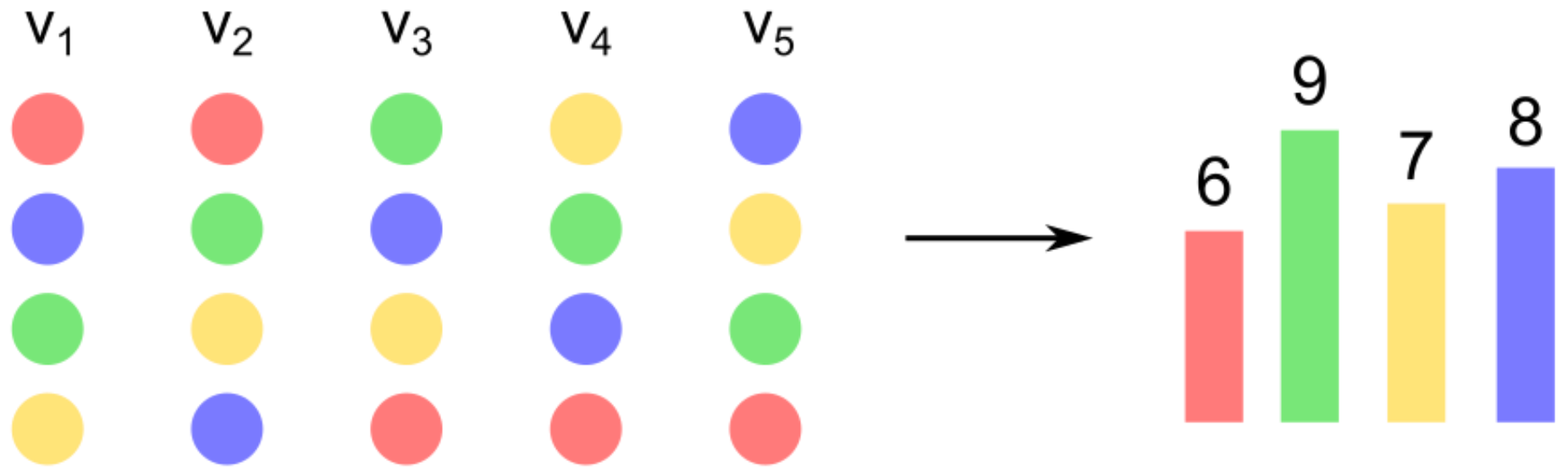
Borda
Count



2

Each voter gives its k^{th} ranked candidate $m-k$ points, where m is the number of candidates.

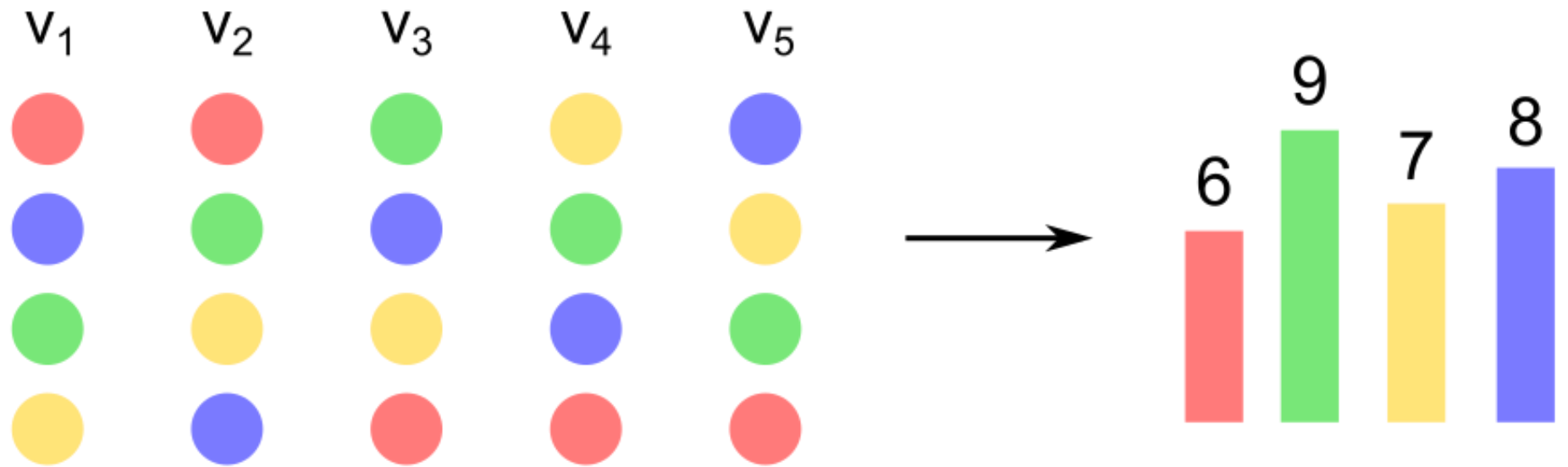
Borda
Count



2

Each voter gives its k^{th} ranked candidate $m-k$ points, where m is the number of candidates.

Borda
Count

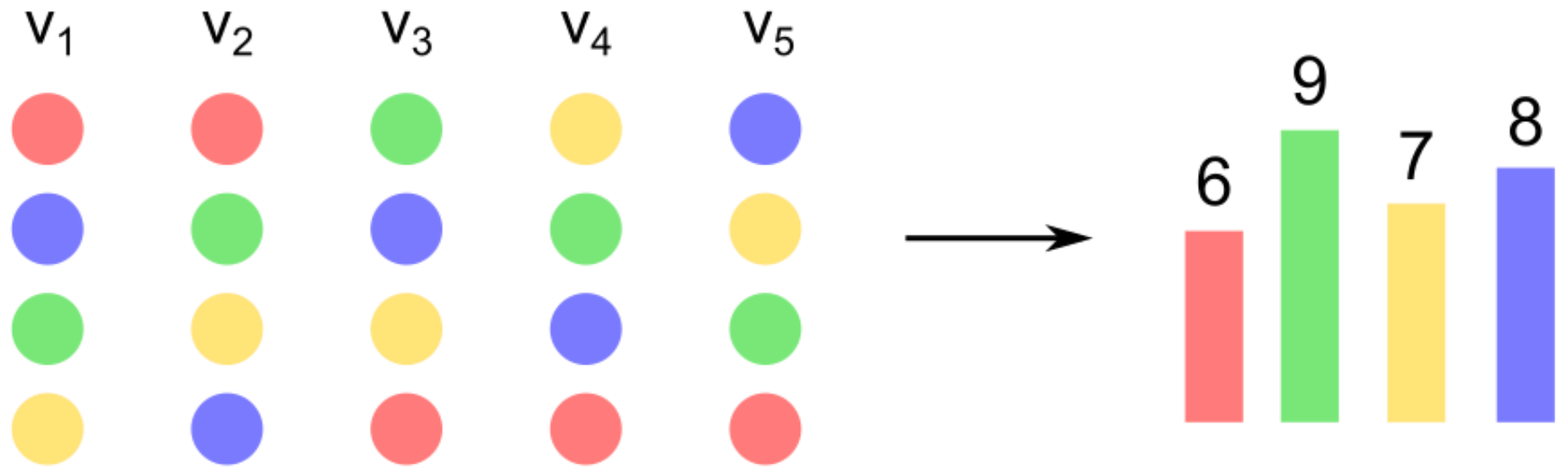


Borda winner: ●

2

Problem: Susceptible to strategic voting (manipulation).

Borda
Count

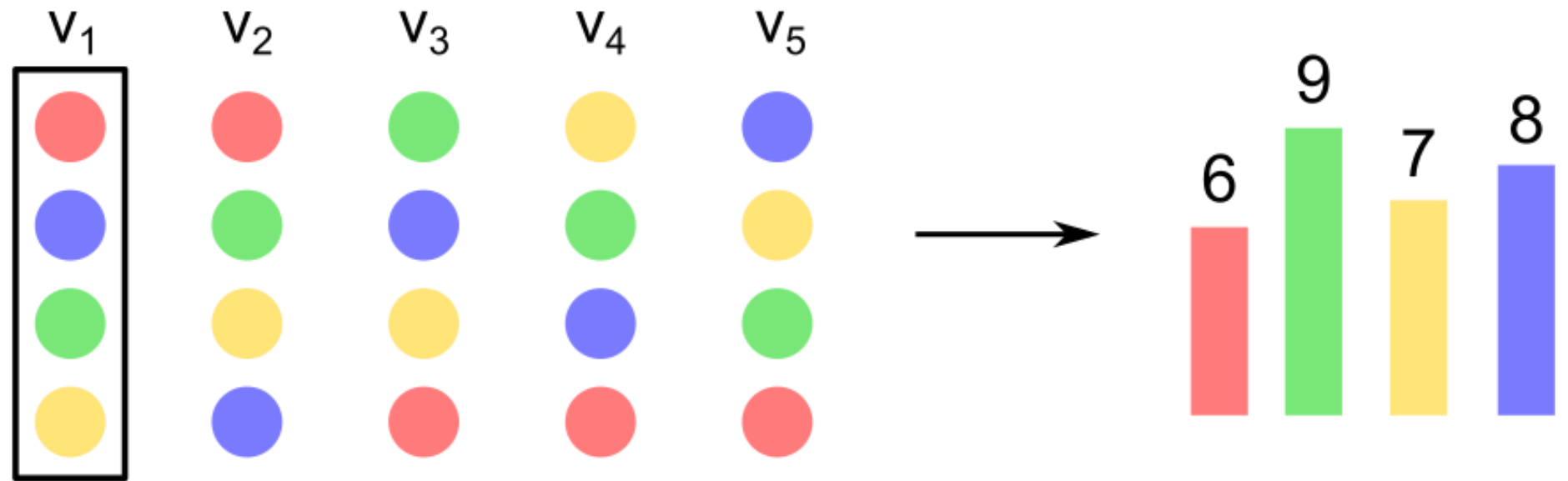


Borda winner: ●

2

Problem: Susceptible to strategic voting (manipulation).

Borda
Count

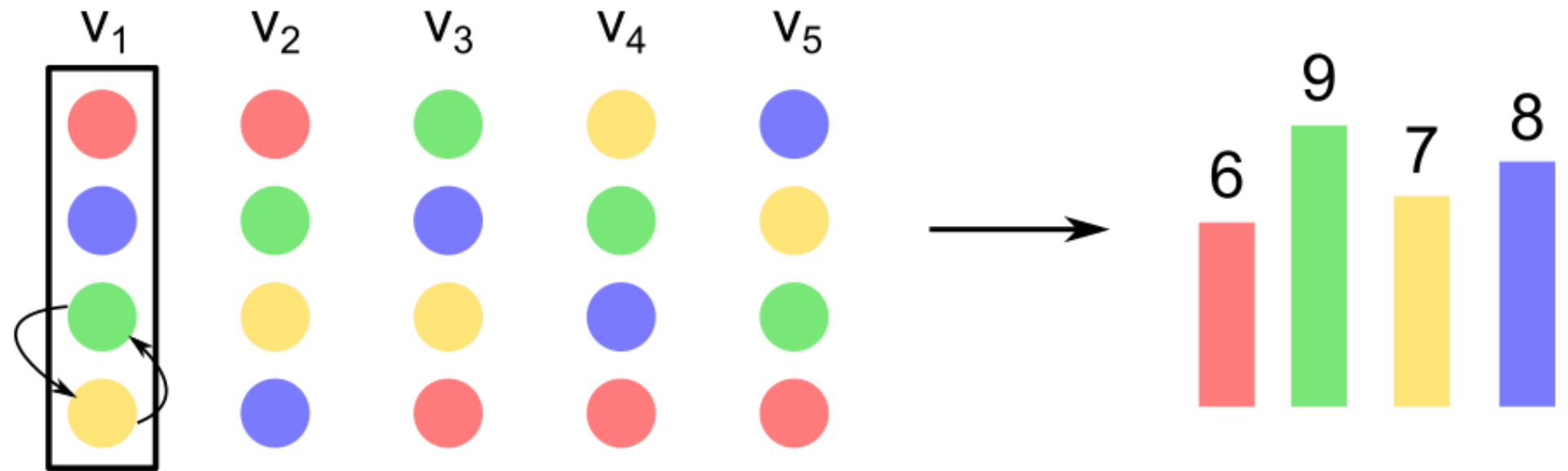


Borda winner: ●

2

Problem: Susceptible to strategic voting (manipulation).

Borda
Count

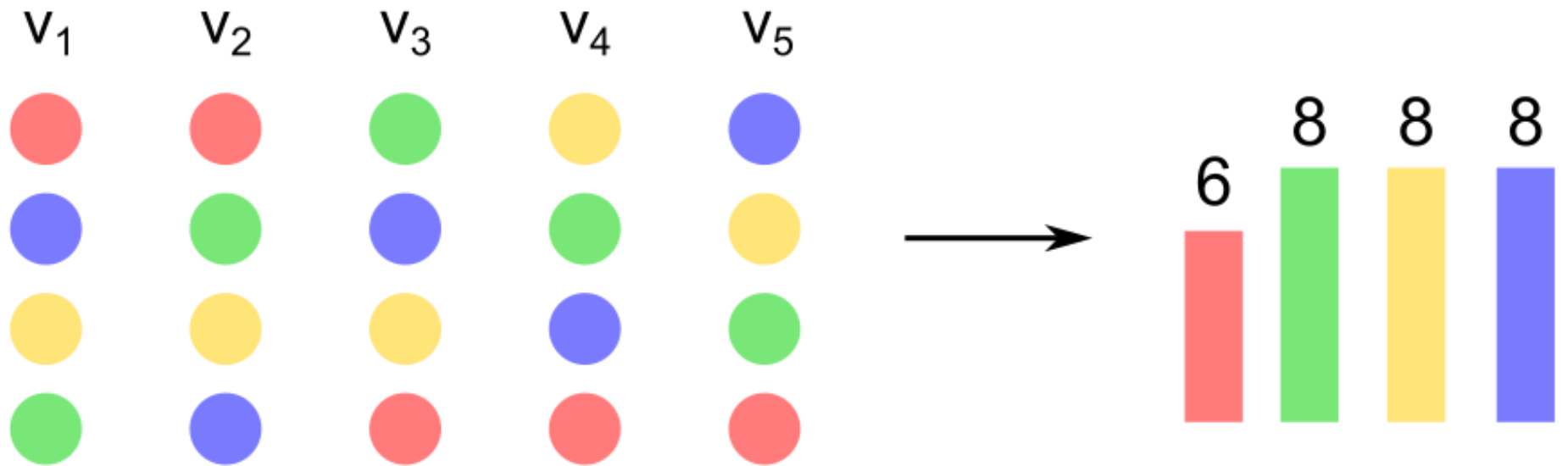


Borda winner: ●

2

Problem: Susceptible to strategic voting (manipulation).

Borda
Count



Borda winner: ●

2

Problem: Susceptible to strategic voting (manipulation).

"My scheme is intended for only honest men."



Borda
Count

2

Borda
Count



3

Plurality
With
Runoff

3

Plurality With Runoff



3

Plurality
With
Runoff

3

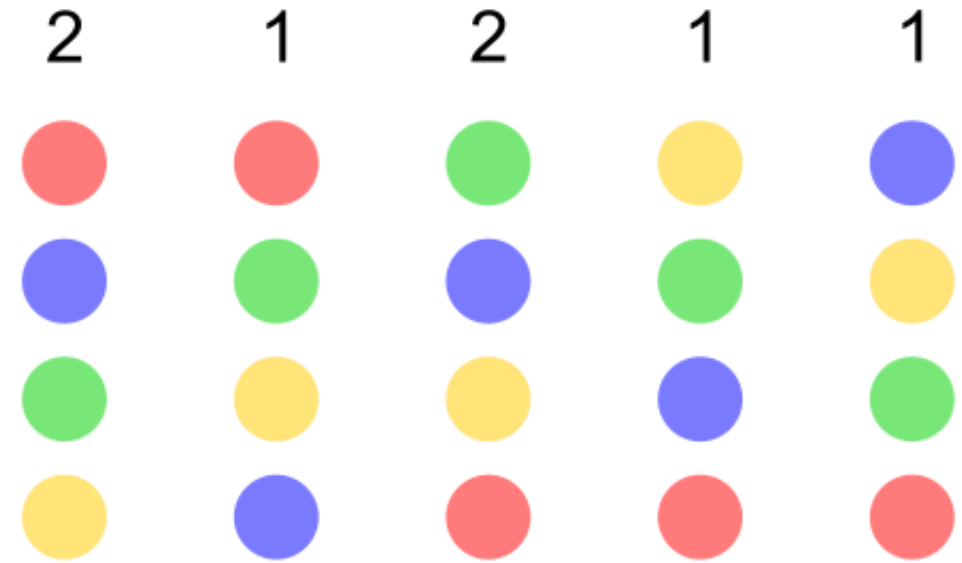
The two candidates with the highest Plurality scores in the first round go head-to-head in the next round

Plurality
With
Runoff

3

The two candidates with the highest Plurality scores in the first round go head-to-head in the next round

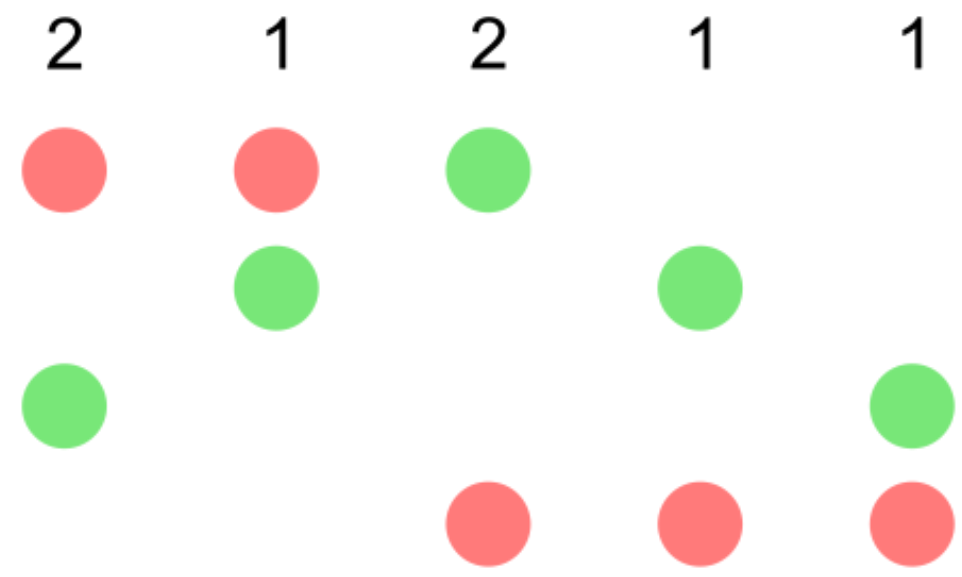
Plurality
With
Runoff



3

The two candidates with the highest Plurality scores in the first round go head-to-head in the next round

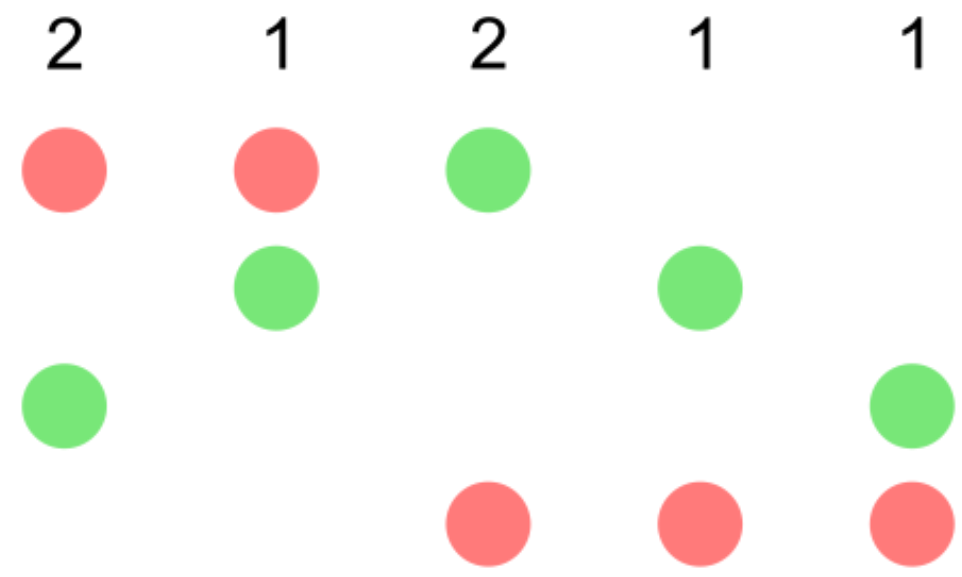
Plurality
With
Runoff



3

The two candidates with the highest Plurality scores in the first round go head-to-head in the next round

Plurality
With
Runoff



Plurality with runoff winner: ●

4

Single
Transferable
Vote

(Instant-Runoff)

4

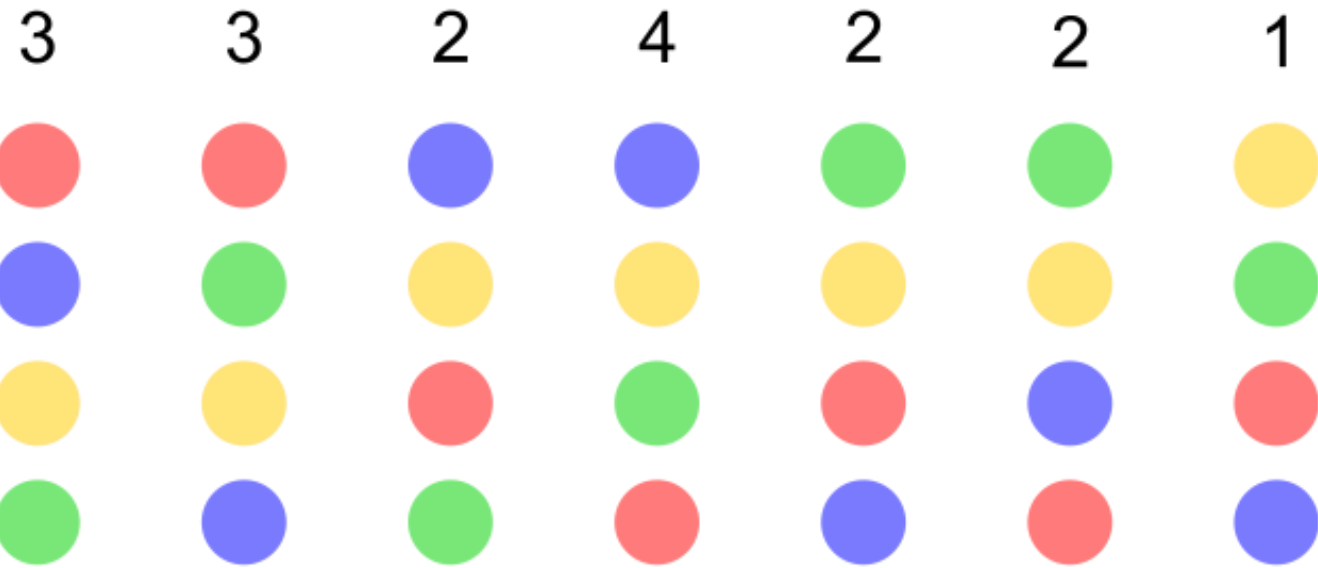
In each round, eliminate the candidate with the lowest Plurality score, and transfer its supporters' votes

Single
Transferable
Vote

(Instant-Runoff)

4

In each round, eliminate the candidate with the lowest Plurality score, and transfer its supporters' votes

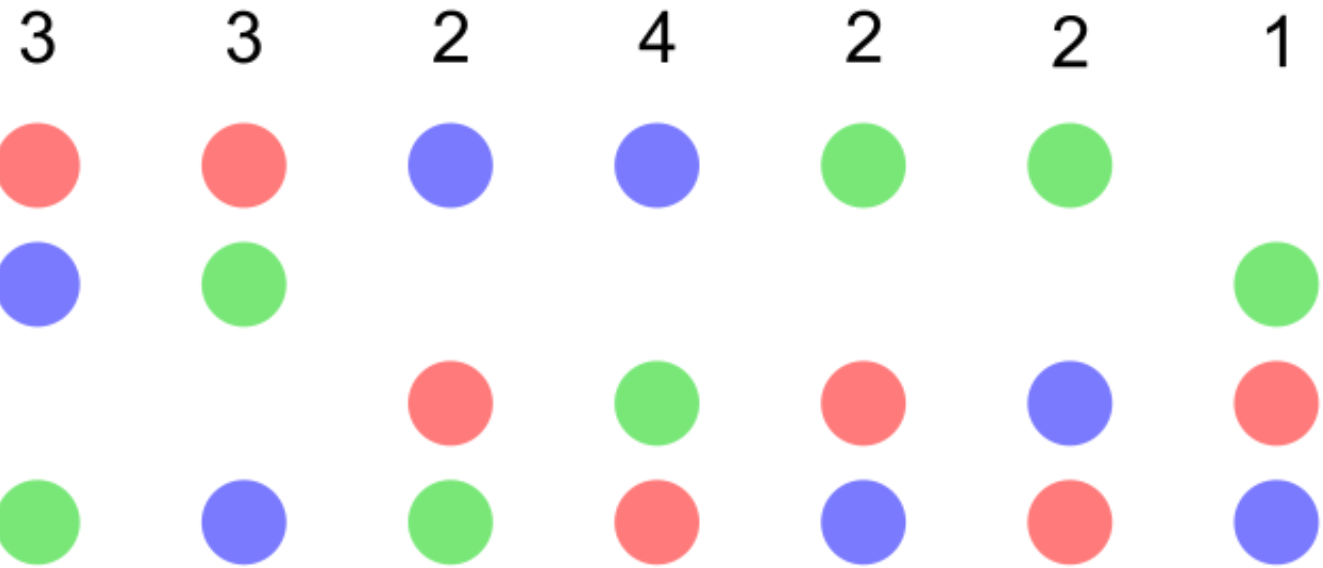


Single
Transferable
Vote

(Instant-Runoff)

4

In each round, eliminate the candidate with the lowest Plurality score, and transfer its supporters' votes



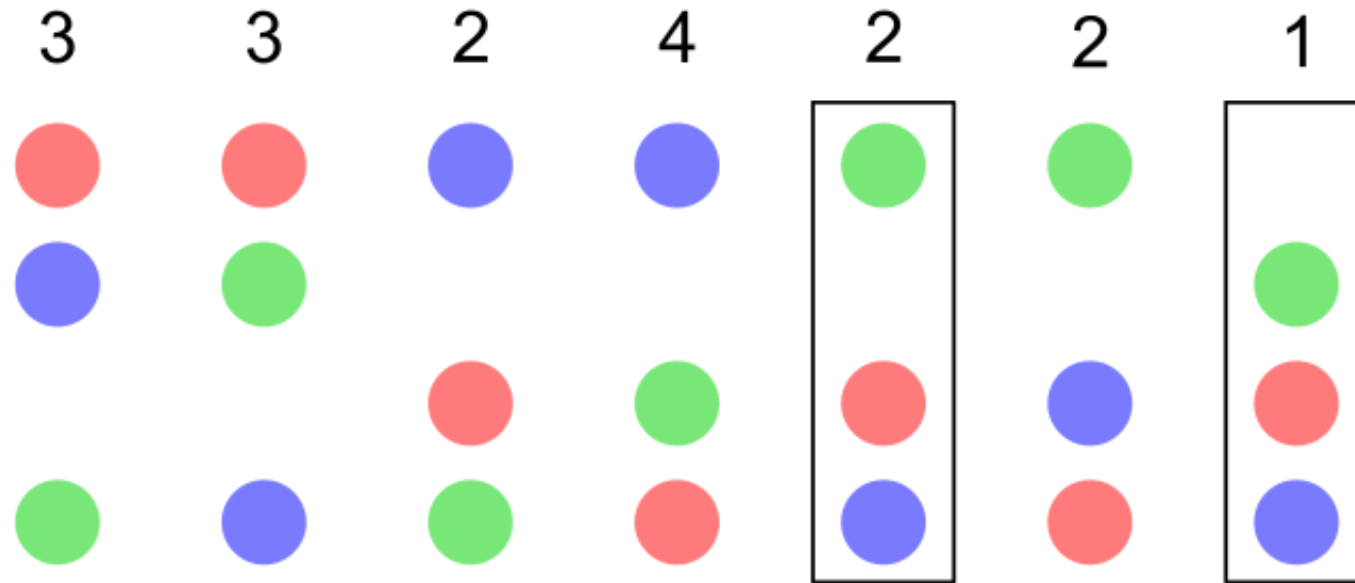
Single
Transferable
Vote

(Instant-Runoff)

4

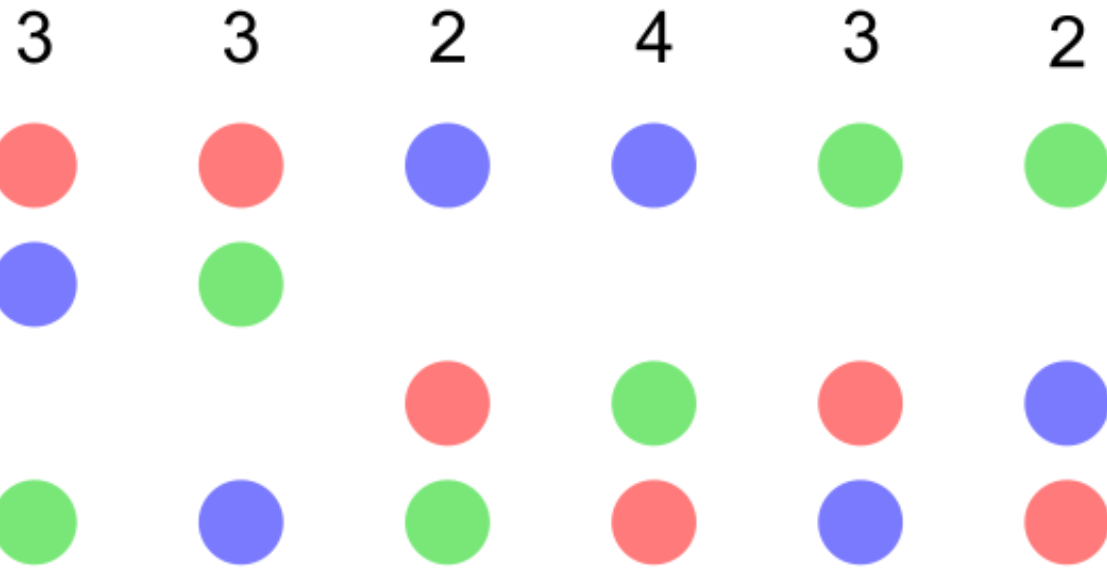
In each round, eliminate the candidate with the lowest Plurality score, and transfer its supporters' votes

Single
Transferable
Vote
(Instant-Runoff)



4

In each round, eliminate the candidate with the lowest Plurality score, and transfer its supporters' votes



Single

Transferable

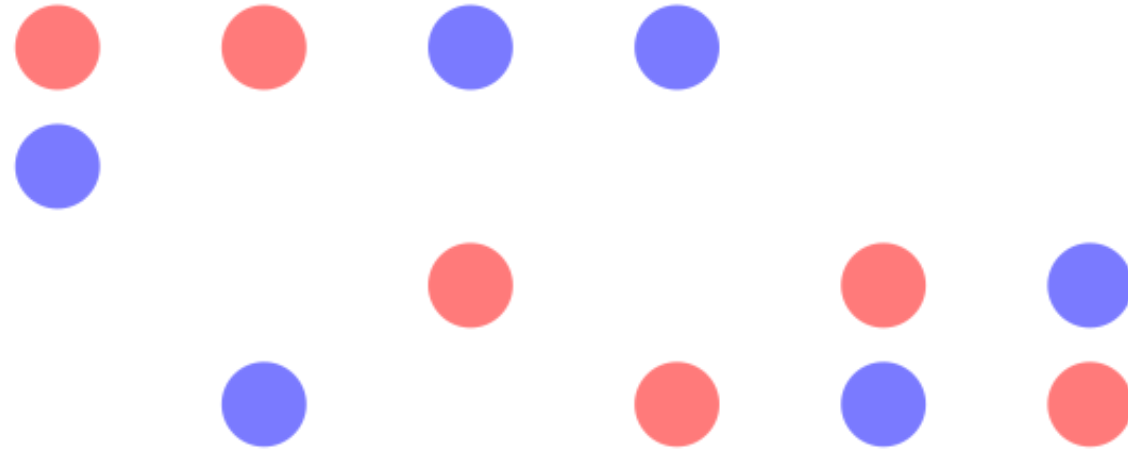
Vote

(Instant-Runoff)

4

In each round, eliminate the candidate with the lowest Plurality score, and transfer its supporters' votes

3 3 2 4 3 2



Single
Transferable
Vote

(Instant-Runoff)

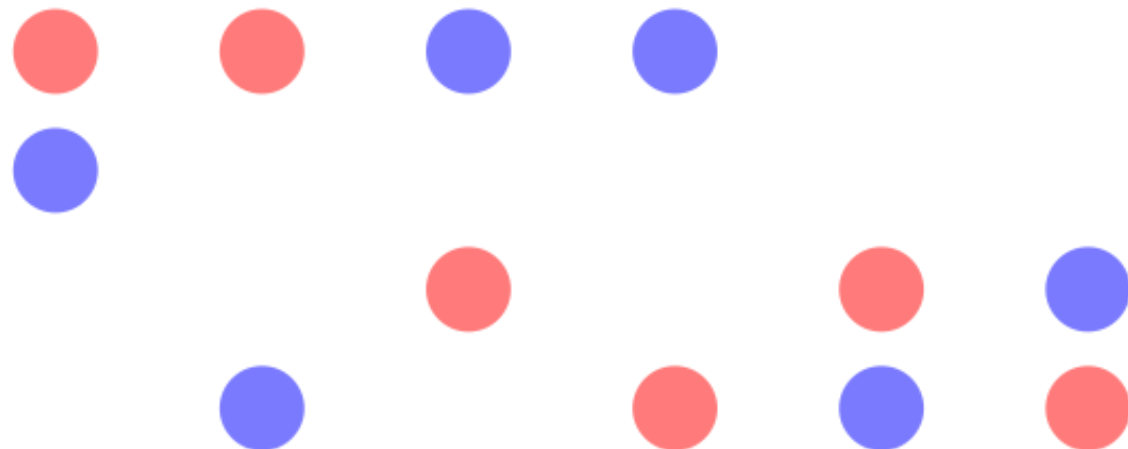
4

In each round, eliminate the candidate with the lowest Plurality score, and transfer its supporters' votes

Single
Transferable
Vote

(Instant-Runoff)

3 3 2 4 3 2



STV winner: ●

4

Single
Transferable
Vote

(Instant-Runoff)



4

Single
Transferable
Vote

(Instant-Runoff)



4

Single
Transferable
Vote

(Instant-Runoff)



4

Single
Transferable
Vote

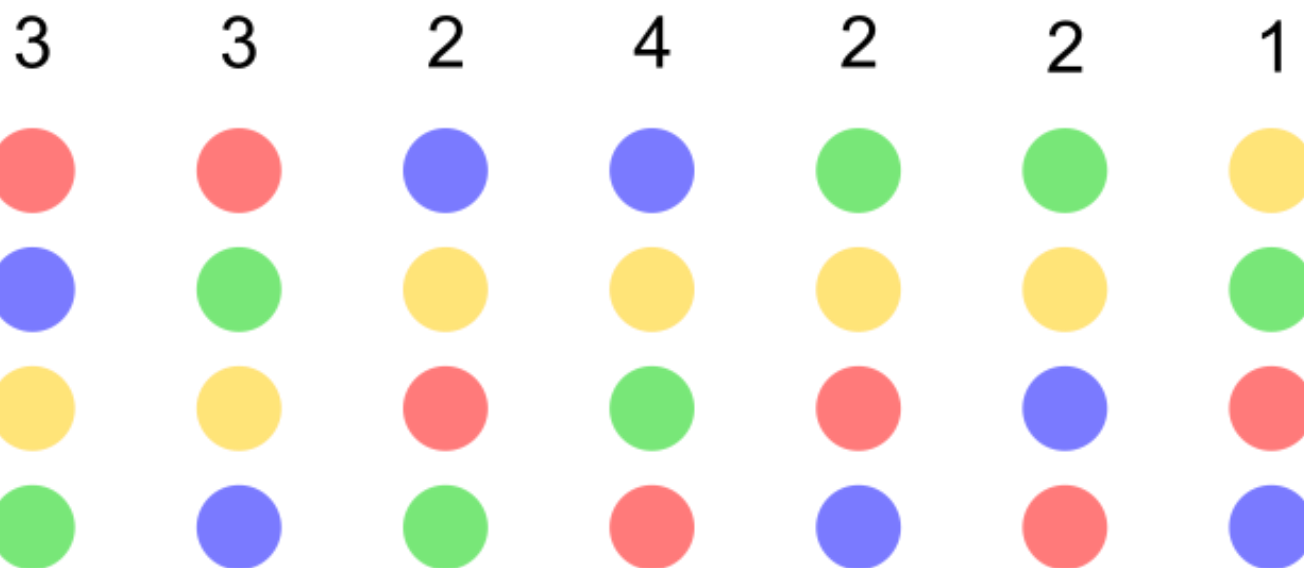
(Instant-Runoff)

Problem: Failure of *monotonicity*
(improving a candidate's support could make it worse off)

4

Problem: Failure of *monotonicity*

(improving a candidate's support could make it worse off)



Recall that STV winner was 

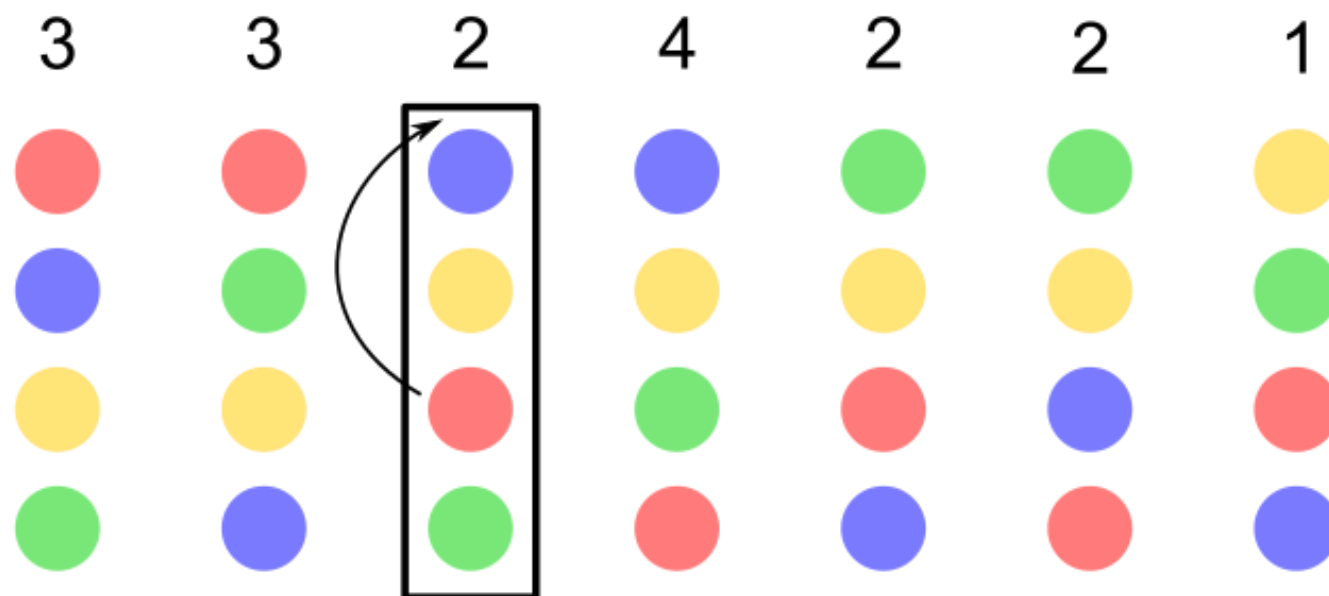
Single
Transferable
Vote
(Instant-Runoff)

4

Problem: Failure of *monotonicity*

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Single
Transferable
Vote
(Instant-Runoff)



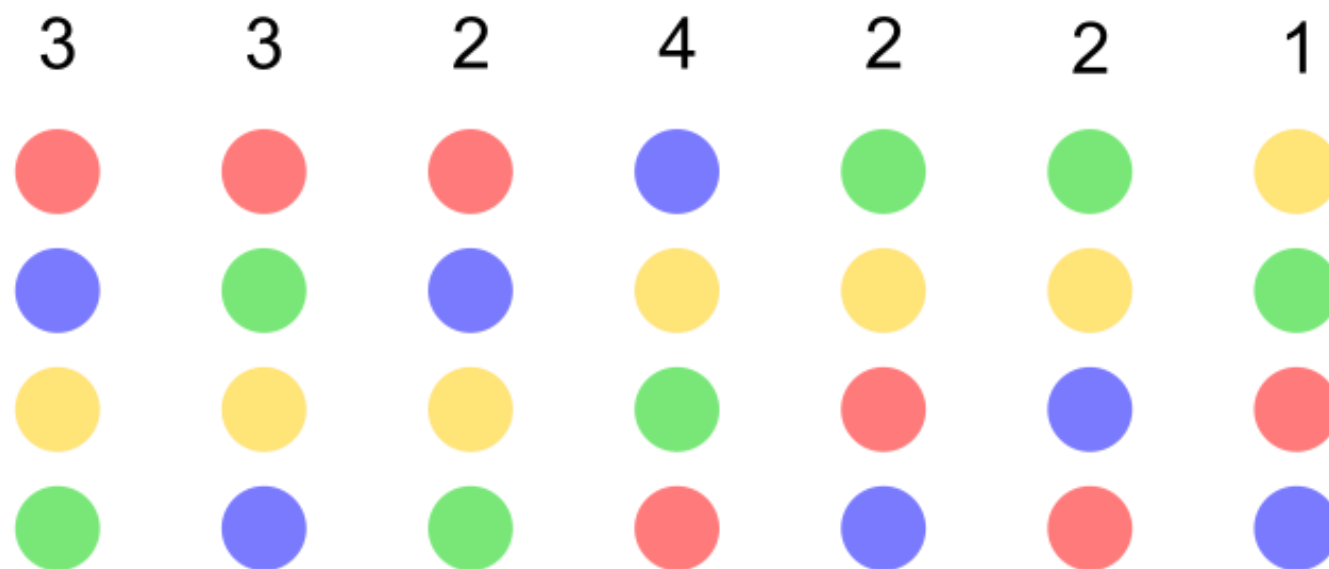
Recall that STV winner was ●

4

Problem: Failure of *monotonicity*

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Single
Transferable
Vote
(Instant-Runoff)



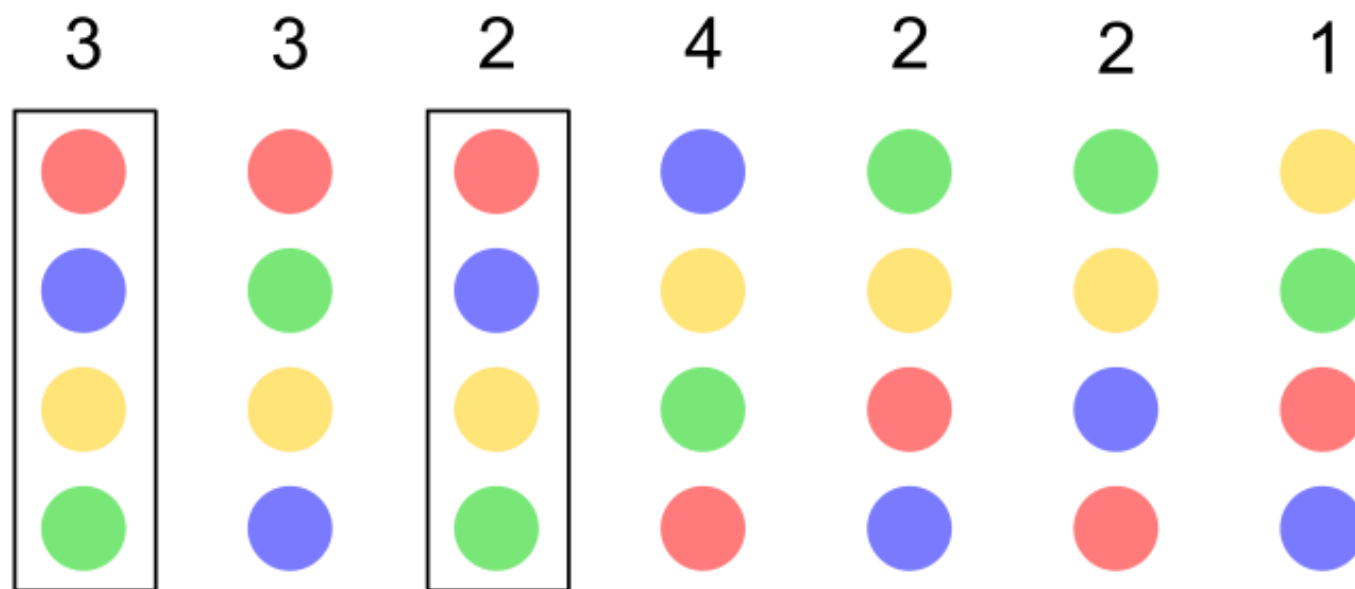
Recall that STV winner was ●

4

Problem: Failure of *monotonicity*

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Single
Transferable
Vote
(Instant-Runoff)

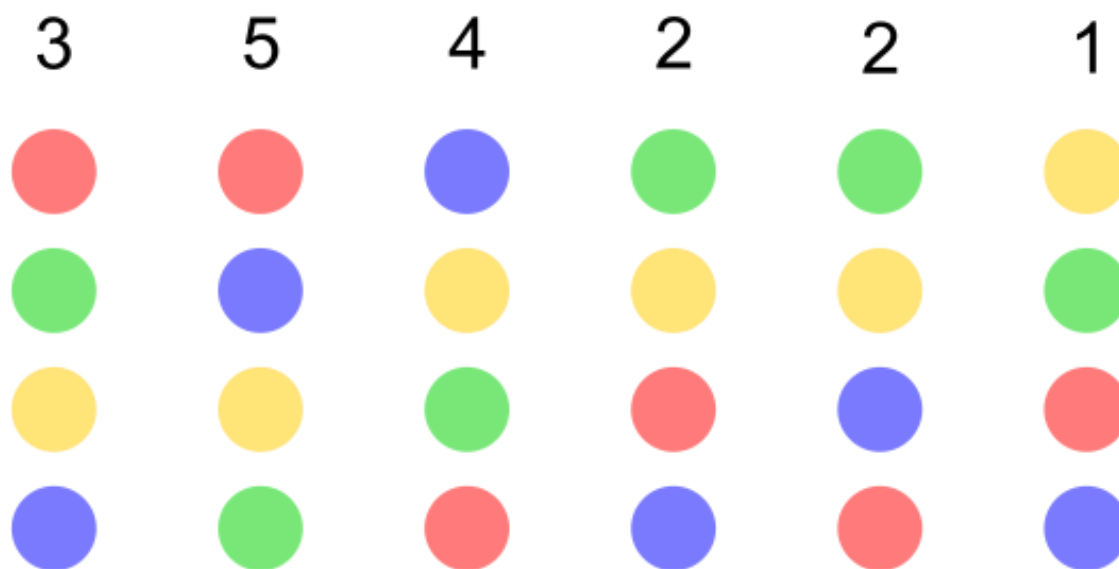


Recall that STV winner was 

4

Problem: Failure of *monotonicity*

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Recall that STV winner was 

Single
Transferable
Vote

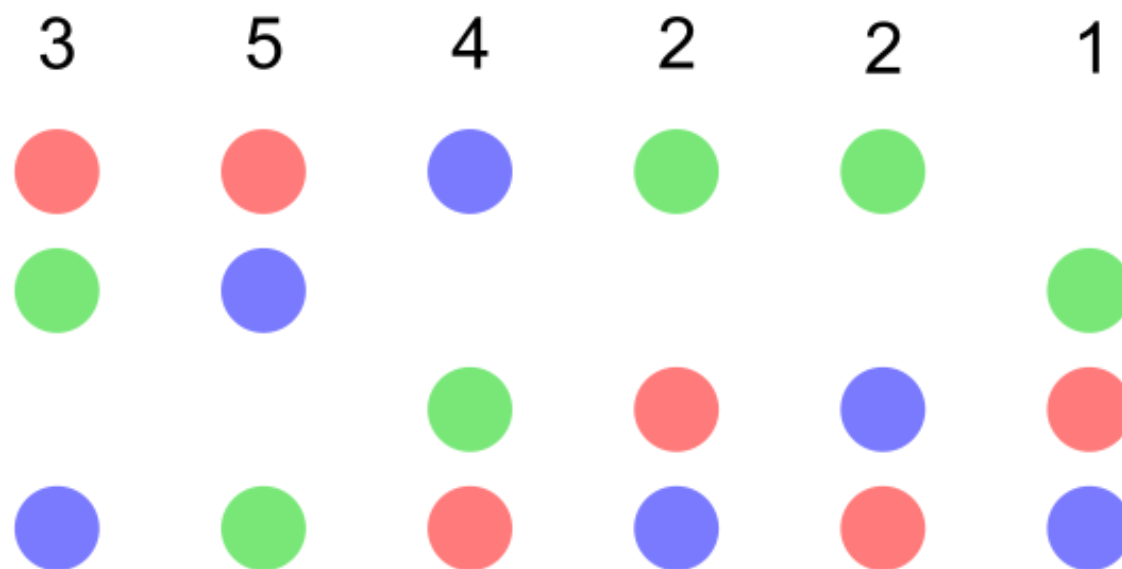
(Instant-Runoff)

4

Problem: Failure of *monotonicity*

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Single
Transferable
Vote
(Instant-Runoff)

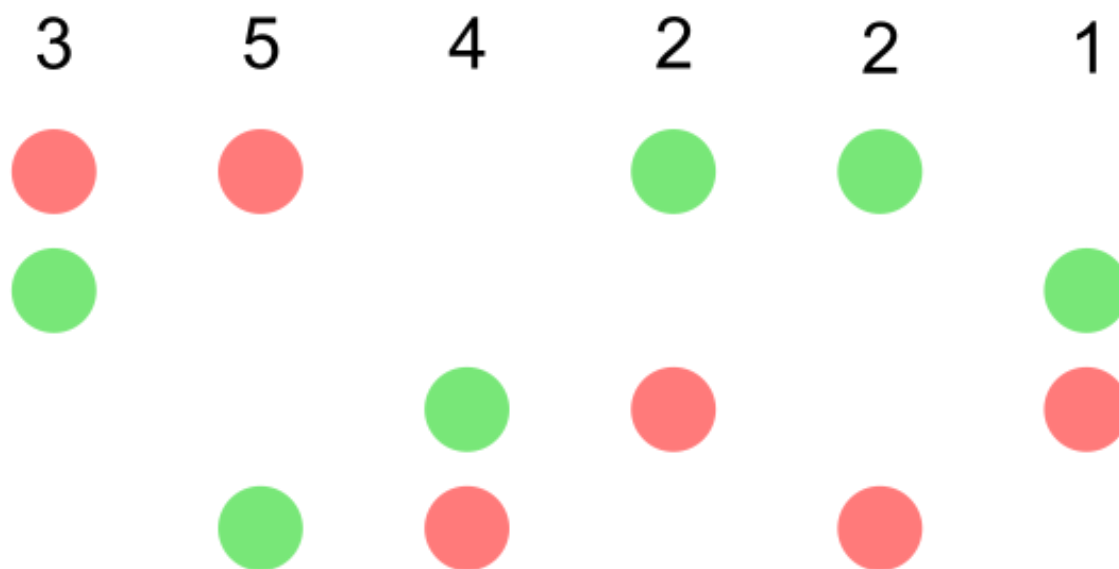


Recall that STV winner was 

4

Problem: Failure of *monotonicity*

(improving a candidate's support could make it worse off)



Recall that STV winner was ●

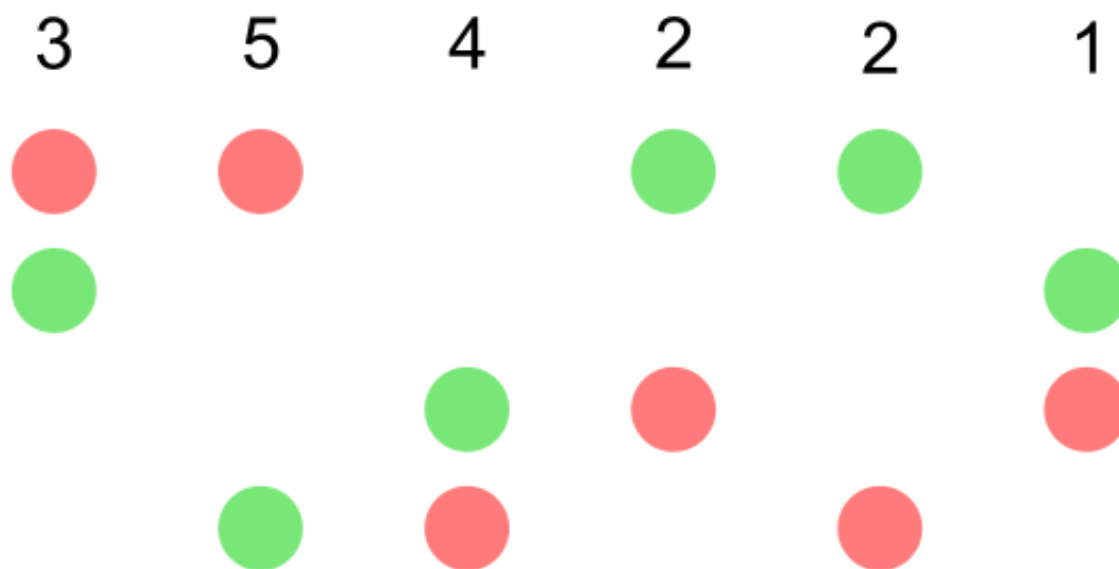
Single
Transferable
Vote

(Instant-Runoff)

4

Problem: Failure of *monotonicity*

(improving a candidate's support could make it worse off)



Recall that STV winner was ●
but now it's ●

Single
Transferable
Vote
(Instant-Runoff)

4

Single
Transferable
Vote

(Instant-Runoff)

2016 host city election ballots results [\[edit \]](#)

City	NOC	Round 1	Round 2	Round 3
Rio de Janeiro	 Brazil (COB)	26	46	66
Madrid	 Spain (COE)	28	29	32
Tokyo	 Japan (JOC)	22	20	—
Chicago	 United States (USOC)	18	—	—

Venue
Bella Center 121st IOC Session October 2, 2009  Copenhagen

Vote details	Round 1	Round 2	Round 3
Eligible members	95	97	99
Participants	94	96	98
Abstentions	0	1	0
Valid ballots	94	95	98

4

2016 host city election ballots results [\[edit \]](#)

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Single
Transferable
Vote

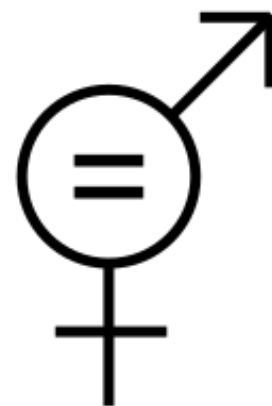
(Instant-Runoff)



Nicolas de Condorcet
(1743-1794)



Nicolas de Condorcet
(1743-1794)



If a candidate beats every other candidate
in a head-to-head election, select it!

If a candidate beats every other candidate
in a head-to-head election, select it!

3



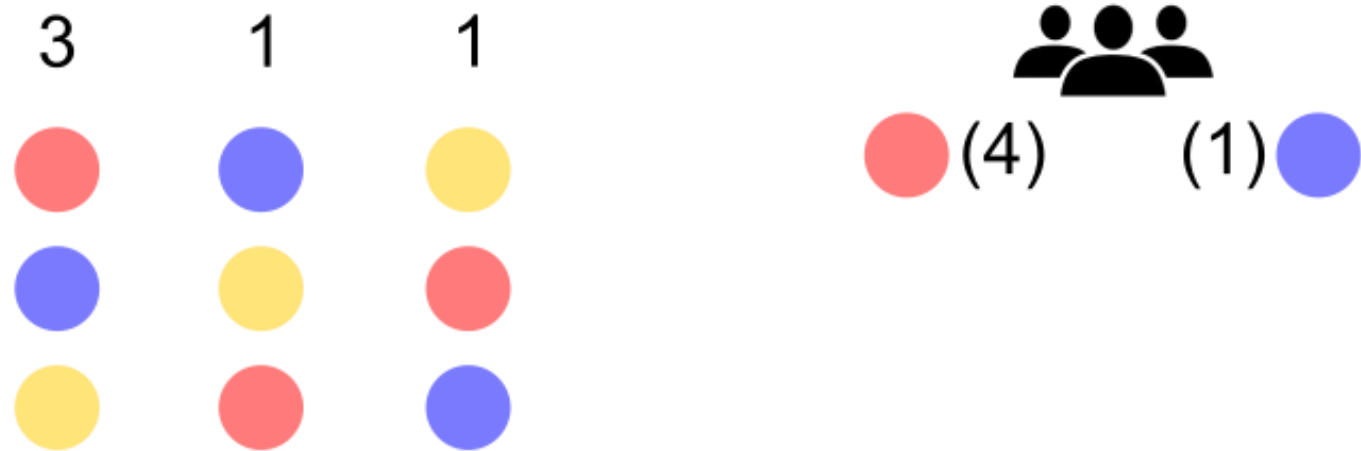
1



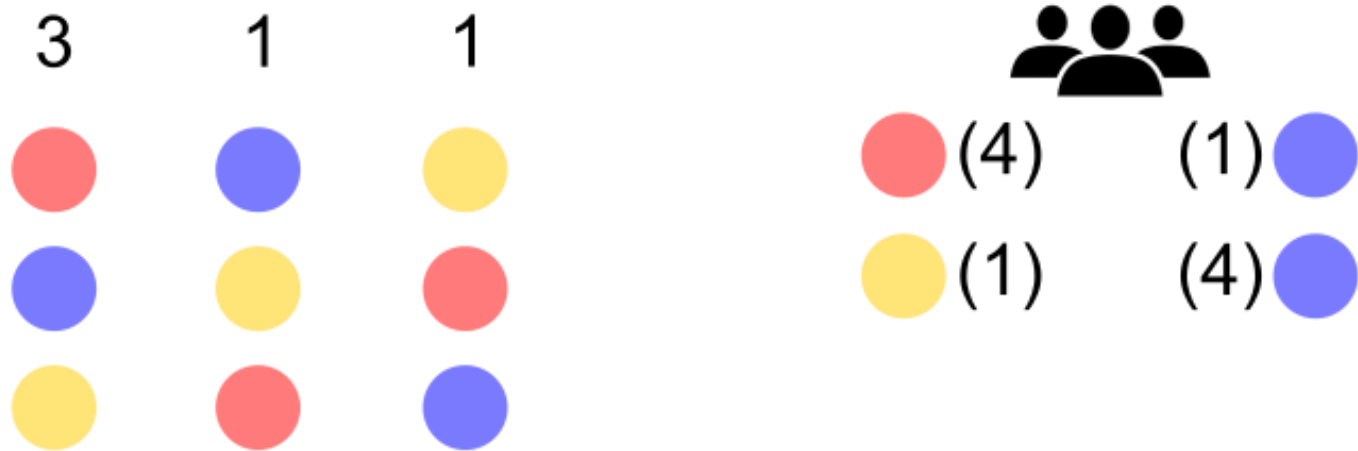
1



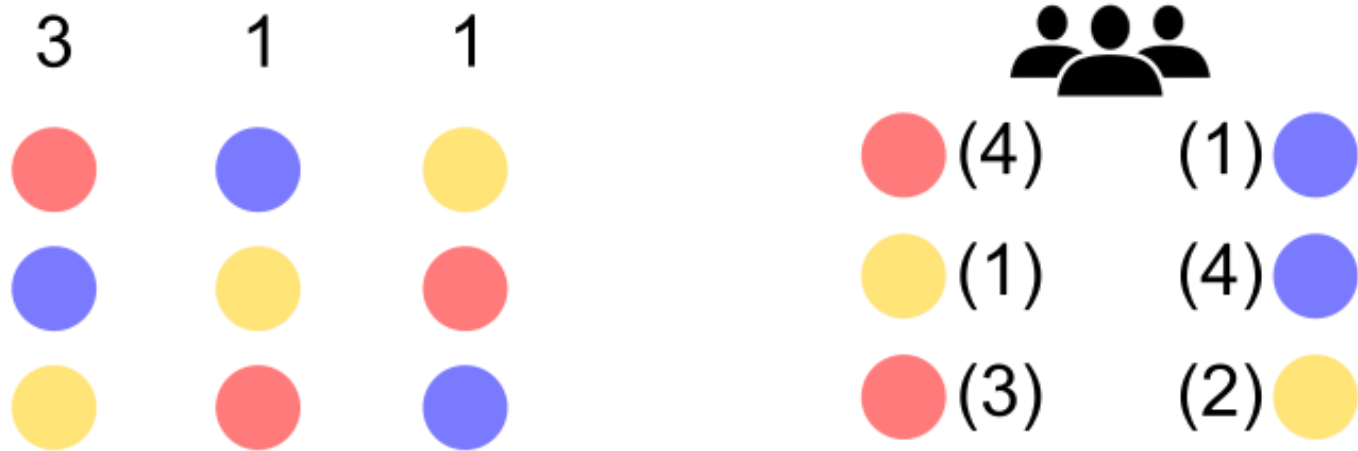
If a candidate beats every other candidate
in a head-to-head election, select it!



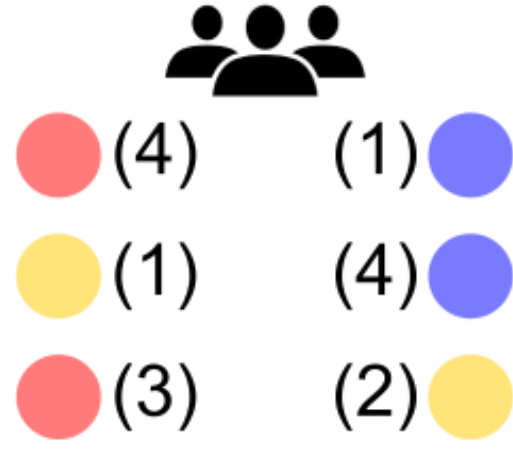
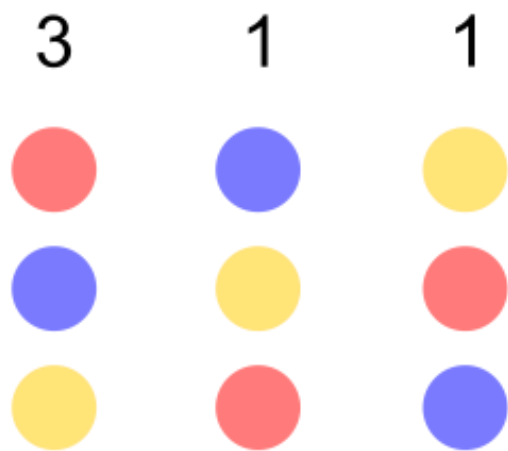
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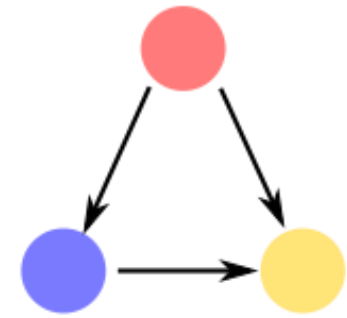
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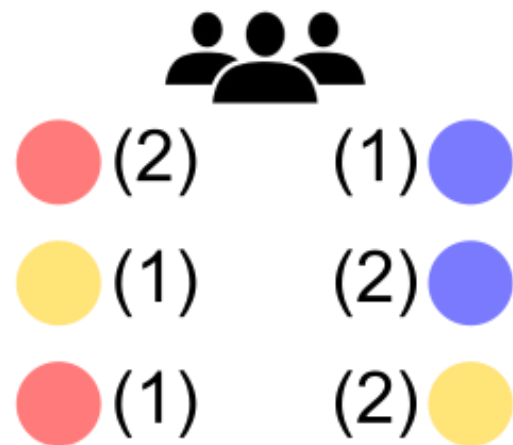
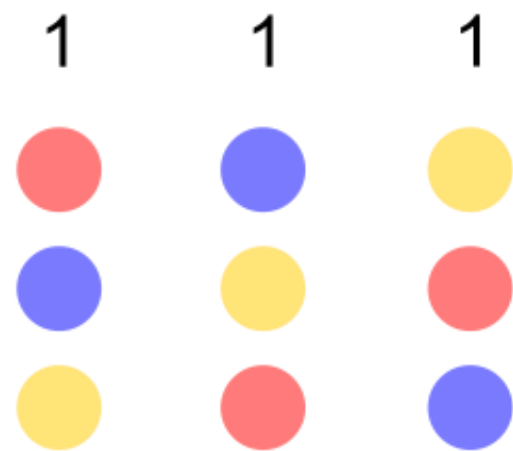
Condorcet winner



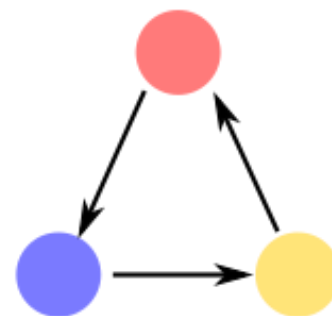
Condorcet Criterion

Problem: A Condorcet winner may not exist

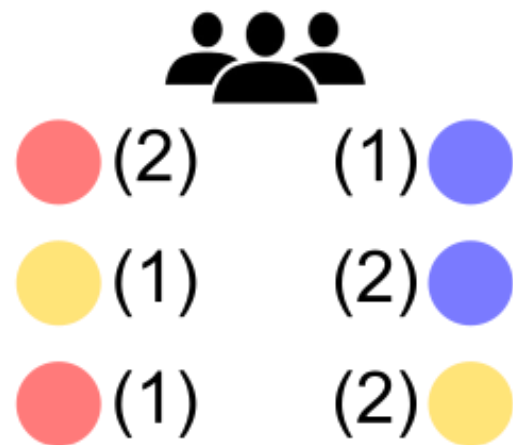
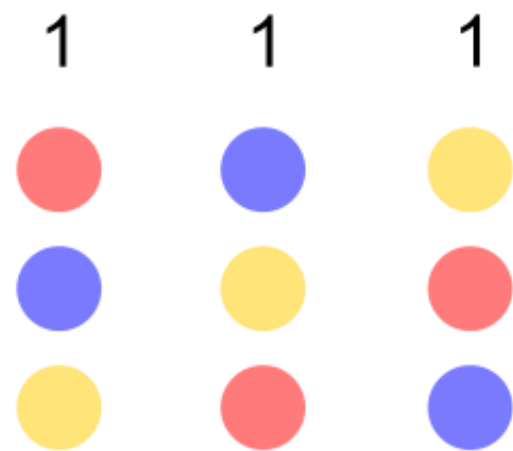
Problem: A Condorcet winner may not exist



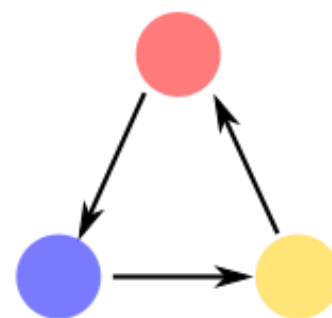
Condorcet cycle



Problem: A Condorcet winner may not exist



Condorcet cycle



Condorcet paradox

Transitivity of individual preferences $\not\Rightarrow$ Transitivity of societal preferences

5

Copeland

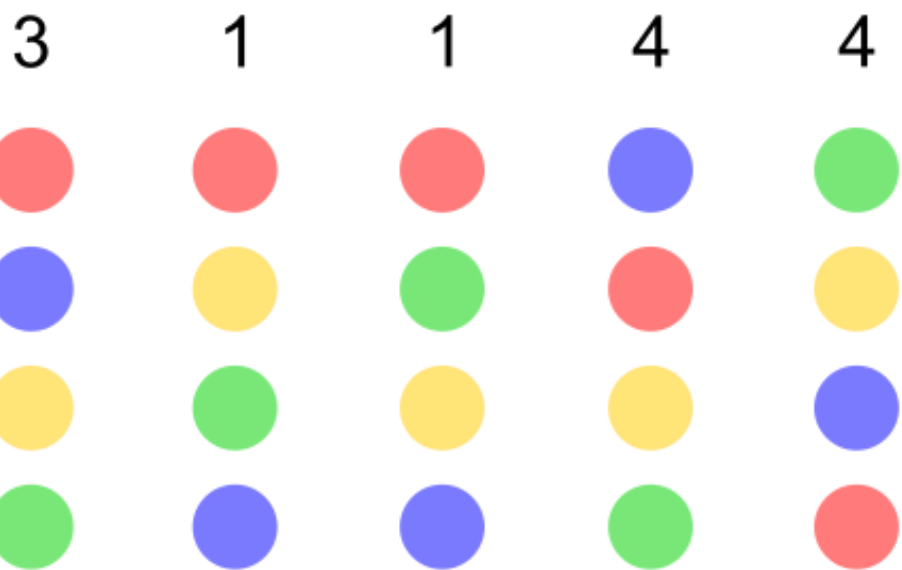
5

For each head-to-head election, a candidate gets 1 point for winning, 0 for losing, and 0.5 for a tie

Copeland

5

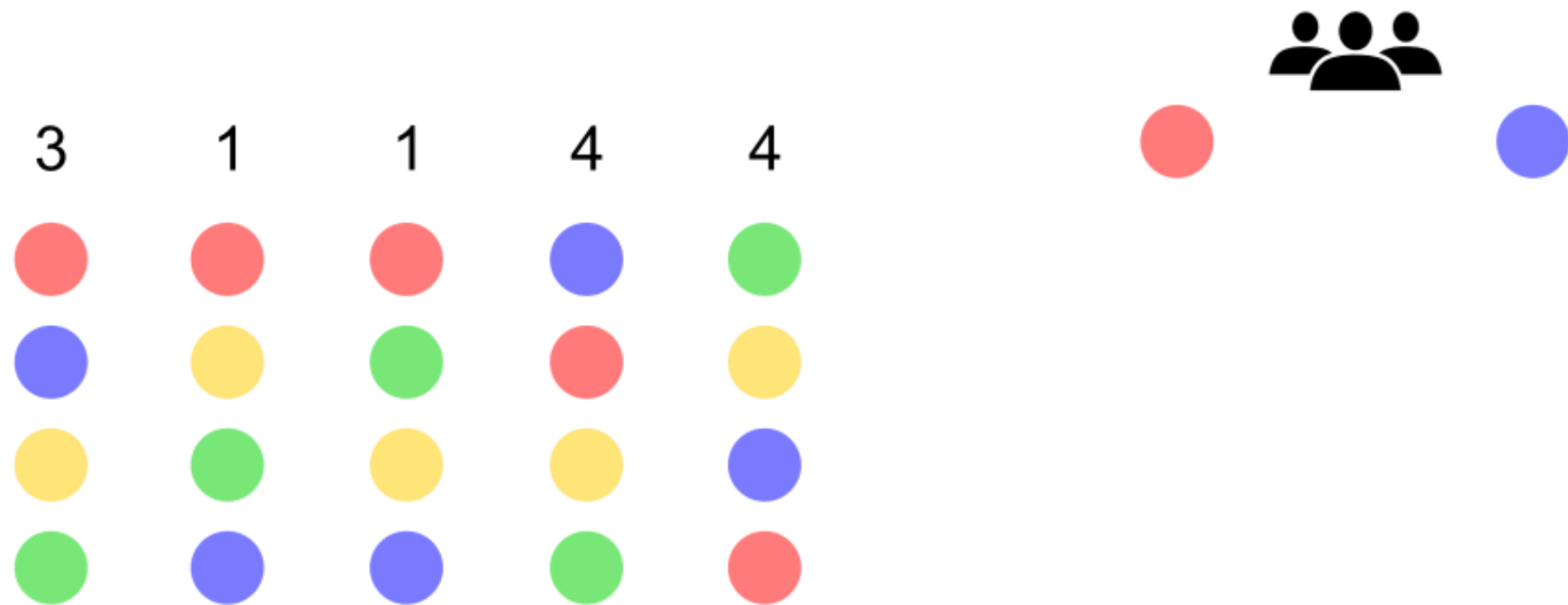
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Copeland

5

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Copeland

5

For each head-to-head election, a candidate gets 1 point for winning, 0 for losing, and 0.5 for a tie

3



1



1



4



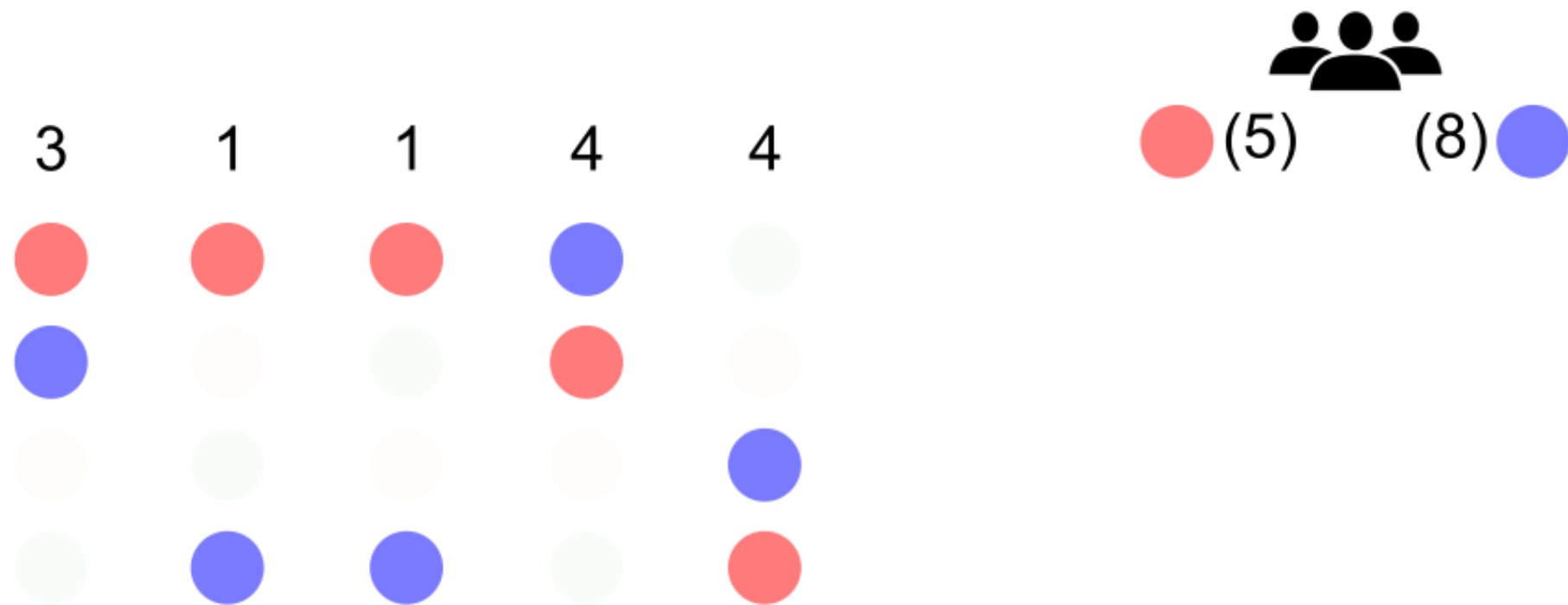
4



Copeland

5

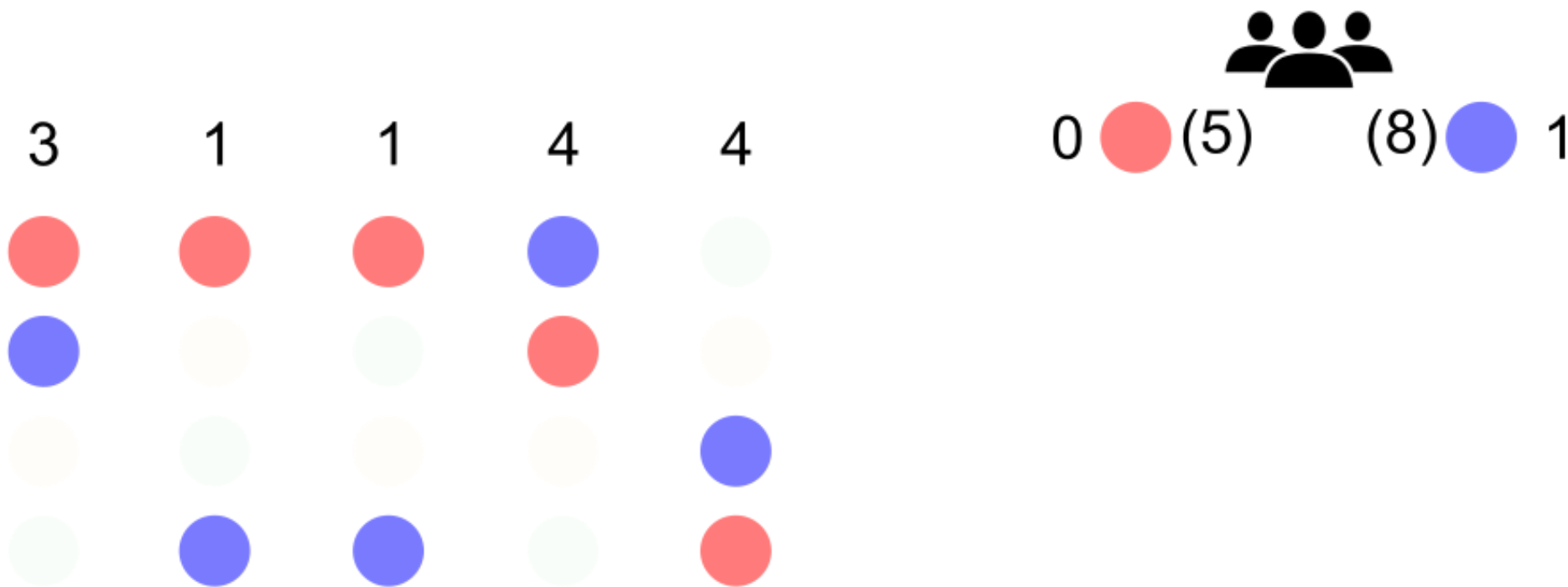
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Copeland

5

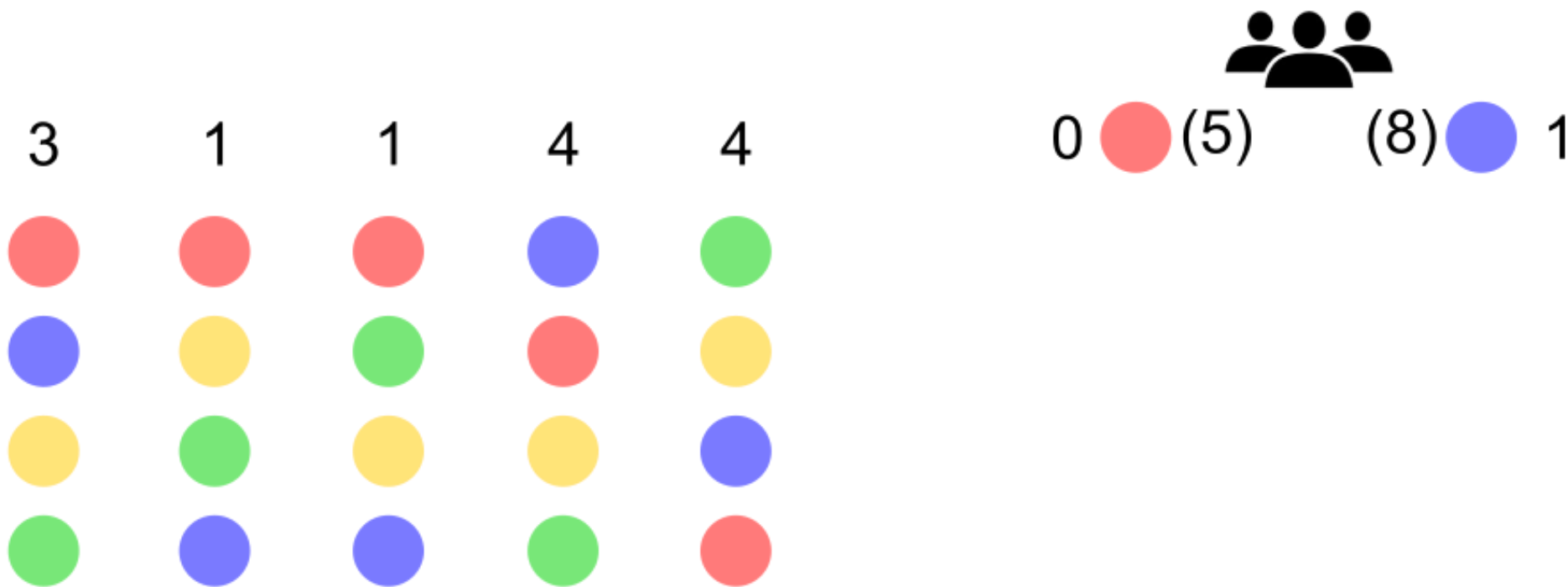
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Copeland

5

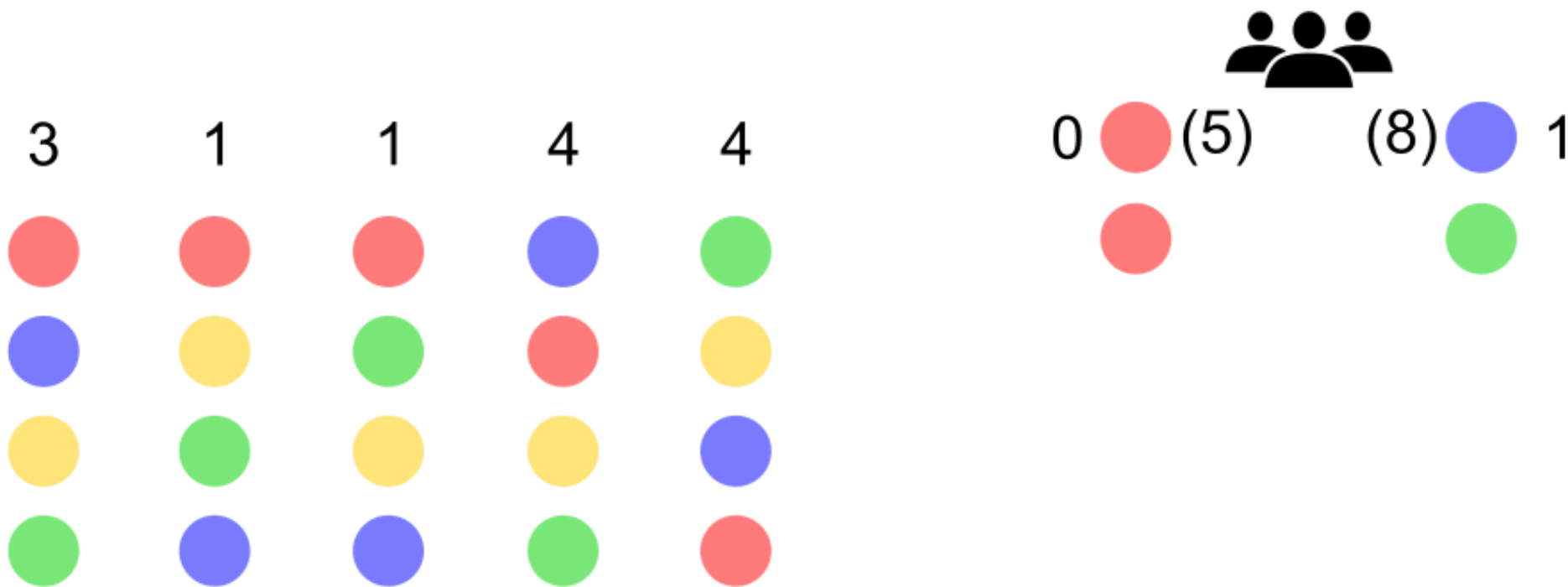
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Copeland

5

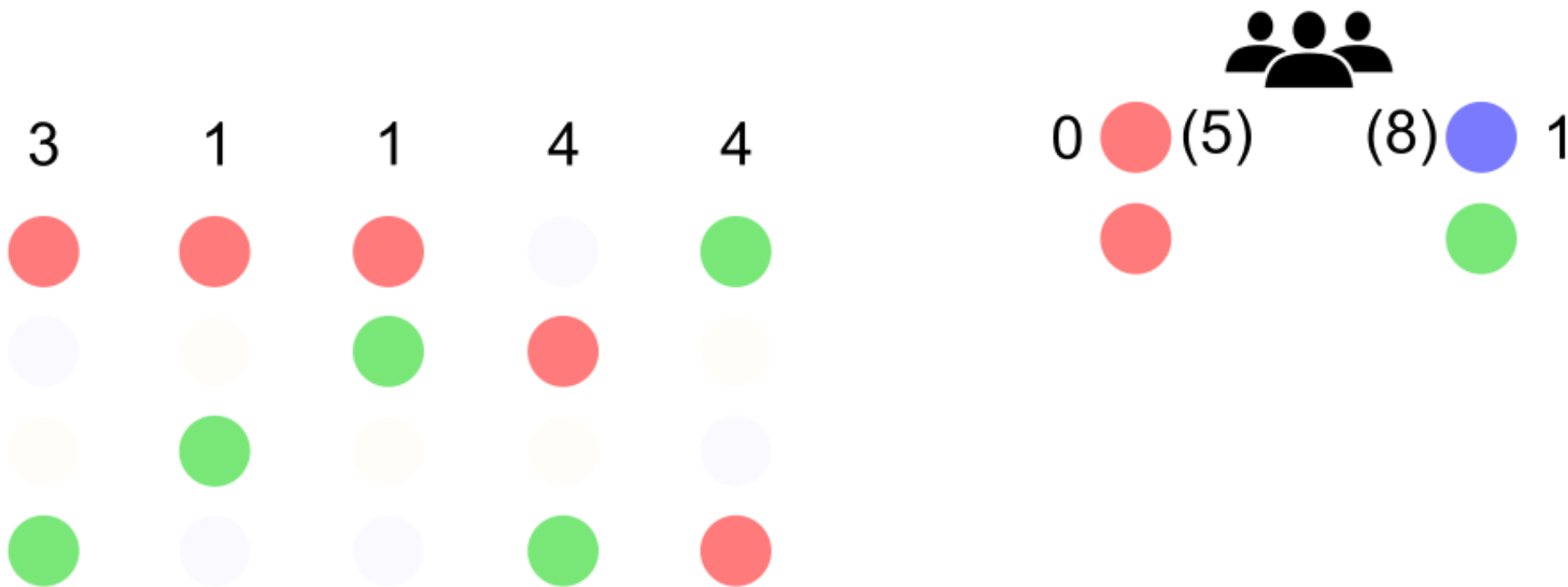
For each head-to-head election, a candidate gets 1 point for winning, 0 for losing, and 0.5 for a tie



Copeland

5

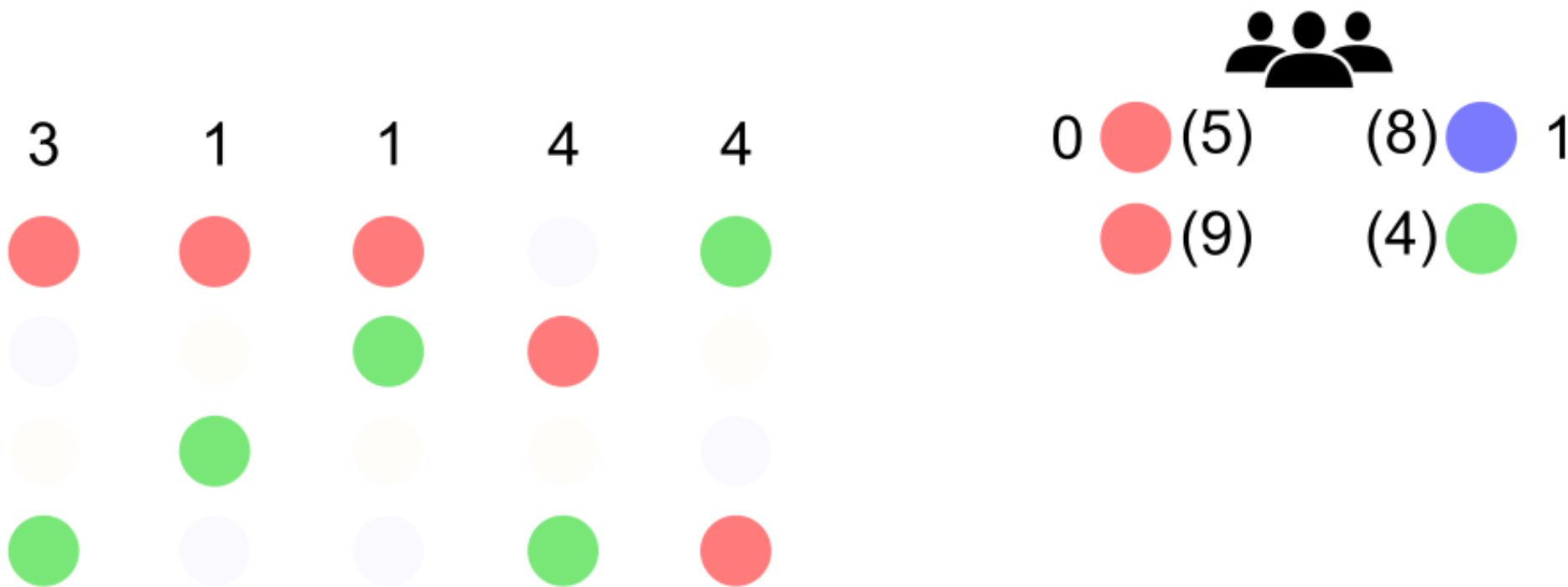
For each head-to-head election, a candidate gets 1 point for winning, 0 for losing, and 0.5 for a tie



Copeland

5

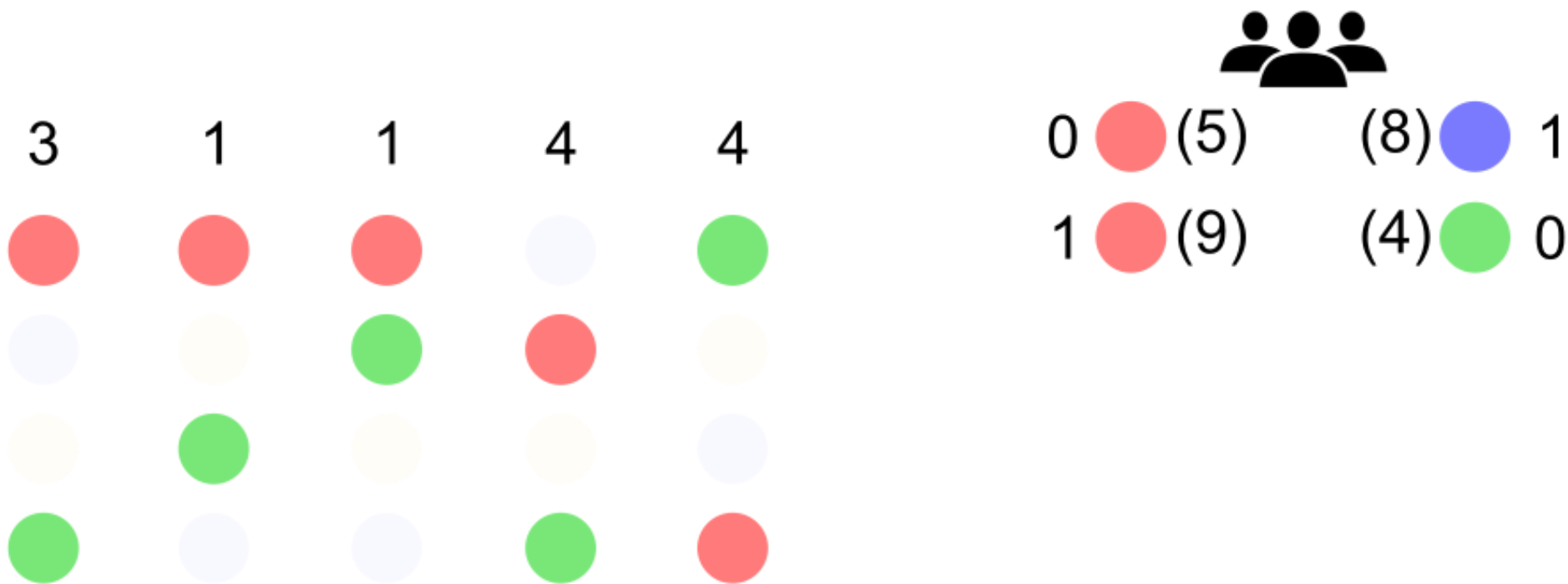
For each head-to-head election, a candidate gets 1 point for winning, 0 for losing, and 0.5 for a tie



Copeland

5

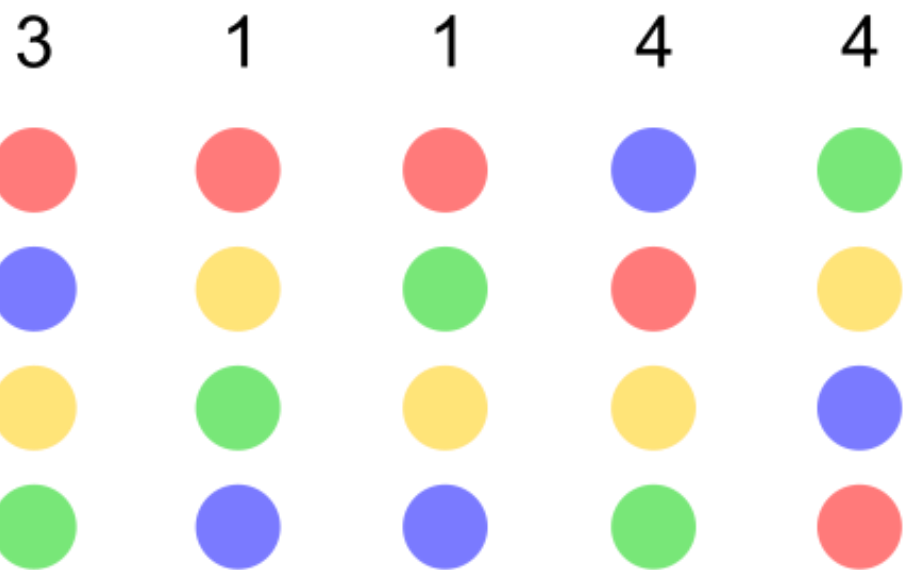
For each head-to-head election, a candidate gets 1 point for winning, 0 for losing, and 0.5 for a tie



Copeland

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For each head-to-head election, a candidate gets 1 point for winning, 0 for losing, and 0.5 for a tie



Copeland

5

For each head-to-head election, a candidate gets 1 point for winning, 0 for losing, and 0.5 for a tie



Copeland

5



LaLiga



BUNDESLIGA



Premier League



**ICC WOMEN'S
CRICKET WORLD CUP
NEW ZEALAND 2022**



INDIAN PREMIER LEAGUE

Copeland

5

Problem: Voters are sometimes better off not voting

Copeland

5

Problem: Voters are sometimes better off not voting

Copeland



Copeland winner: ●

5

Problem: Voters are sometimes better off not voting

Copeland



Copeland winner: ●

5

Problem: Voters are sometimes better off not voting

Copeland



Copeland winner: ●

6

Schulze

6

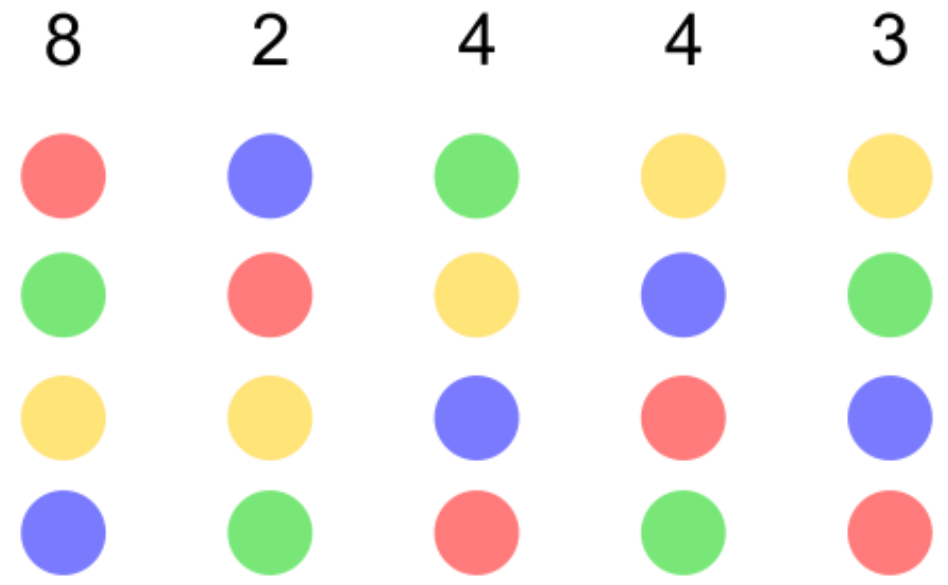
Winner is the candidate who "chain beats" every other candidate in the pairwise comparison graph

Schulze

6

Winner is the candidate who "chain beats" every other candidate in the pairwise comparison graph

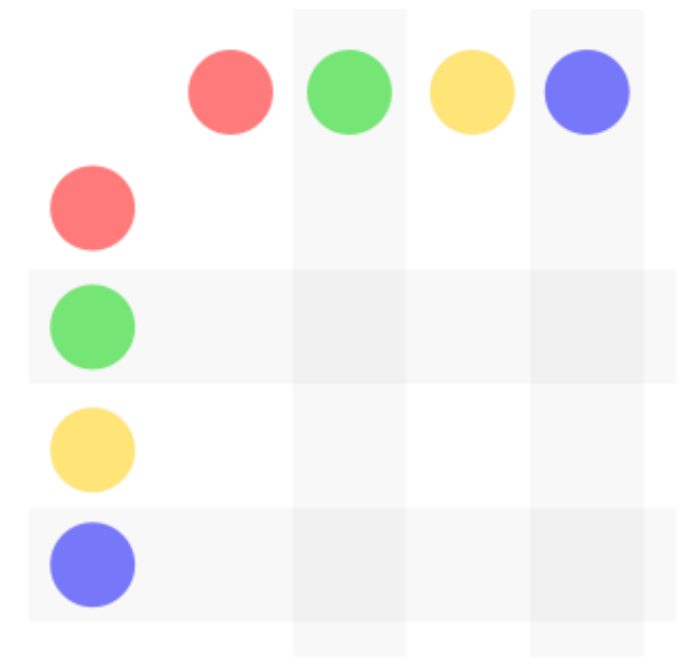
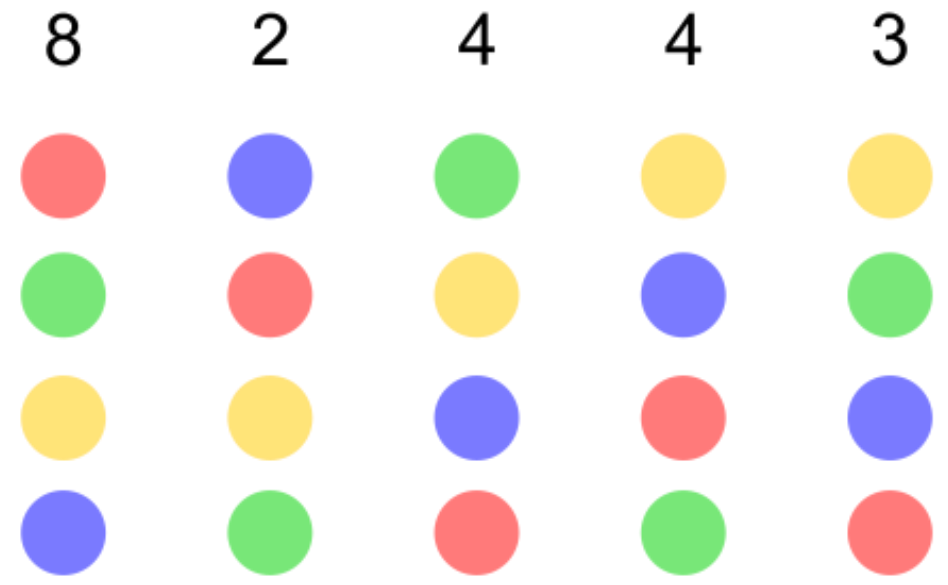
Schulze



6

Winner is the candidate who "chain beats" every other candidate in the pairwise comparison graph

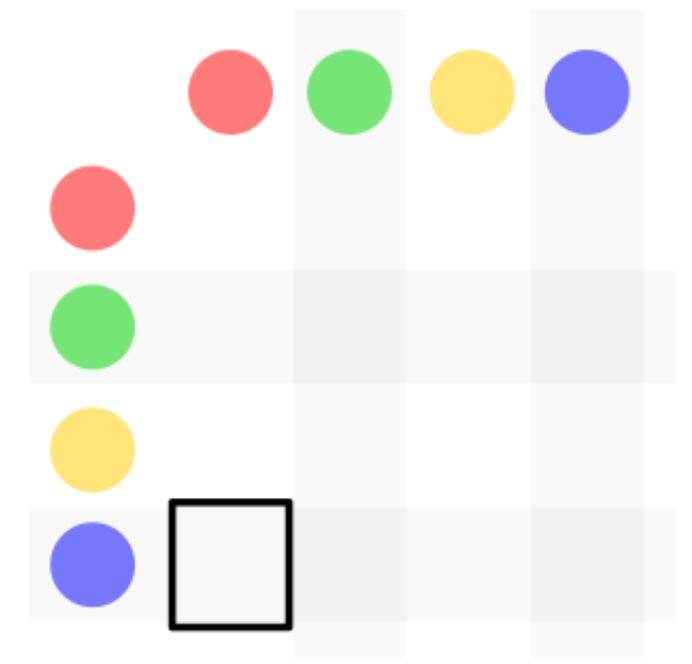
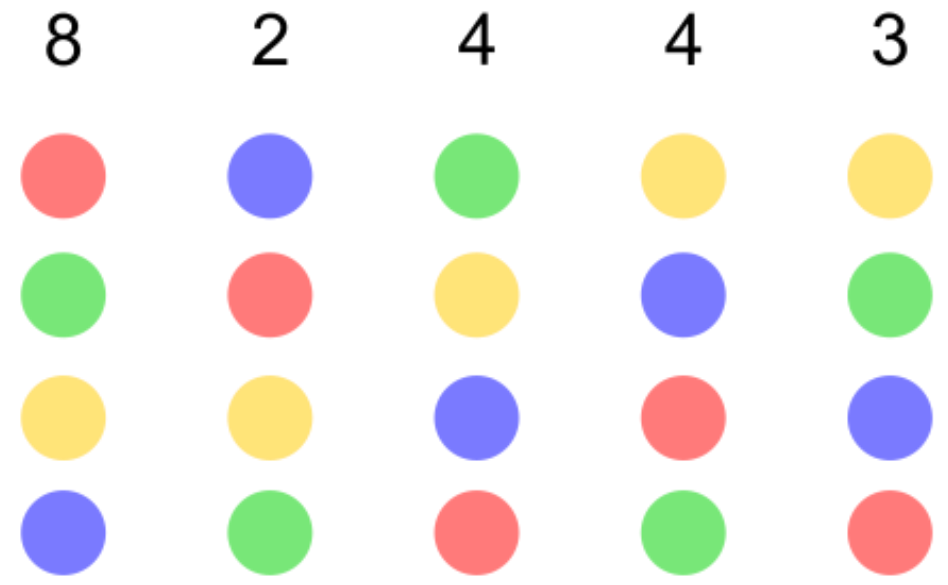
Schulze



6

Winner is the candidate who "chain beats" every other candidate in the pairwise comparison graph

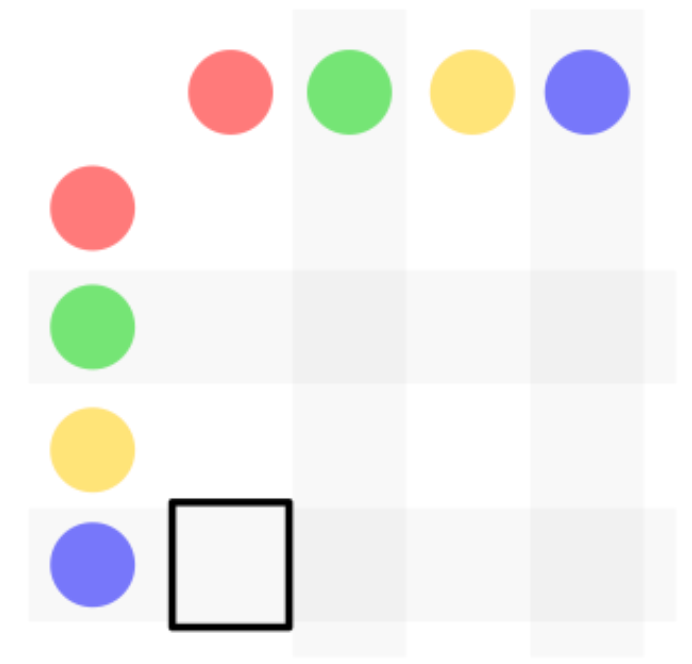
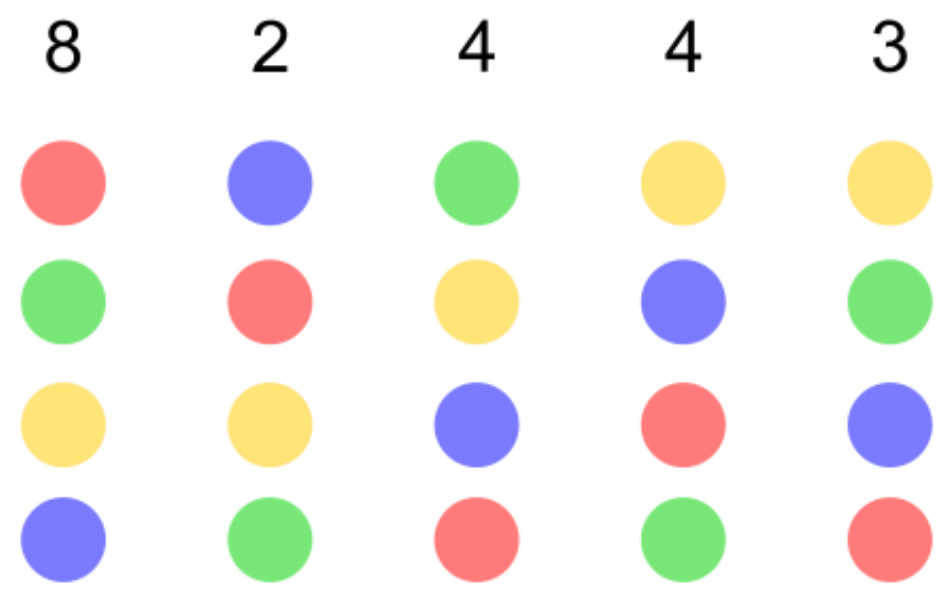
Schulze



6

Winner is the candidate who "chain beats" every other candidate in the pairwise comparison graph

Schulze



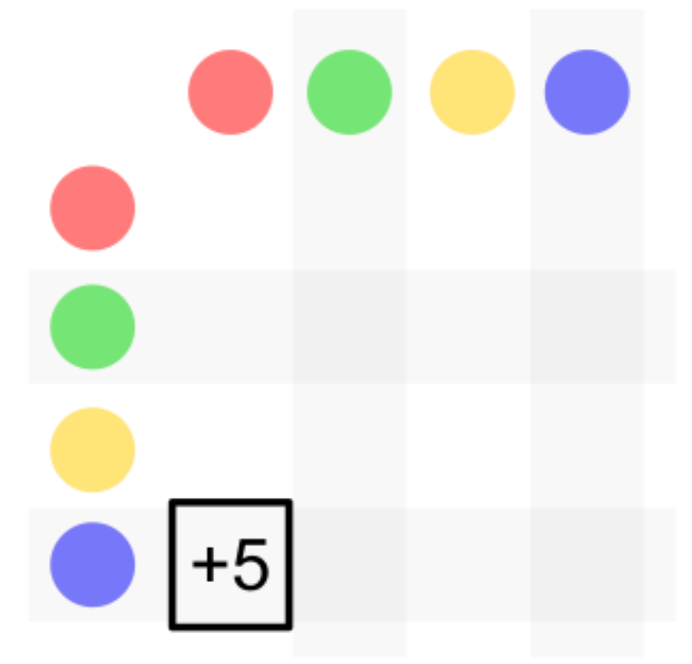
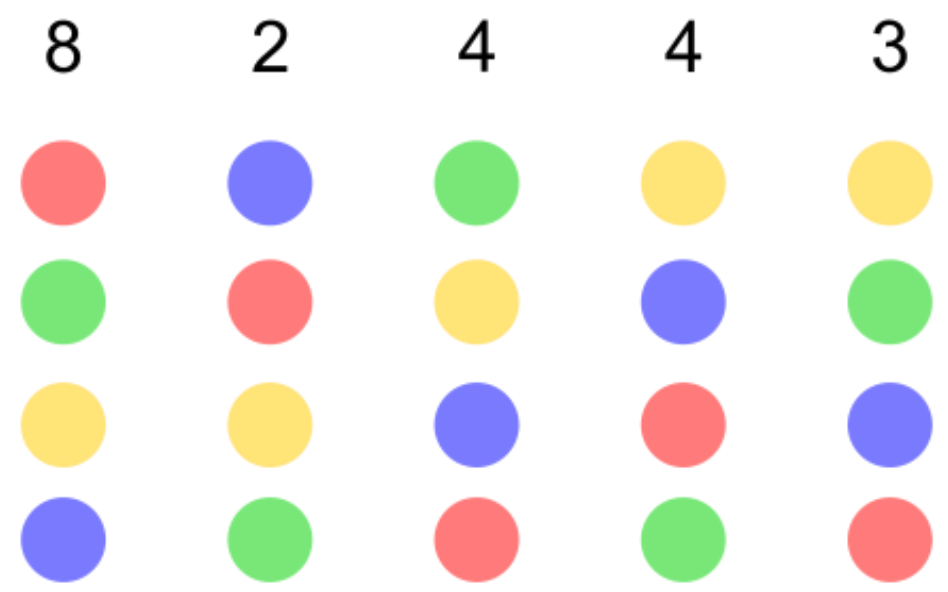
#voters who think Blue > Red $(2+4+4+3) - 8$

#voters who think Red > Blue 8

6

Winner is the candidate who "chain beats" every other candidate in the pairwise comparison graph

Schulze



#voters who think ● > ●

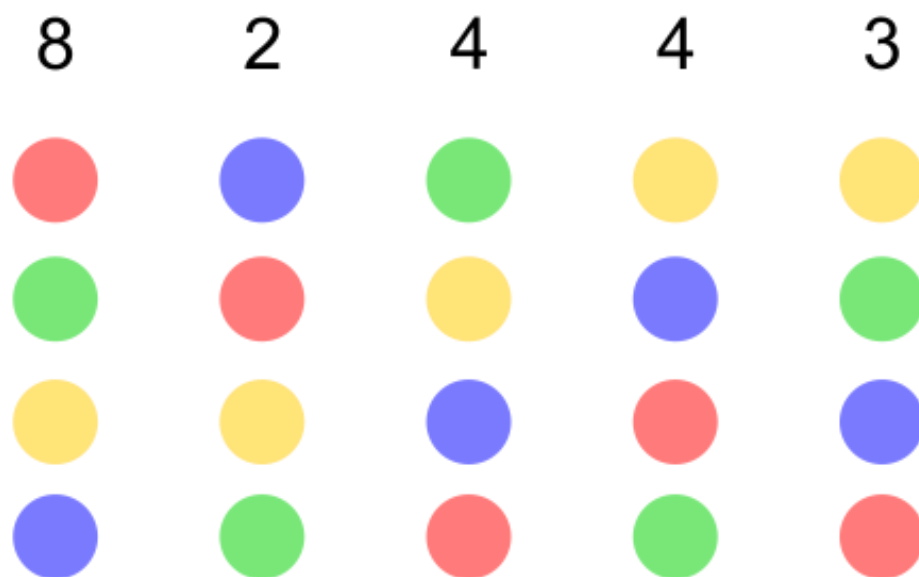
$$(2+4+4+3) - 8$$

#voters who think ● > ●

6

Winner is the candidate who "chain beats" every other candidate in the pairwise comparison graph

Schulze



	●	●	●	●
●	0	+7	-1	-5
●	-7	0	+3	+9
●	+1	-3	0	+17
●	+5	-9	-17	0

#voters who think ● > ●

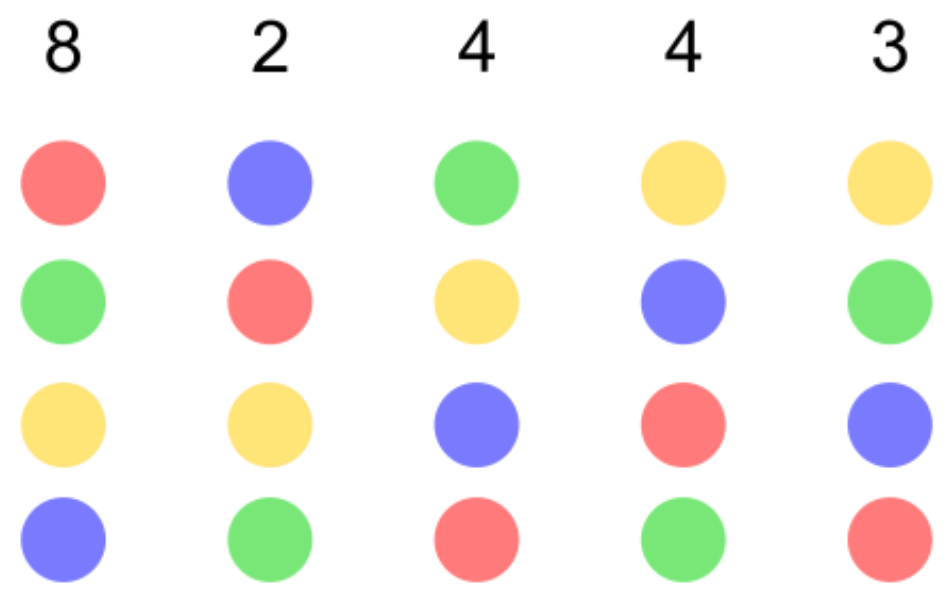
$(2+4+4+3) - 8$

#voters who think ● > ●

6

Winner is the candidate who "chain beats" every other candidate in the pairwise comparison graph

Schulze



	Red	Green	Yellow	Blue
Red	0	+7	-1	-5
Green	-7	0	+3	+9
Yellow	+1	-3	0	+17
Blue	+5	-9	-17	0

6

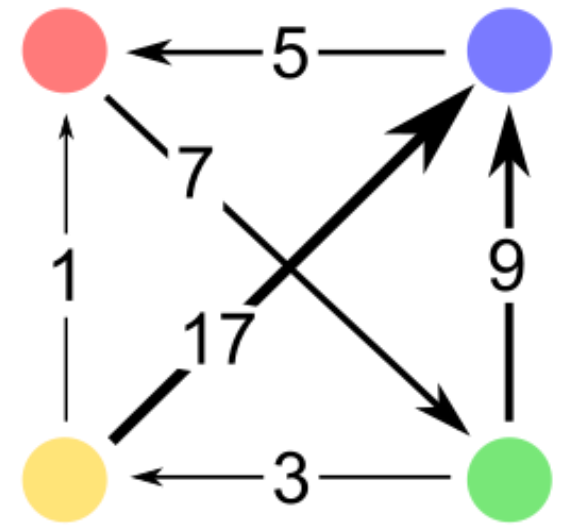
Winner is the candidate who "chain beats" every other candidate in the pairwise comparison graph

Schulze

				
	0	+7	-1	-5
	-7	0	+3	+9
	+1	-3	0	+17
	+5	-9	-17	0

6

Winner is the candidate who "chain beats" every other candidate in the pairwise comparison graph



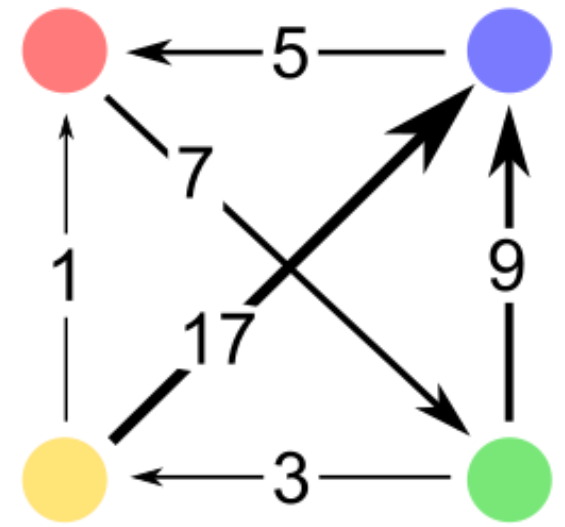
	red	green	yellow	blue
red	0	+7	-1	-5
green	-7	0	+3	+9
yellow	+1	-3	0	+17
blue	+5	-9	-17	0

Schulze

6

Winner is the candidate who "chain beats" every other candidate in the pairwise comparison graph

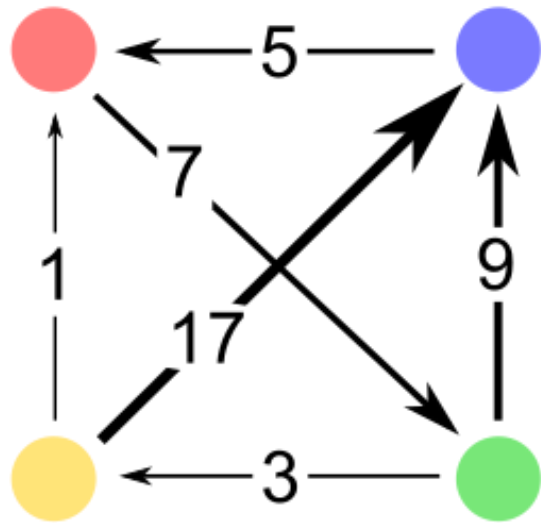
Schulze



6

Winner is the candidate who "chain beats" every other candidate in the pairwise comparison graph

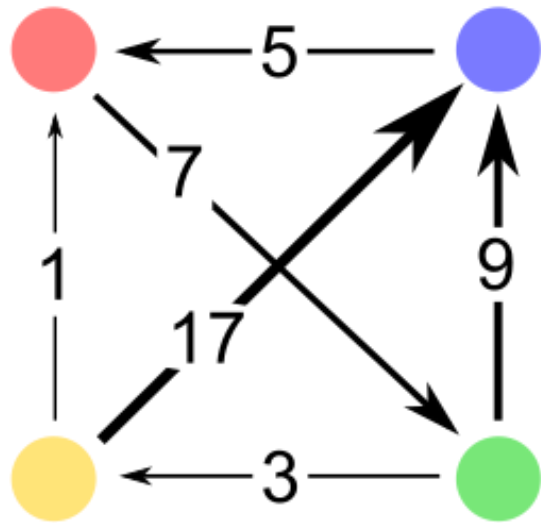
The **strength** of a path/chain is the weight of the **weakest** link in it



Schulze

6

Winner is the candidate who "chain beats" every other candidate in the pairwise comparison graph



The **strength** of a path/chain is the weight of the **weakest** link in it

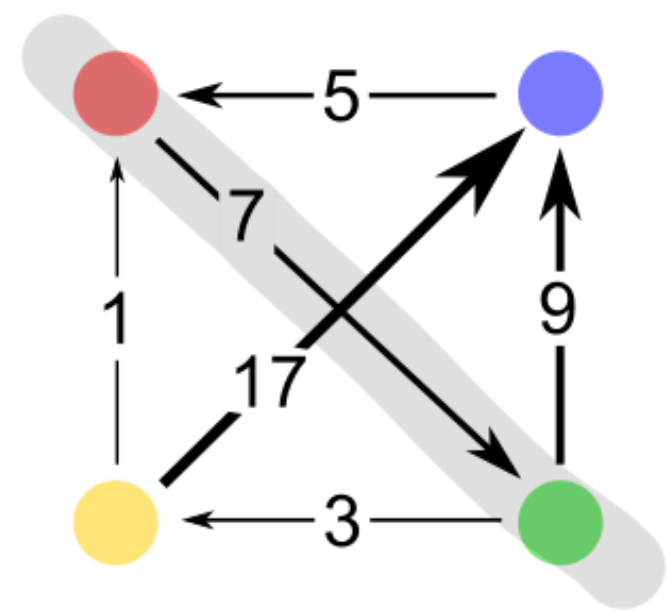
What's the strongest path from ● to ●?

Schulze

6

Schulze

Winner is the candidate who "chain beats" every other candidate in the pairwise comparison graph



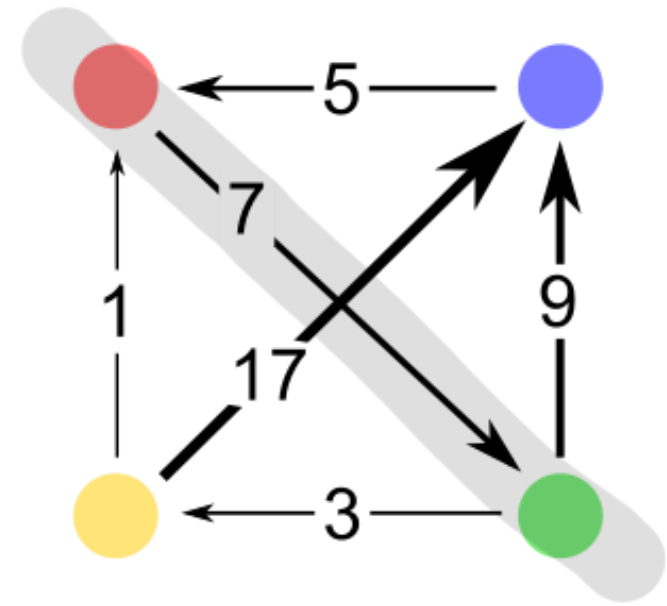
The **strength** of a path/chain is the weight of the **weakest** link in it

What's the strongest path from ● to ●?

6

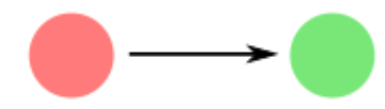
Winner is the candidate who "chain beats" every other candidate in the pairwise comparison graph

Schulze



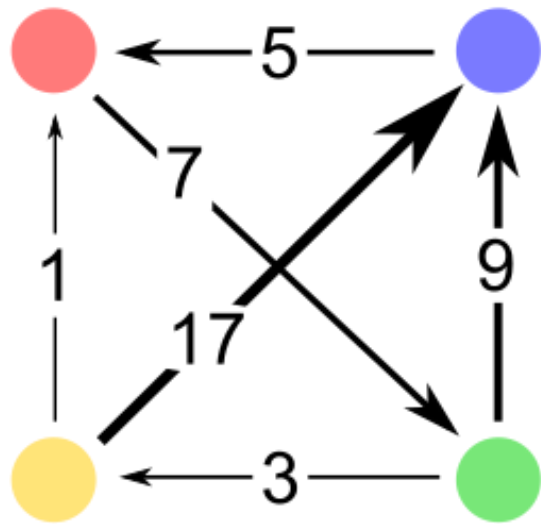
The **strength** of a path/chain is the weight of the **weakest** link in it

What's the strongest path from red to green?



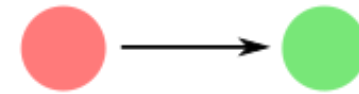
6

Winner is the candidate who "chain beats" every other candidate in the pairwise comparison graph



The **strength** of a path/chain is the weight of the **weakest** link in it

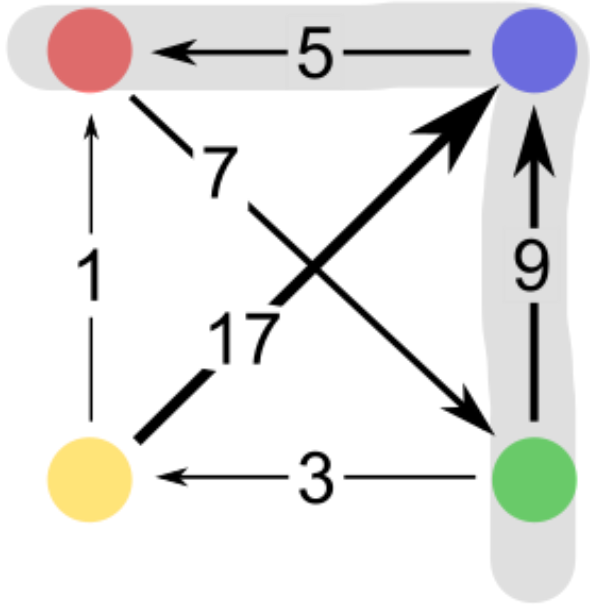
What's the strongest path from red to green?



What's the strongest path from green to red?

6

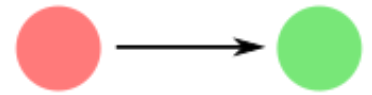
Winner is the candidate who "chain beats" every other candidate in the pairwise comparison graph



Schulze

The **strength** of a path/chain is the weight of the **weakest** link in it

What's the strongest path from red to green?



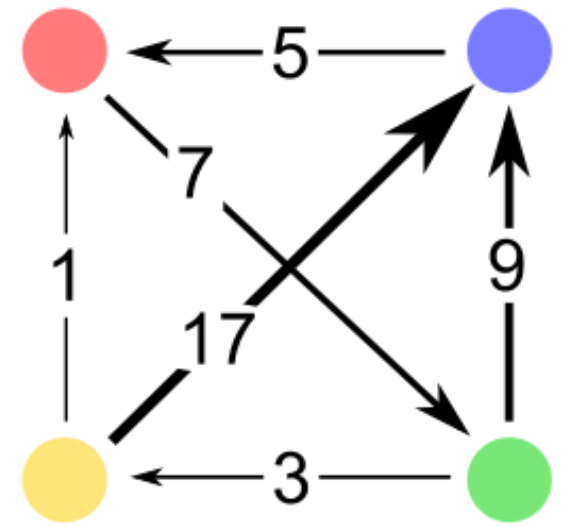
What's the strongest path from green to red?



6

Winner is the candidate who "chain beats" every other candidate in the pairwise comparison graph

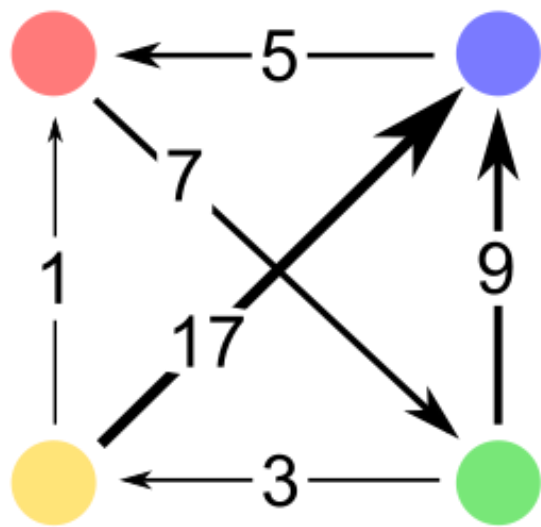
Schulze



6

Schulze

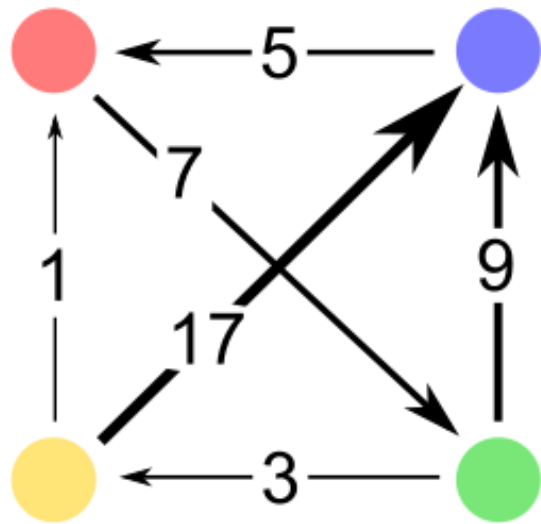
Winner is the candidate who "chain beats" every other candidate in the pairwise comparison graph



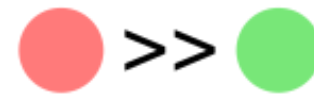
$\textcircled{a} \gg \textcircled{b}$ (\textcircled{a} "chain beats" \textcircled{b}) if the *strongest* path from \textcircled{a} to \textcircled{b} is *stronger* than the *strongest* path from \textcircled{b} to \textcircled{a}

6

Winner is the candidate who "chain beats" every other candidate in the pairwise comparison graph



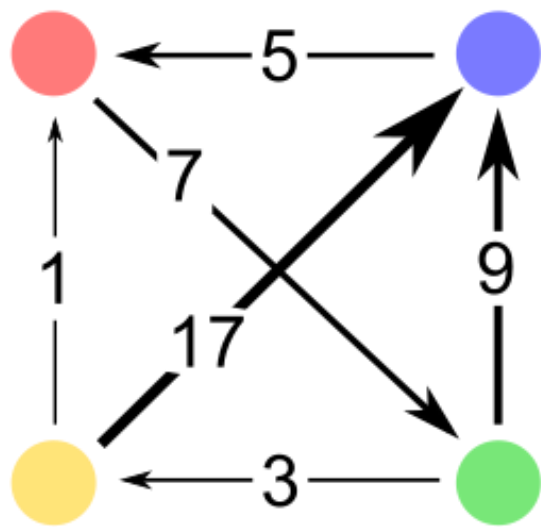
$\textcircled{a} \gg \textcircled{b}$ (\textcircled{a} "chain beats" \textcircled{b}) if the *strongest* path from \textcircled{a} to \textcircled{b} is *stronger* than the *strongest* path from \textcircled{b} to \textcircled{a}



6

Schulze

Winner is the candidate who "chain beats" every other candidate in the pairwise comparison graph



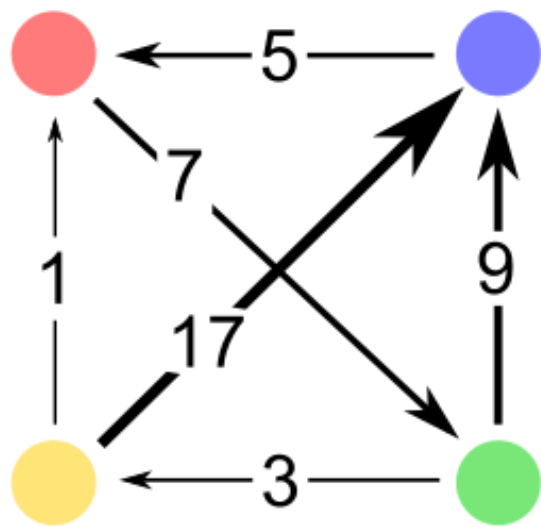
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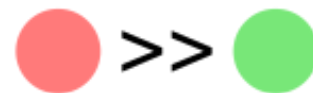
the strongest path from red to green has strength 7

6

Winner is the candidate who "chain beats" every other candidate in the pairwise comparison graph



$\textcircled{a} \gg \textcircled{b}$ (\textcircled{a} "chain beats" \textcircled{b}) if the *strongest* path from \textcircled{a} to \textcircled{b} is *stronger* than the *strongest* path from \textcircled{b} to \textcircled{a}



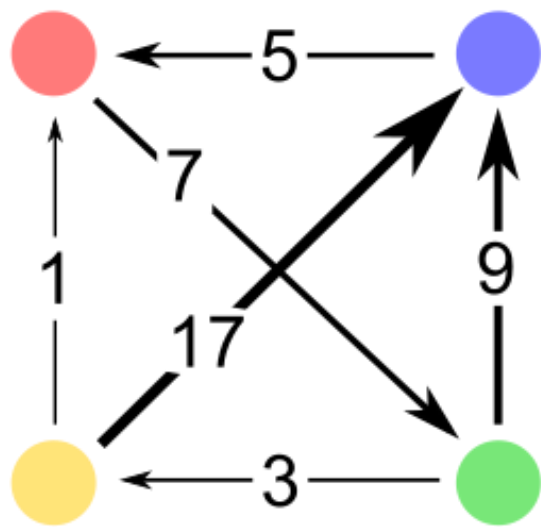
the strongest path from $\textcircled{\text{red}}$ to $\textcircled{\text{green}}$ has strength 7

the strongest path from $\textcircled{\text{green}}$ to $\textcircled{\text{red}}$ has strength 5

6

Winner is the candidate who "chain beats" every other candidate in the pairwise comparison graph

Schulze

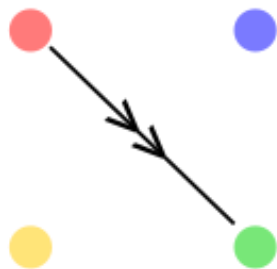


$\textcircled{a} \gg \textcircled{b}$ (\textcircled{a} "chain beats" \textcircled{b}) if the *strongest* path from \textcircled{a} to \textcircled{b} is *stronger* than the *strongest* path from \textcircled{b} to \textcircled{a}



the strongest path from $\textcircled{\text{red}}$ to $\textcircled{\text{green}}$ has strength 7

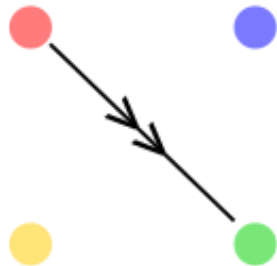
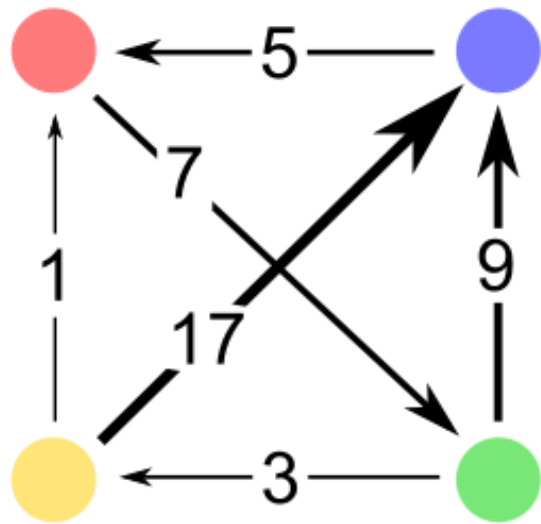
the strongest path from $\textcircled{\text{green}}$ to $\textcircled{\text{red}}$ has strength 5



6

Winner is the candidate who "chain beats" every other candidate in the pairwise comparison graph

Schulze

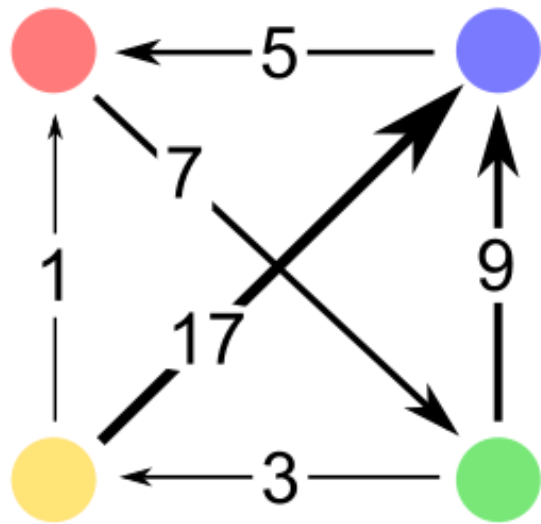


$\textcircled{a} \gg \textcircled{b}$ (\textcircled{a} "chain beats" \textcircled{b}) if the *strongest* path from \textcircled{a} to \textcircled{b} is *stronger* than the *strongest* path from \textcircled{b} to \textcircled{a}

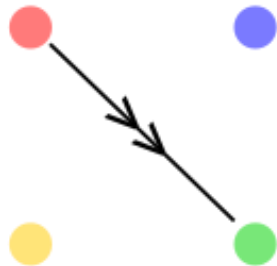
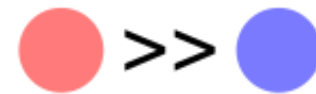
6

Winner is the candidate who "chain beats" every other candidate in the pairwise comparison graph

Schulze



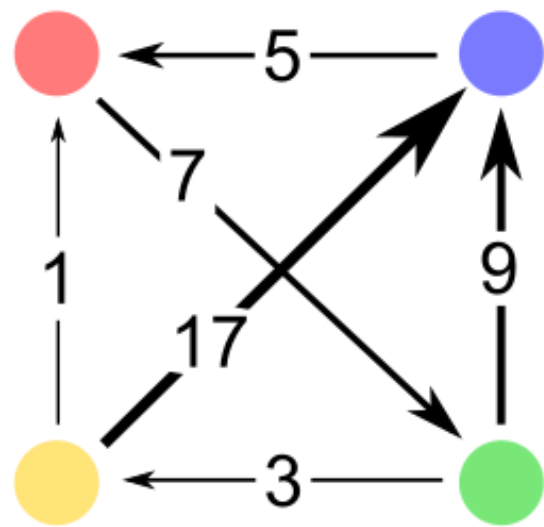
$\textcircled{a} \gg \textcircled{b}$ (\textcircled{a} "chain beats" \textcircled{b}) if the *strongest* path from \textcircled{a} to \textcircled{b} is *stronger* than the *strongest* path from \textcircled{b} to \textcircled{a}



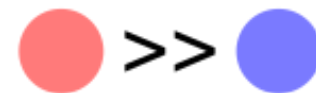
6

Schulze

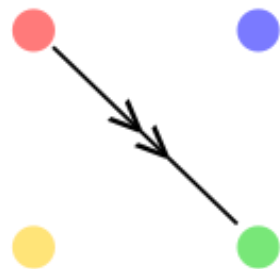
Winner is the candidate who "chain beats" every other candidate in the pairwise comparison graph



$\textcircled{a} \gg \textcircled{b}$ (\textcircled{a} "chain beats" \textcircled{b}) if the *strongest* path from \textcircled{a} to \textcircled{b} is *stronger* than the *strongest* path from \textcircled{b} to \textcircled{a}



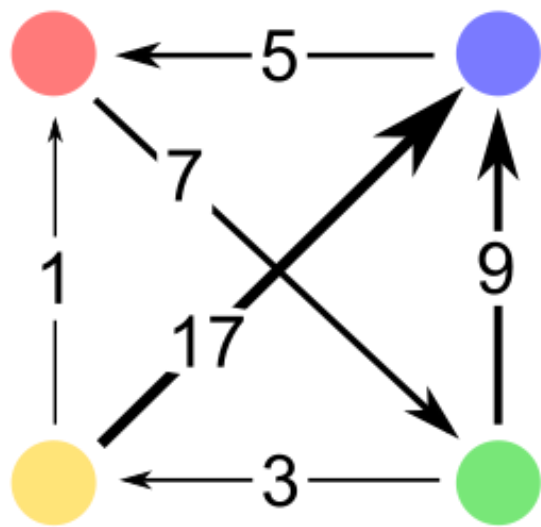
the strongest path from red to blue has strength 7



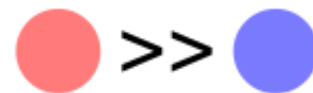
6

Winner is the candidate who "chain beats" every other candidate in the pairwise comparison graph

Schulze

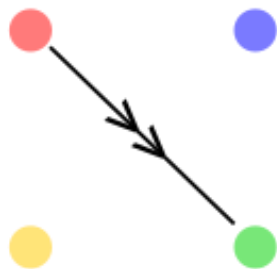


$\textcircled{a} \gg \textcircled{b}$ (\textcircled{a} "chain beats" \textcircled{b}) if the *strongest* path from \textcircled{a} to \textcircled{b} is *stronger* than the *strongest* path from \textcircled{b} to \textcircled{a}



the strongest path from red to blue has strength 7

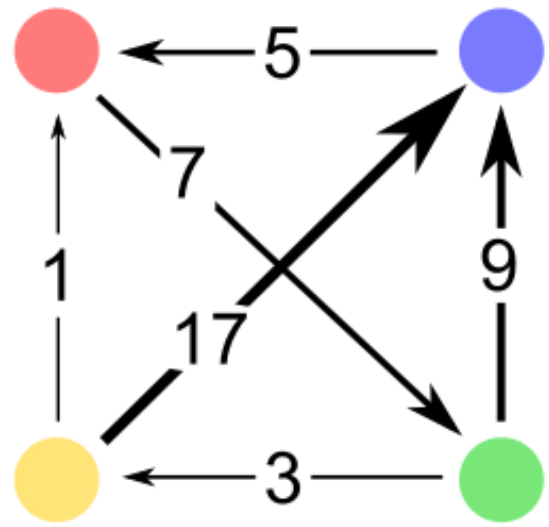
the strongest path from blue to red has strength 5



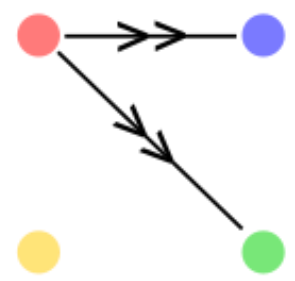
6

Winner is the candidate who "chain beats" every other candidate in the pairwise comparison graph

Schulze



$\textcircled{a} \gg \textcircled{b}$ (\textcircled{a} "chain beats" \textcircled{b}) if the *strongest* path from \textcircled{a} to \textcircled{b} is *stronger* than the *strongest* path from \textcircled{b} to \textcircled{a}

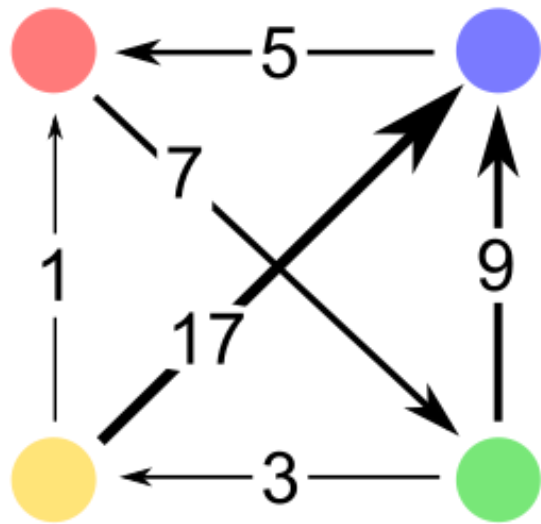


the strongest path from red to blue has strength 7
the strongest path from blue to red has strength 5

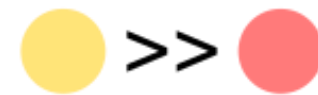
6

Winner is the candidate who "chain beats" every other candidate in the pairwise comparison graph

Schulze

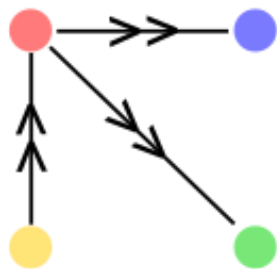


$\textcircled{a} \gg \textcircled{b}$ (\textcircled{a} "chain beats" \textcircled{b}) if the *strongest* path from \textcircled{a} to \textcircled{b} is *stronger* than the *strongest* path from \textcircled{b} to \textcircled{a}



the strongest path from $\textcircled{\text{yellow}}$ to $\textcircled{\text{red}}$ has strength 5

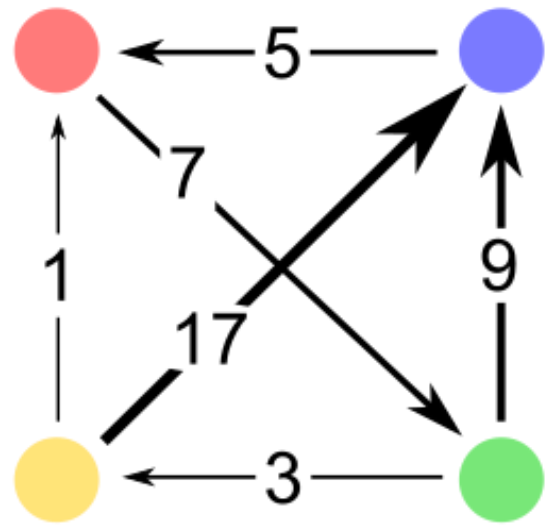
the strongest path from $\textcircled{\text{red}}$ to $\textcircled{\text{yellow}}$ has strength 3



6

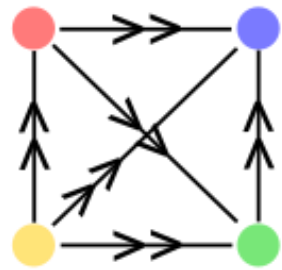
Winner is the candidate who "chain beats" every other candidate in the pairwise comparison graph

Schulze



$\textcircled{a} \gg \textcircled{b}$ (\textcircled{a} "chain beats" \textcircled{b}) if the *strongest* path from \textcircled{a} to \textcircled{b} is *stronger* than the *strongest* path from \textcircled{b} to \textcircled{a}

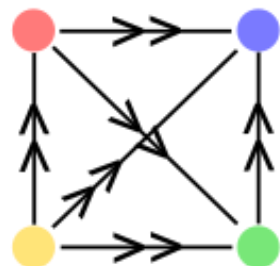
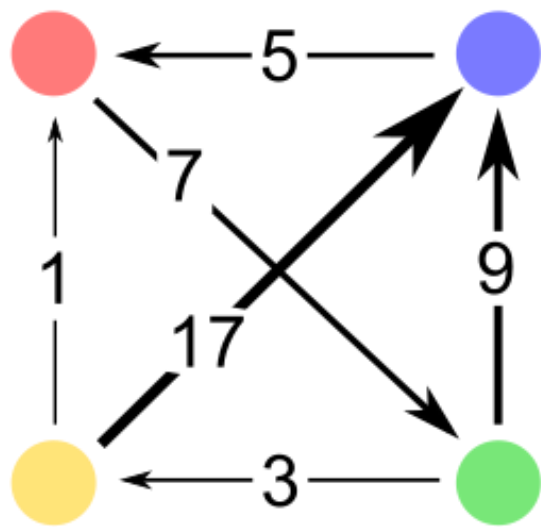
$\textcircled{y} \gg$ all others



6

Winner is the candidate who "chain beats" every other candidate in the pairwise comparison graph

Schulze



$\textcircled{a} \gg \textcircled{b}$ (\textcircled{a} "chain beats" \textcircled{b}) if the *strongest* path from \textcircled{a} to \textcircled{b} is *stronger* than the *strongest* path from \textcircled{b} to \textcircled{a}

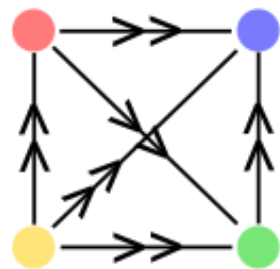
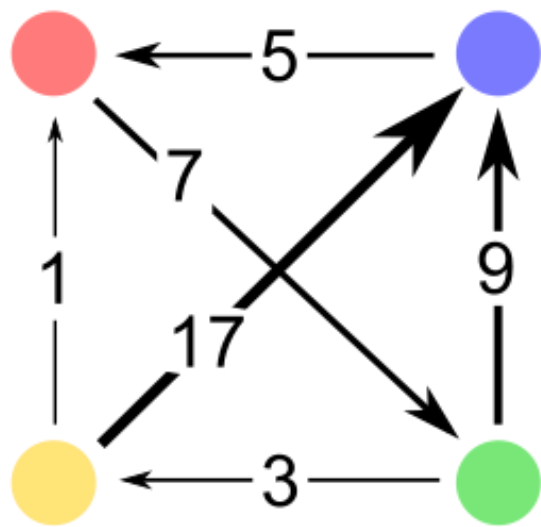
$\bullet \gg$ all others

Schulze winner: \bullet

6

Schulze

Winner is the candidate who "chain beats" every other candidate in the pairwise comparison graph



$\textcircled{a} \gg \textcircled{b}$ (\textcircled{a} "chain beats" \textcircled{b}) if the *strongest* path from \textcircled{a} to \textcircled{b} is *stronger* than the *strongest* path from \textcircled{b} to \textcircled{a}

A Schulze winner always exists!

The "chain beats" relation is transitive

$\textcircled{a} \gg \textcircled{b}$ and $\textcircled{b} \gg \textcircled{c}$, then $\textcircled{a} \gg \textcircled{c}$

6



Schulze

Which Voting Rule is the Best?

Which Voting Rule is the Best?

Voting Power in Practice Summer Workshop

Assessing Alternative Voting Procedures

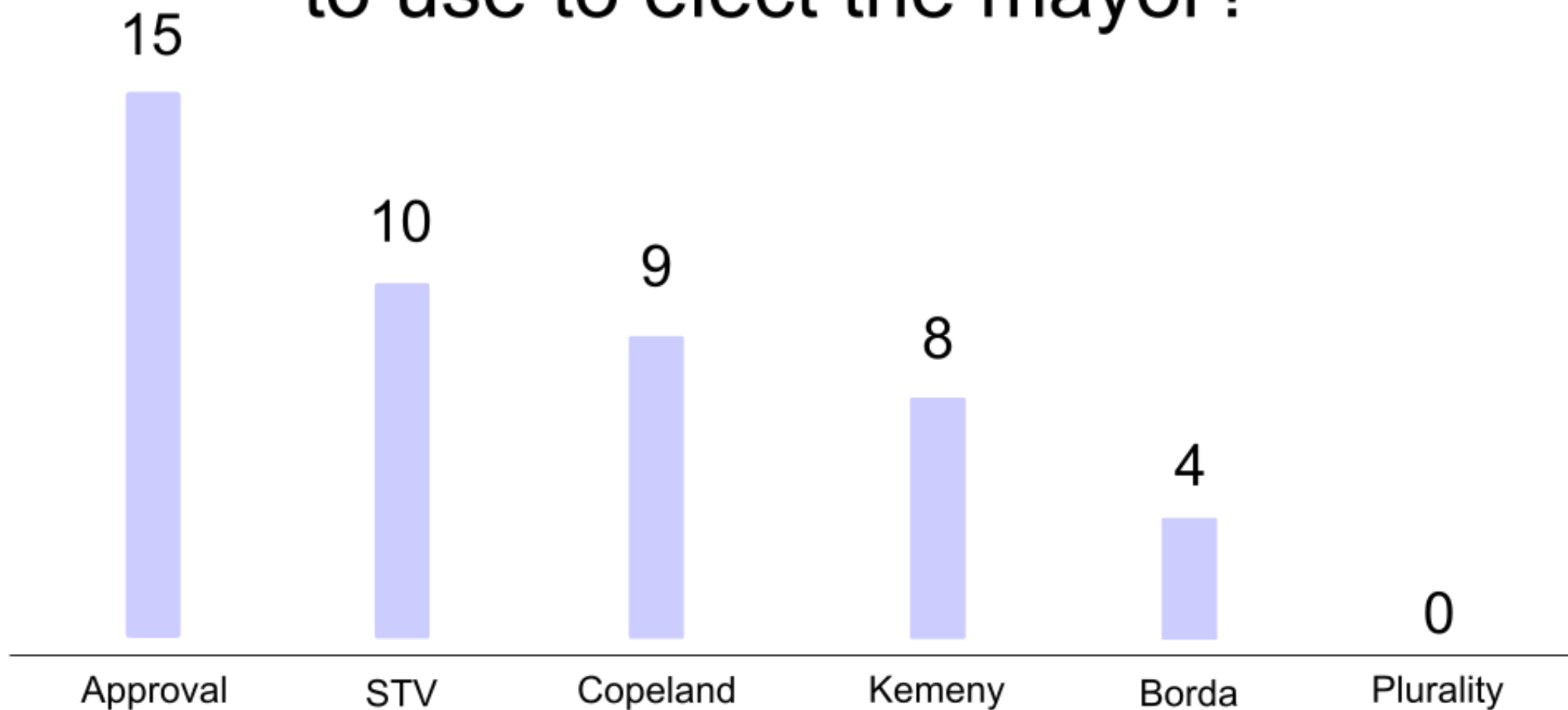
Sponsored by The Leverhulme Trust

Chateau du Baffy, Normandy, France
30 July – 2 August 2010

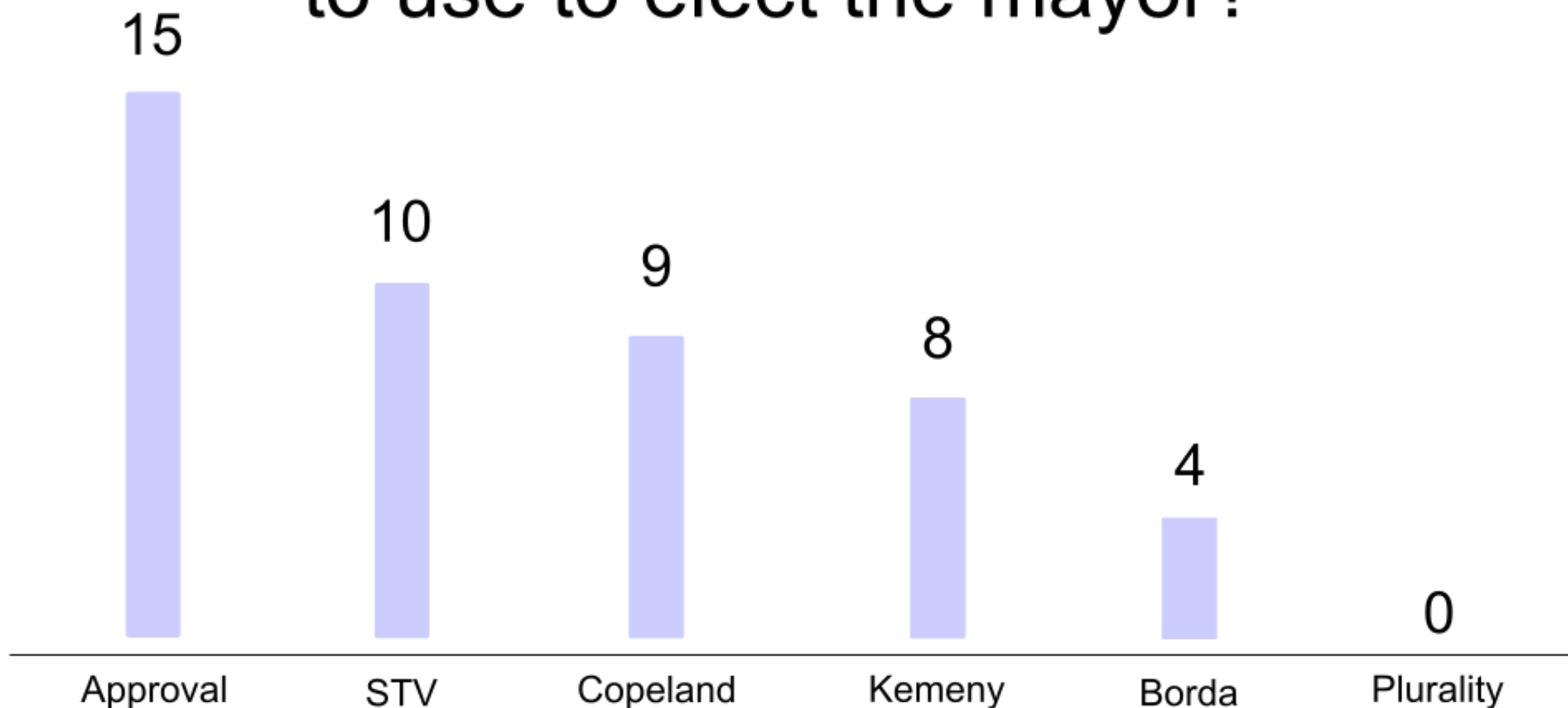
22 voting theorists

"What is the best voting rule for your town to use to elect the mayor?"

"What is the best voting rule for your town to use to elect the mayor?"



"What is the best voting rule for your town to use to elect the mayor?"



Votes were counted via approval voting.

18

12

10

9

4

2

Plurality



Plurality
with runoff



Borda



Condorcet



(Copeland, Schulze)

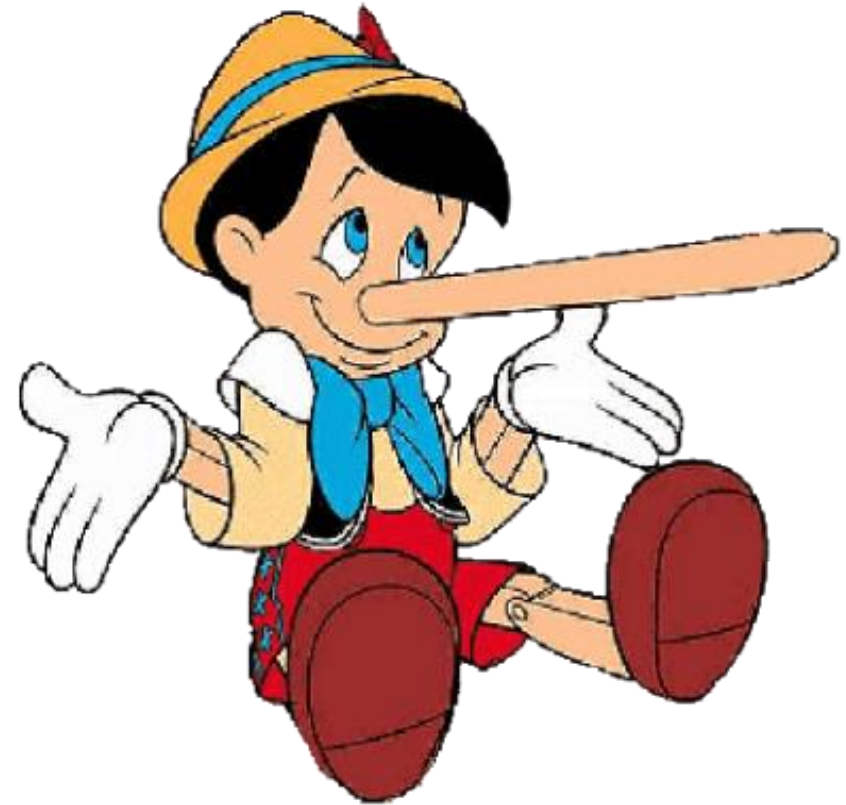


STV



Next Time

Manipulation in voting



Quiz

Quiz

Suggest a voting rule you think is "reasonable"
(other than the ones we discussed today)
and justify why it is reasonable.

References

- “Disagreement between voting rules” example:
<http://www.ams.org/publicoutreach/feature-column/fcarc-voting-decision>
- The “chain beats” terminology in the description of Schulze rule was borrowed from Hubert Bray’s explanatory video:
https://www.youtube.com/watch?v=_HVeN0GnnuA
- The Schulze rule example is from the paper “The Schulze Method of Voting” by Markus Schulze:
<https://arxiv.org/abs/1804.02973>
- (Possibly) strategic voting in the selection of Olympics host:
https://www3.nd.edu/~apilking/math10170/Information/Lectures%202015/Topic_2_Plurality_Runoff.pdf

References

- Non-monotonicity of STV:

Gideon Doron and Richard Kronick

Single Transferable Vote: An Example of a Perverse Social Choice Function

American Journal of Political Science, Vol. 21, No. 2 (May, 1977), pg 303-311

<https://www.jstor.org/stable/2110496>

- “Which voting rule is the best” poll

<https://hal.archives-ouvertes.fr/hal-00609810/document>

